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14 *Attorneys for Plaintiffs Pharma Tech Solutions, Inc.*
And Decision IT Corp.
 15
 16

17 **UNITED STATES DISTRICT COURT**
 18 **DISTRICT OF NEVADA**

19 PHARMA TECH SOLUTIONS, INC. and
 20 DECISION IT CORP.,

21 Plaintiff,

22 v.

23 LIFESCAN, INC., LIFESCAN SCOTLAND,
 24 LTD. and JOHNSON AND JOHNSON

25 Defendants.

Case No.:

**COMPLAINT FOR PATENT
 INFRINGEMENT**

(JURY DEMAND)

26 Plaintiffs Pharma Tech Solutions, Inc. and Decision IT Corp. (collectively, "Plaintiffs"), for
 27 their Complaint against Defendants Lifescan, Inc., Lifescan Scotland, Ltd. and Johnson and Johnson
 28 (collectively "Defendants"), allege infringement of U.S. Patent No. 6,153,069 (the "'069 Patent," a

COMPLAINT FOR PATENT INFRINGEMENT

1 copy of which is attached hereto as Exhibit A) and U.S. Patent No. 6,413,411 (the “411 Patent,” a
2 copy of which is attached hereto as Exhibit B). Plaintiffs further complain and allege as follows:

3 **THE PARTIES**

4 1. Pharma Tech Solutions, Inc. is a corporation organized under the laws of the State of
5 Nevada.

6 2. Decision IT Corp. is a corporation organized under the laws of the State of Nevada.

7 3. Lifescan, Inc., on information and belief, is a corporation organized under the laws of
8 the State of California with its principal place of business at 965 Chesterbrook Road, Wayne,
9 Pennsylvania 19087.

10 4. Lifescan Scotland, Ltd., on information and belief, is a company organized under the
11 laws of the United Kingdom with its principal place of business in Inverness, Scotland.

12 5. Johnson and Johnson, in information and belief, is a company organized under the
13 laws of the State of New Jersey with its principal place of business in New Brunswick, New Jersey.

14 6. On information and belief, Defendants regularly conduct and transact business in the
15 District of Nevada and throughout the United States and, as set forth below, have committed and
16 continue to commit tortious acts of patent infringement within the District of Nevada.

17 **JURISDICTION AND VENUE**

18 7. This is a claim for patent infringement arising under the patent laws of the United
19 States, Title 35 of the United States Code. This Court has exclusive jurisdiction over the subject
20 matter of the Complaint under 28 U.S.C. § 1338(a).

21 8. Venue is proper in this district under 28 U.S.C. §§ 1391(b) and (c) and 1400(b).

22 9. This Court has personal jurisdiction over Defendants by virtue of their acts of patent
23 infringement which have been committed in this judicial district, and by virtue of their transaction of
24 business in this district.

25 ///

26
27 **INFRINGEMENT OF THE PATENTS-IN-SUIT**

28 **COMPLAINT FOR PATENT INFRINGEMENT**

10. Decision IT Corp. is the owner by assignment of the '069 Patent entitled Apparatus for Amperometric Diagnostic Analysis (attached hereto as Exhibit A) and the '411 Patent entitled Method and Apparatus for Amperometric Diagnostic analysis (attached hereto as Exhibit B).

11. Pharma Tech Solutions, Inc. is the exclusive licensee of the '069 Patent and the '411 Patent.

12. Plaintiffs have complied with the provisions of 35 U.S.C. § 287 with respect to the '069 Patent and the '411 Patent and have the right and standing to bring actions for the infringement thereof.

13. Defendants' One Touch Ultra blood glucose test strips and meters infringed at least Claim 1 of the '069 Patent, as set forth in the claim chart attached Exhibit C. Exhibit C reflects Plaintiffs' allegations based on publically available information. Plaintiffs reserve the right to amend and supplement their infringement allegations, including without limited to by adding additional claims for infringement as contemplated by the Local Rules.

14. Defendants' One Touch Ultra blood glucose test strips and meters infringed at least Claim 4 of the '411 Patent, as set forth in the claim chart attached Exhibit D. Exhibit D reflects Plaintiffs' allegations based on publically available information. Plaintiffs reserve the right to amend and supplement their infringement allegations, including without limited to by adding additional claims for infringement as contemplated by the Local Rules.

CLAIM ONE

CLAIM FOR INFRINGEMENT OF '069 PATENT

15. Plaintiffs incorporate by reference the preceding allegations.

16. Defendants infringed literally or under the doctrine of equivalents, directly and indirectly, at least Claim 1 of the '069 Patent by making, using, importing, exporting, offering to sell, and selling One Touch Ultra blood glucose test strips and meters as well as by actively and intentionally inducing other to infringe certain claims of the '069 Patent and contributing to the infringement of certain claims of the '069 Patent by supplying One Touch Ultra meters and test strips to others, including but not limited to customers. There is no substantial non-infringing use of the One Touch Ultra blood glucose test strips and meters.

1 17. Defendants' infringement has injured Plaintiffs and Plaintiffs are entitled to recover
2 damages adequate to compensate it for such infringement, but in no event less than a reasonable
3 royalty.

4 18. Upon information and belief, Defendants' infringement of the '069 Patent was
5 willful.

6 **CLAIM TWO**

7 **CLAIM FOR INFRINGEMENT OF '411 PATENT**

8 19. Plaintiffs incorporate by reference the preceding allegations.

9 20. Defendants infringed literally or under the doctrine of equivalents, directly and
10 indirectly, at least Claim 4 of the '411 Patent by making, using, importing, exporting, offering to
11 sell, and selling One Touch Ultra blood glucose test strips and meters as well as by actively and
12 intentionally inducing other to infringe certain claims of the '411 Patent and contributing to the
13 infringement of certain claims of the '411 Patent by supplying One Touch Ultra meters and test
14 strips to others, including but not limited to customers. There is no substantial non-infringing use
15 of the One Touch Ultra blood glucose test strips and meters.

16 21. Defendants' infringement has injured Plaintiffs and Plaintiffs are entitled to recover
17 damages adequate to compensate it for such infringement, but in no event less than a reasonable
18 royalty.

19 22. Upon information and belief, Defendants' infringement of the '411 Patent was
20 willful.

21 **PRAYER FOR RELIEF**

22 WHEREFORE, Plaintiffs ask this Court to enter judgment against Defendants and against
23 their subsidiaries, affiliates, agents, servants, employees, and all persons in active concert or
24 participation with them, granting the following relief:

25 1. Judgment in favor of Plaintiffs and against Defendants that Defendants infringed
26 Plaintiffs' '069 Patent literally and under the doctrine of equivalents.

27 2. Judgment in favor of Plaintiffs and against Defendants that Defendants infringed
28 Plaintiffs' '411 Patent literally and under the doctrine of equivalents.

1 3. An award of damages adequate to compensate Plaintiffs for the infringement that has
2 occurred, together with prejudgment interest from the date infringement, which is estimated to be
3 between \$400 million and \$700 million;

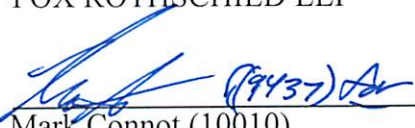

4 4. An award of attorney's fees for willful and deliberate infringement pursuant to 35
5 U.S.C. § 284;

6 5. A determination that this is an "exceptional case" pursuant to 35 U.S.C. § 285 and
7 award Plaintiffs their reasonable legal fees, costs and expenses that it incurs in prosecuting this
8 action;

9 6. Such other and further relief as this Court or a jury may deem proper and just.

10 Dated: March 14, 2016

FOX ROTHSCHILD LLP

11
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16 *Attorneys for Plaintiffs Pharma Tech Solutions, Inc.*
17 *and Decision IT Corp.*
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JURY DEMAND

Plaintiffs hereby demand a jury on all claims and issues so triable.

Dated: March 14, 2016

FOX ROTHSCHILD LLP



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*Attorneys for Plaintiffs Pharma Tech Solutions, Inc.
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EXHIBIT “A”



US006153069A

United States Patent [19][11] **Patent Number:** **6,153,069****Pottgen et al.**[45] **Date of Patent:** ***Nov. 28, 2000**[54] **APPARATUS FOR AMPEROMETRIC
DIAGNOSTIC ANALYSIS**[56] **References Cited****U.S. PATENT DOCUMENTS**

[75] Inventors: **Paul A. Pottgen**, Allison Park; **Neil J. Szuminsky**, Pittsburgh; **Jonathan L. Talbott**, Freedom; **Joseph Jordan**, deceased, late of State College; **Colina L. Jordan**, legal representative, Bellefonte, all of Pa.

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 5,385,846 1/1995 Kuhn et al. 204/403
 5,508,171 4/1996 Walling et al. .

[73] Assignee: **Tall Oak Ventures**, Pittsburgh, Pa.**OTHER PUBLICATIONS**

[*] Notice: This patent issued on a continued prosecution application filed under 37 CFR 1.53(d), and is subject to the twenty year patent term provisions of 35 U.S.C. 154(a)(2).

Talbott, "Enzymatic Amperometry of Glucose", Thesis, Pennsylvania State University, (1987) Month Unavailable.

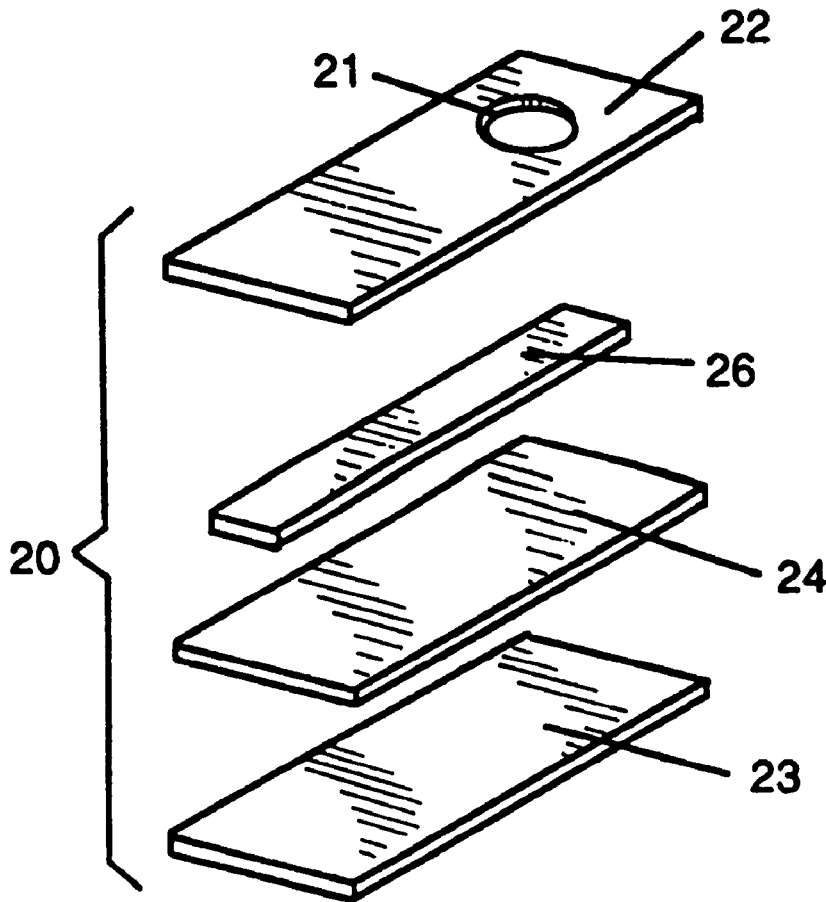
Primary Examiner—T. Tung

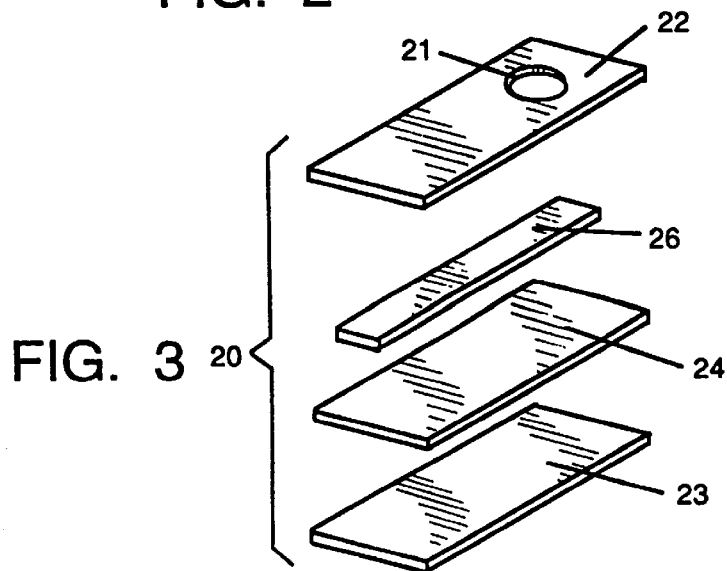
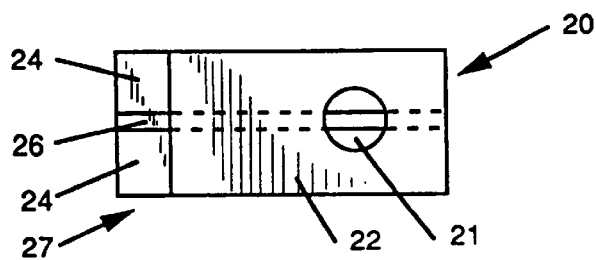
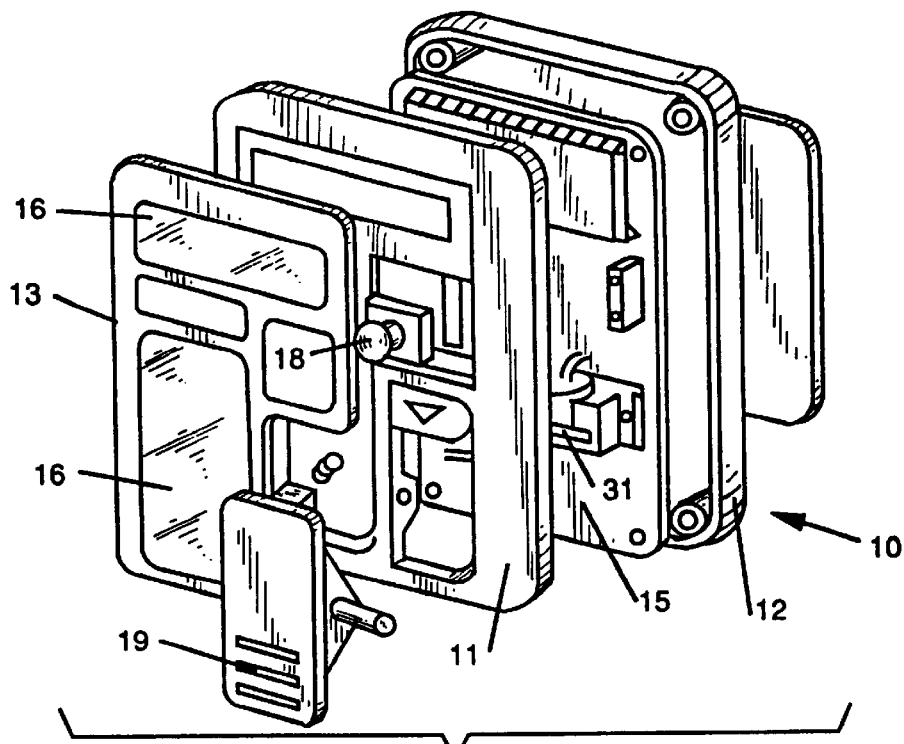
Attorney, Agent, or Firm—Oblon, Spivak, McClelland, Maier & Neustadt, P.C.

[21] Appl. No.: **08/386,919**[57] **ABSTRACT**[22] Filed: **Feb. 9, 1995**[51] **Int. Cl.**⁷ **G01N 27/327**[52] **U.S. Cl.** **204/403; 204/400; 205/777.5; 435/817**

[58] **Field of Search** 204/403, 400;
 205/777.5; 422/82.01, 82.02, 82.03, 98;
 435/817; 436/150, 151

The present invention relates to a novel method and apparatus for the amperometric determination of an analyte, and in particular, to an apparatus for amperometric analysis utilizing a novel disposable electroanalytical cell for the quantitative determination of biologically important compounds from body fluids.

6 Claims, 10 Drawing Sheets



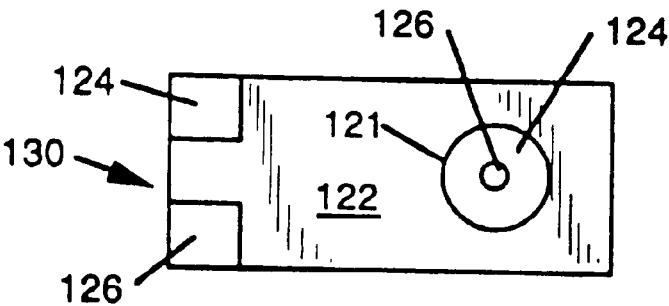
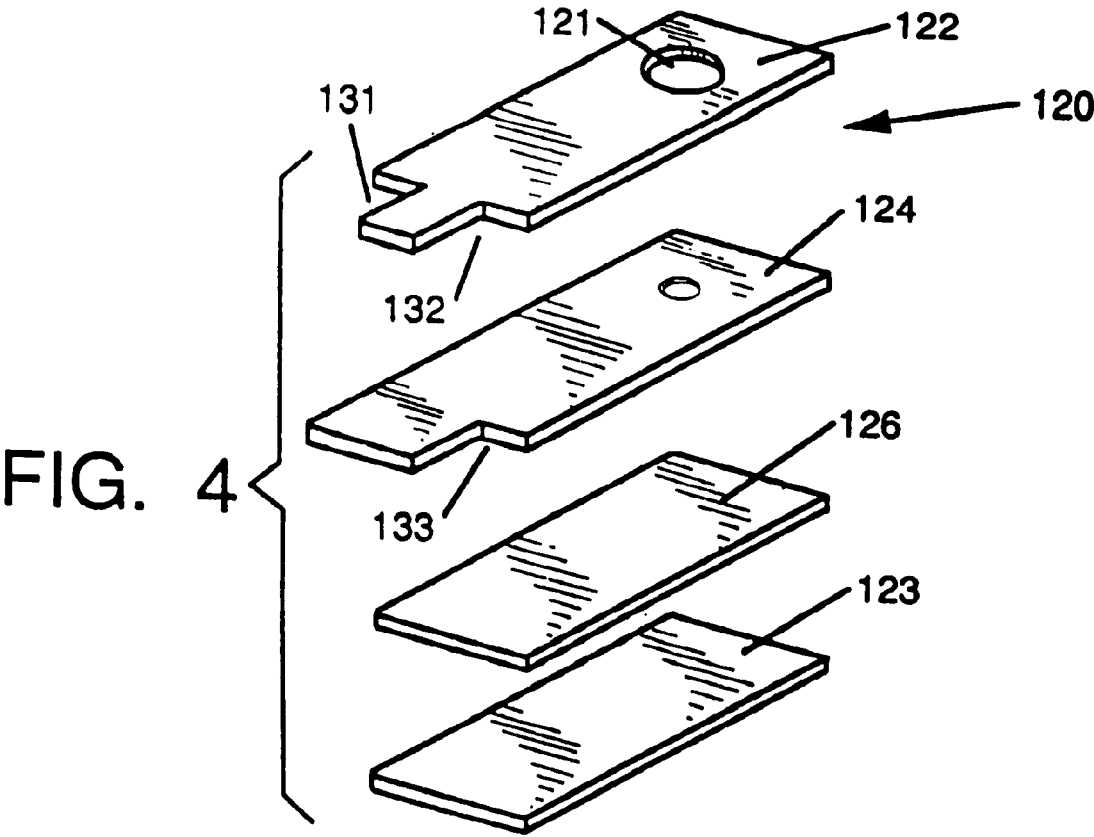


FIG. 5

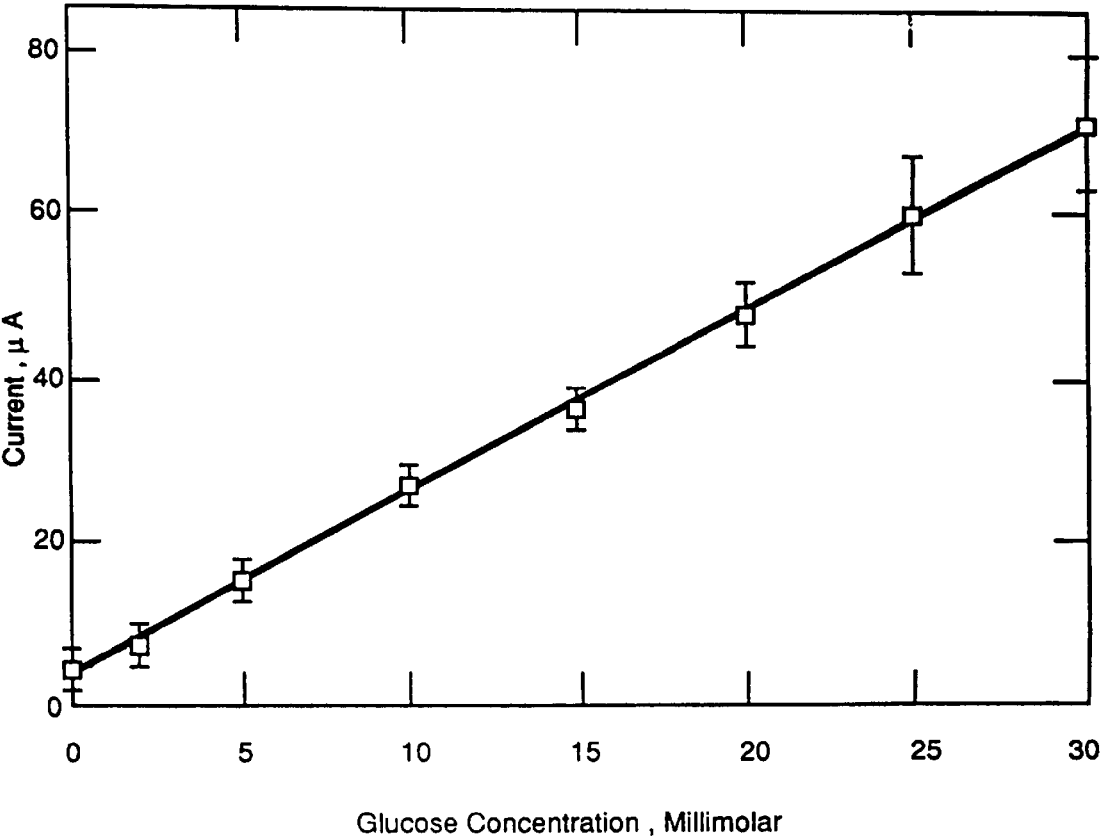


FIG. 6

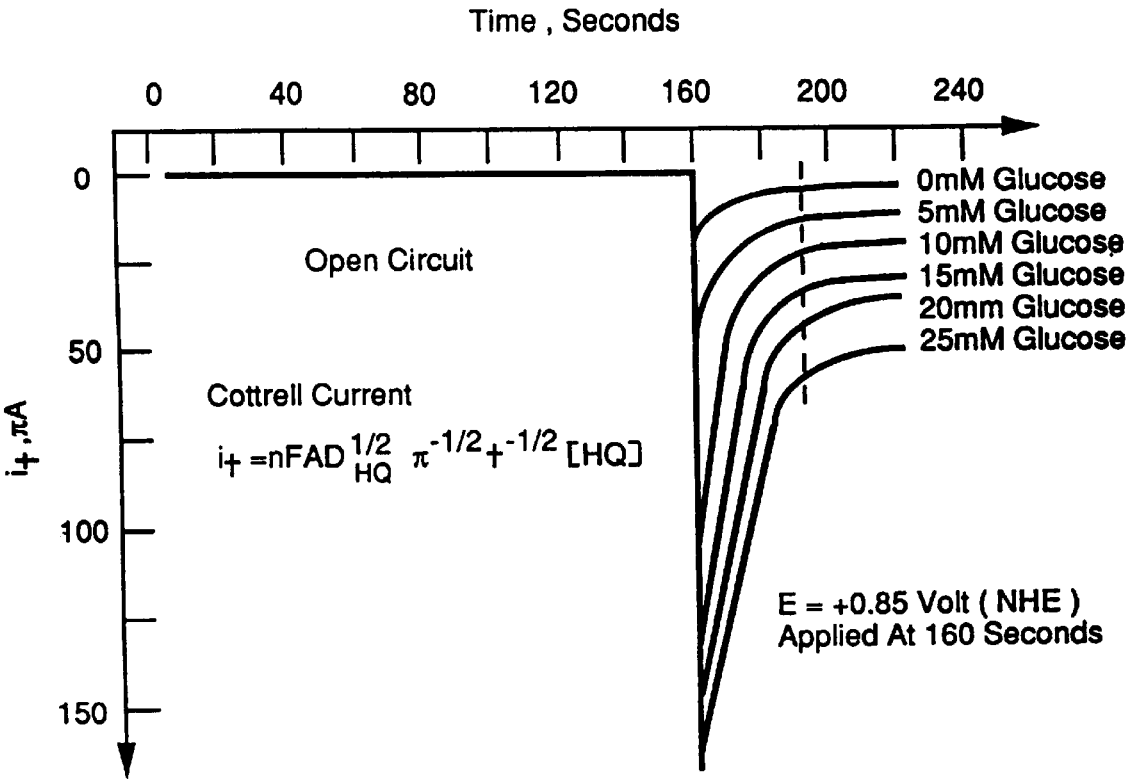


FIG. 7

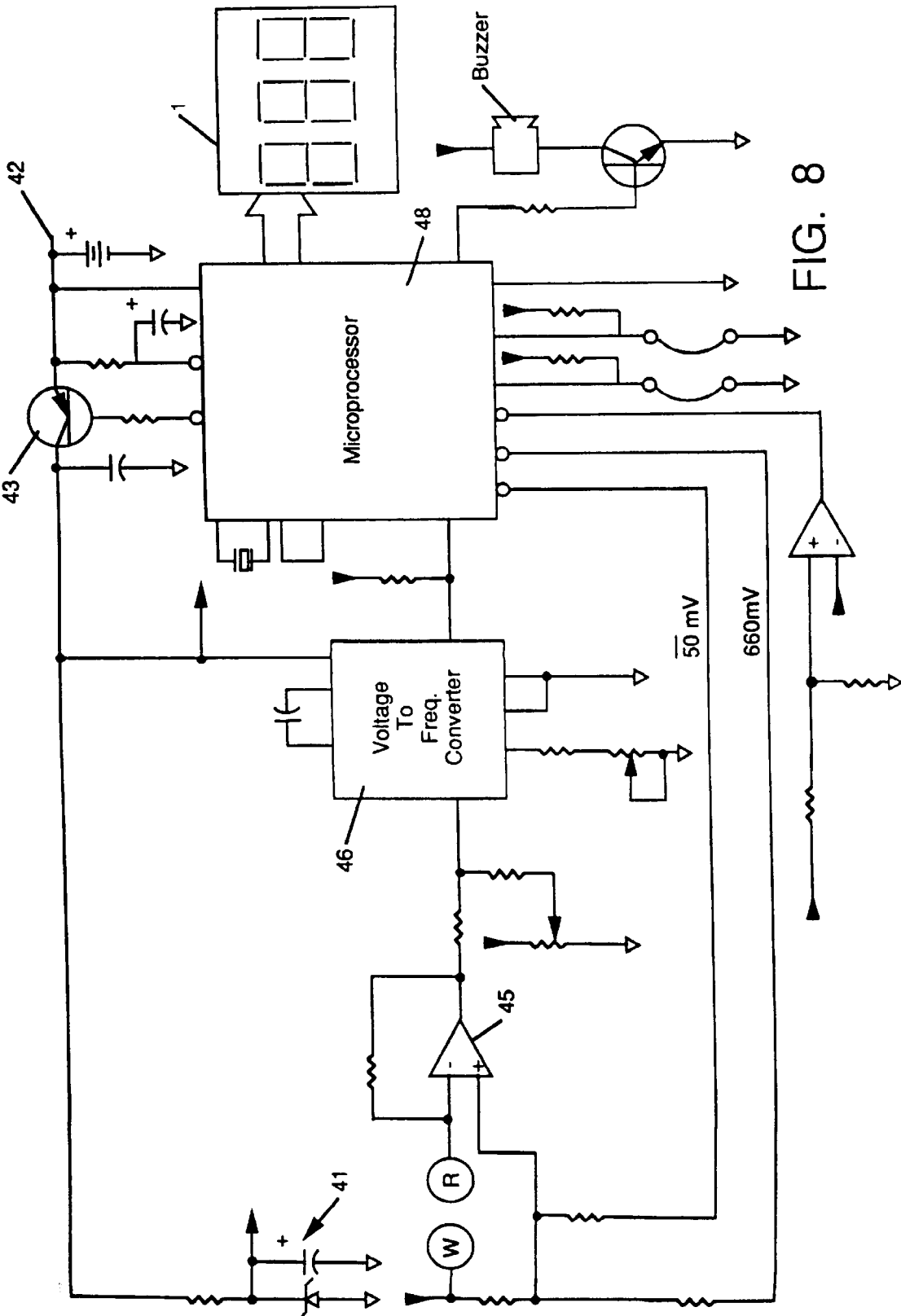
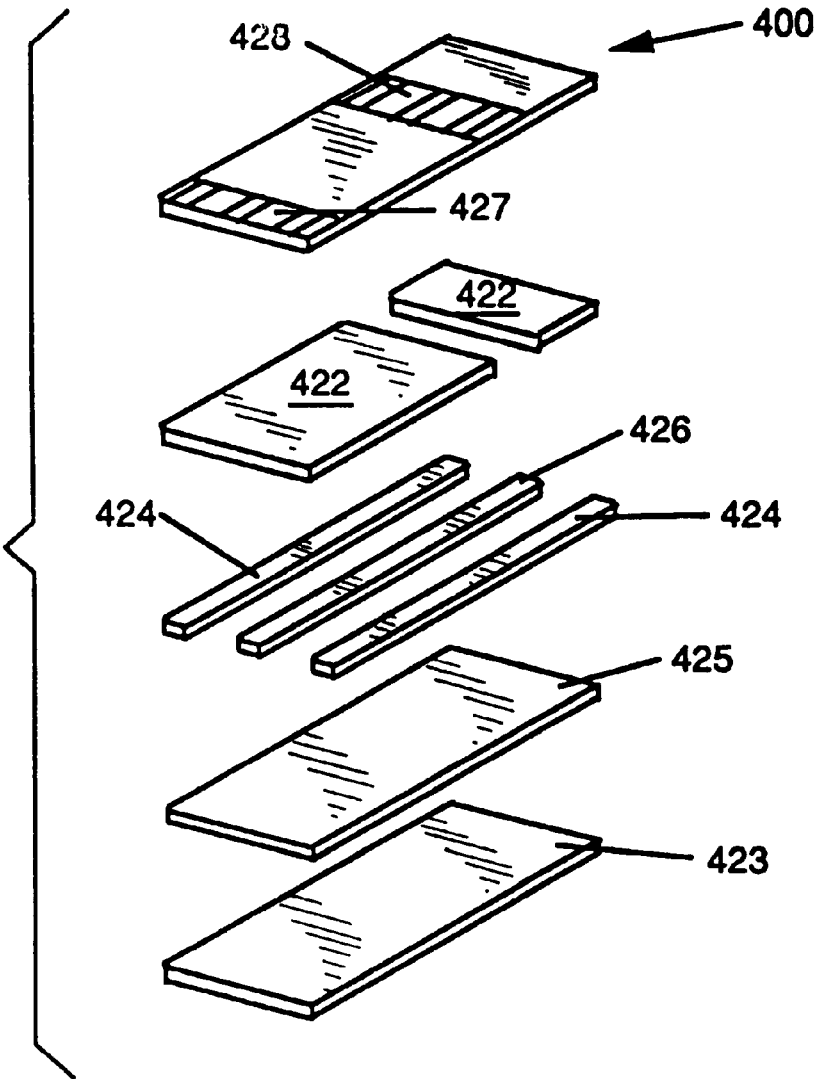


FIG. 8

FIG. 9



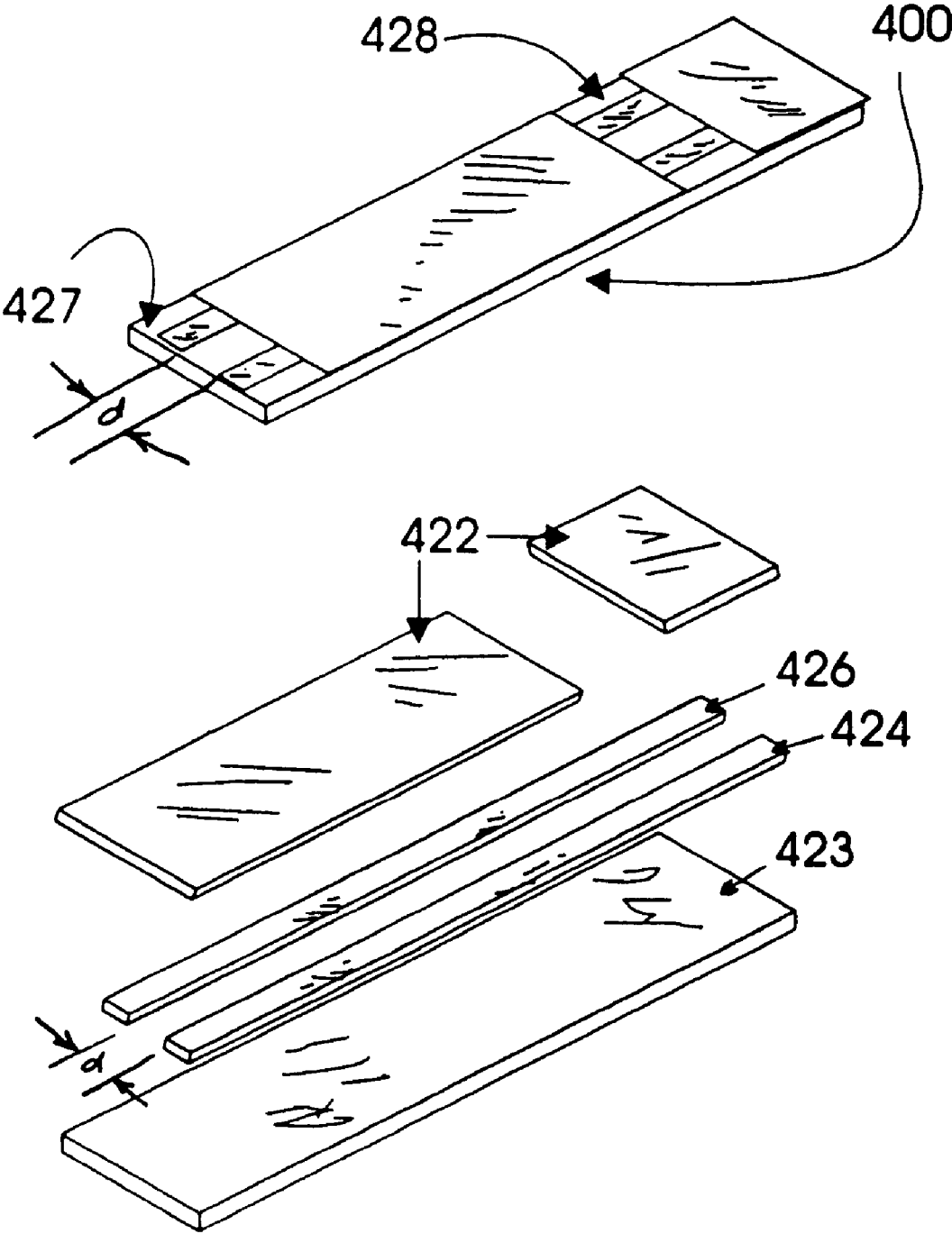
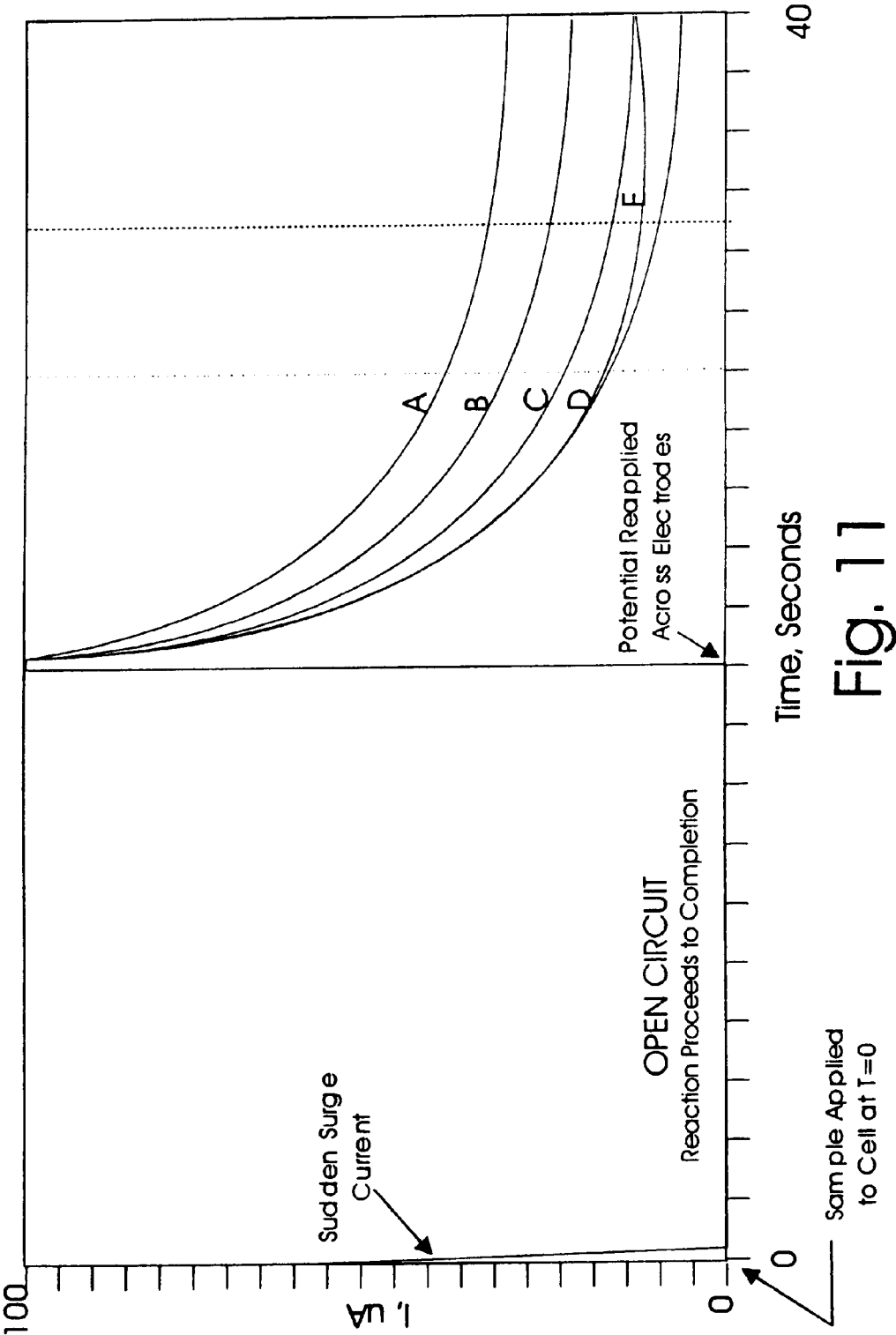


FIG. 10



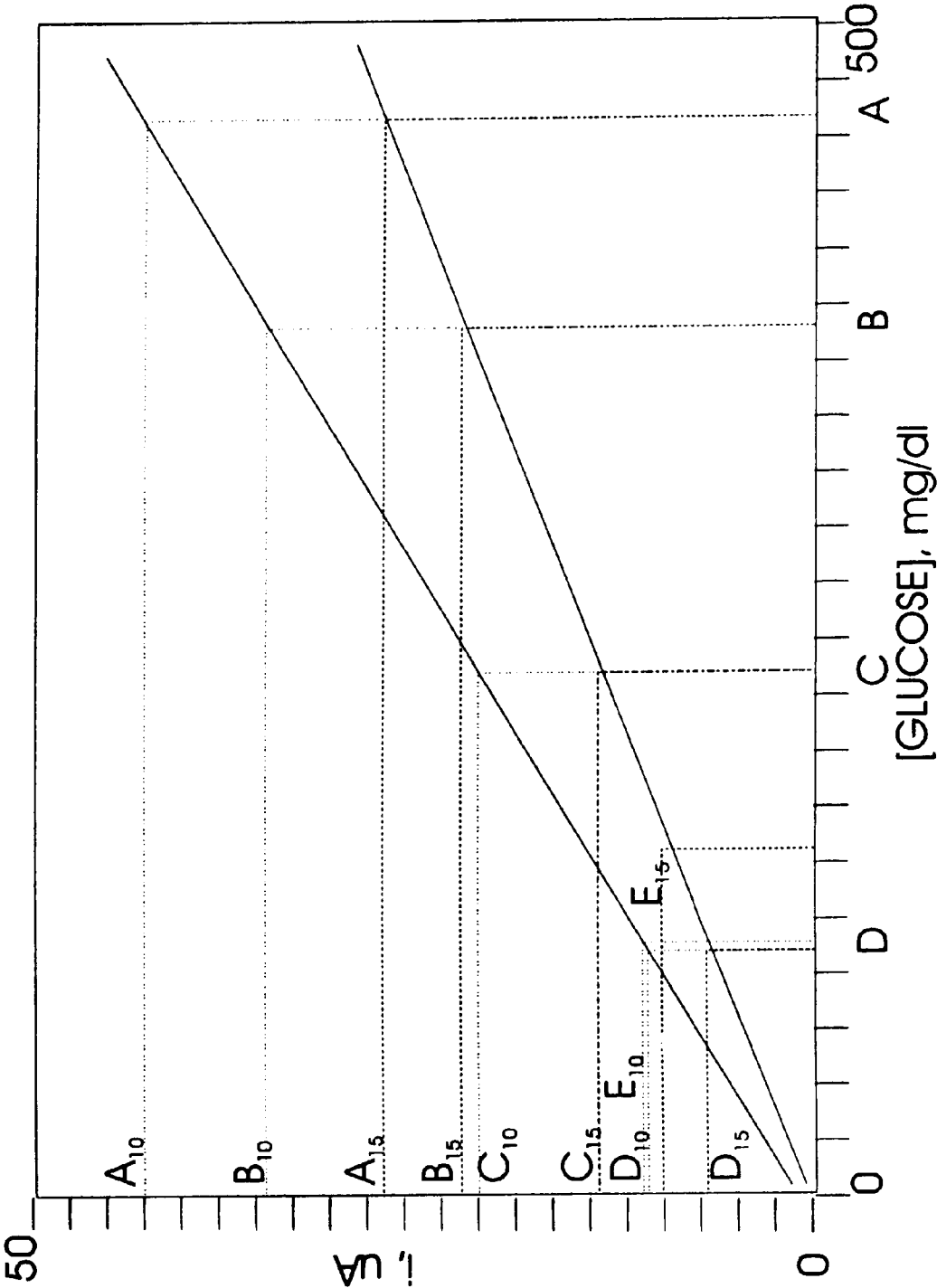


Fig. 12

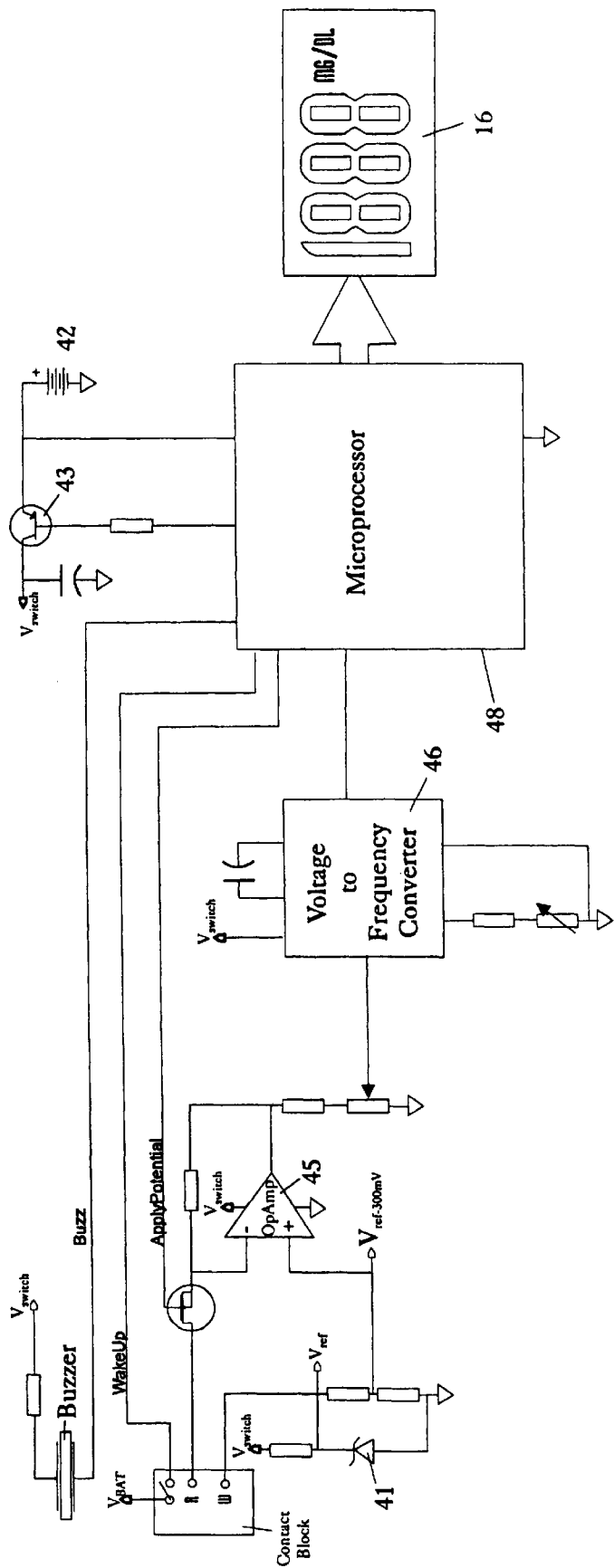


Fig. 13

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APPARATUS FOR AMPEROMETRIC
DIAGNOSTIC ANALYSIS

FIELD OF THE INVENTION

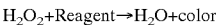
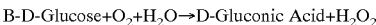
The present invention relates to a disposable electro-analytical cell and a method and apparatus for quantitatively determining the presence of biologically important compounds such as glucose; hormones, therapeutic drugs and the like from body fluids.

Although the present invention has broad applications, for purposes of illustration of the invention specific emphasis will be placed upon its application in quantitatively determining the presence of a biologically important compound—glucose.

With Respect To Glucose

Diabetes, and specifically diabetes mellitus, is a metabolic disease characterized by deficient insulin production by the pancreas which results in abnormal levels of blood glucose. Although this disease afflicts only approximately 4% of the population in the United States, it is the third leading cause of death following heart disease and cancer. With proper maintenance of the patient's blood sugar through daily injection of insulin, and strict control of dietary intake, the prognosis for diabetics is excellent. The blood glucose levels must, however, be closely followed in the patient either by clinical laboratory analysis or by daily analyses which the patient can conduct using relatively simple, non-technical, methods.

At present, current technology for monitoring blood glucose is based upon visual or instrumental determination of color change produced by enzymatic reactions on a dry reagent pad on a small plastic strip. These colorimetric methods, which utilize the natural oxidant of glucose to gluconic acid, specifically oxygen, are based upon the reactions:



Wherein glucose oxidase catalyzes the conversion of B-D Glucose to D-Gluconic Acid. The hydrogen peroxide produced is measured by reflectance spectroscopic methods by its reaction with various dyes, in the presence of the enzyme peroxidase, to produce a color that is monitored.

While relatively easy to use, these tests require consistent user technique in order to yield reproducible results. For example, these tests require the removal of blood from a reagent pad at specified and critical time intervals. After the time interval, excess blood must be removed by washing and blotting, or by blotting alone, since the color measurement is taken at the top surface of the reagent pad. Color development is either read immediately or after a specified time interval.

These steps are dependent upon good and consistent operating technique requiring strict attention to timing. Moreover, even utilizing good operating technique, calorimetric methods for determining glucose, for example, have been shown to have poor precision and accuracy, particularly in the hypoglycemic range. Furthermore, instruments used for the quantitative calorimetric measurement vary widely in their calibration methods: some provide no user calibration while others provide secondary standards.

Because of the general lack of precision and standardization of the various methods and apparatus presently available to test for biologically important compounds in body

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fluids, some physicians are hesitant to use such equipment for monitoring levels or dosage. They are particularly hesitant in recommending such methods for use by the patients themselves. Accordingly, it is desirable to have a method and apparatus which will permit not only physician but patient self-testing of such compounds with greater reliability.

The present invention addresses the concerns of the physician by providing enzymatic amperometry methods and apparatus for monitoring compounds within whole blood, serum, and other body fluids. Enzymatic amperometry provides several advantages for controlling or eliminating operator dependant techniques as well as providing a greater linear dynamic range. A system based on this type of method could address the concerns of the physician hesitant to recommend self-testing for his patients.

Enzymatic amperometry methods have been applied to the laboratory based measurement of a number of analytes including glucose, blood urea nitrogen, and lactate. Traditionally the electrodes in these systems consist of bulk metal wires, cylinders or disks imbedded in an insulating material. The fabrication process results in individualistic characteristics for each electrode necessitating calibration of each sensor. These electrodes are also too costly for disposable use, necessitating meticulous attention to electrode maintenance for continued reliable use. This maintenance is not likely to be performed properly by untrained personnel (such as patients); therefore, to be successful, an enzyme amperometry method intended for self-testing (or non-traditional site testing) must be based on a disposable sensor that can be produced in a manner that allows it to give reproducible output from sensor to sensor and at a cost well below that of traditional electrodes.

The present invention addresses these requirements by providing miniaturized disposable electroanalytic sample cells for precise micro-aliquot sampling, a self-contained, automatic means for measuring the electrochemical reduction of the sample, and a method for using the cell and apparatus according to the present invention.

The disposable cells according to the present invention are preferably laminated layers of metallized plastic and nonconducting material. The metallized layers provide the working and reference electrodes, the areas of which are reproducibly defined by the lamination process. An opening through these layers is designed to provide the sample-containing area or cell for the precise measurement of the sample. The insertion of the cell into the apparatus according to the present invention, automatically initiates the measurement cycle.

To better understand the process of measurement, a presently preferred embodiment of the invention is described which involves a two-step reaction sequence utilizing a chemical oxidation step using other oxidants than oxygen, and an electro-chemical reduction step suitable for quantifying the reaction product of the first step. One advantage to utilizing an oxidant other than dioxygen for the direct determination of an analyte is that such other oxidants may be prepositioned in the sensor in a large excess of the analyte and thus ensure that the oxidant is not the limiting reagent (with dioxygen, there is normally insufficient oxidant initially present in the sensor solution for a quantitative conversion of the analyte).

In the oxidation reaction, a sample containing glucose, for example, is converted to gluconic acid and a reduction product of the oxidant. This chemical oxidation reaction has been found to precede to completion in the presence of an enzyme, glucose oxidase, which is highly specific for the substrate B-D-glucose, and catalyzes oxidations with single

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and double electron acceptors. It has been found, however, that the oxidation process does not proceed beyond the formation of gluconic acid, thus making this reaction particularly suited for the electrochemical measurement of glucose.

In a presently preferred embodiment, oxidations with one electron acceptor using ferrocyanide, ferricinium, cobalt (III) orthophenanthroline, and cobalt (III) dipyriddy are preferred. Benzoquinone is a two electron acceptor which also provides excellent electro-oxidation characteristics for amperometric quantitation.

Amperometric determination of glucose, for example, in accordance with the present invention utilizes Cottrell current micro-chronoamperometry in which glucose plus an oxidized electron acceptor produces gluconic acid and a reduced acceptor. This determination involves a preceding chemical oxidation step catalyzed by a bi-substrate bi-product enzymatic mechanism as will become apparent throughout this specification.

In this method of quantification, the measurement of a diffusion controlled current at one or more accurately specified times (e.g., 5, 10, or 15 seconds) after the instant of application of a potential has the applicable equation for amperometry at a controlled potential ($E=\text{constant}$) of:

$$i_{COTTRELL} = (nFA(Dt))^{-0.5} \cdot C_{analyte} \quad \text{at } t=0$$

which may also be expressed as:

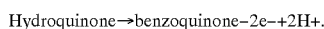
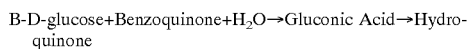
$$i(t) = nFAC_{metabolite}(D)^{0.5}(\pi t)^{-0.5}$$

where i denotes current, nF is the number of coulombs per mole, A is the area of the electrode, D is the diffusion coefficient of the reduced form of the reagent, t is the preset time at which the current is measured, and C is the concentration of the metabolite. Measurements by the method according to the present invention of the current due to the reoxidation of the acceptors were found to be proportional to the glucose concentration in the sample.

The method and apparatus of the present invention permit, in preferred embodiments, direct measurements of blood glucose, cholesterol and the like. Furthermore, the sample cell according to the present invention, provides the testing of controlled volumes of blood without premeasuring. Insertion of the sample cell into the apparatus thus permits automatic functioning and timing of the reaction allowing for patient self-testing with a very high degree of precision and accuracy.

One of many of the presently preferred embodiments of the invention for use in measuring B-D glucose is described in detail to better understand the nature and scope of the invention. In particular, the method and apparatus according to this embodiment are designed to provide clinical self-monitoring of blood glucose levels by a diabetic patient. The sample cell of the invention is used to control the sampling volume and reaction media and acts as the electrochemical sensor. In this described embodiment, benzoquinone is used as the electron acceptor.

The basic chemical binary reaction utilized by the method according to one preferred embodiment of the present invention is:



The first reaction is an oxidation reaction which proceeds to completion in the presence of the enzyme glucose oxi-

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dase. Electrochemical oxidation takes place in the second part of the reaction and provides the means for quantifying the amount of hydroquinone produced in the oxidation reaction. This holds true whether catalytic oxidation is conducted with two-electron acceptors or one electron acceptor such as ferricyanide [wherein the redox couple would be $\text{Fe}(\text{CN})_6^{-3}/\text{Fe}(\text{CN})_6^{-4}$], ferricinium, cobalt III orthophenanthroline and cobalt (III) dipyrdivyl.

Catalytic oxidation by glucose oxidase is highly specific for B-D-glucose, but is nonselective as to the oxidant. It has now been discovered that the preferred oxidants described above have sufficiently positive potentials to convert substantially all of the B-D-glucose to gluconic acid. Furthermore, this system provides a means by which amounts as small as 1 mg of glucose (in the preferred embodiment) to 1000 mg of glucose can be measured per deciliter of sample—results which have not previously been obtained using other glucose self-testing systems.

The sensors containing the chemistry to perform the desired determination, constructed in accordance with the present invention, are used with a portable meter for self-testing systems. In use, the sensor is inserted into the meter, which turns the meter on and initiates a wait for the application of the sample. The meter recognizes sample application by the sudden charging current flow that occurs when the electrodes and the overlaying reagent layer are initially wetted by the sample fluid. Once the sample application is detected, the meter begins the reaction incubation step (the length of which is chemistry dependent) to allow the enzymatic reaction to reach completion. This period is on the order of 15 to 90 seconds for glucose, with incubation times of 20 to 45 seconds preferred. Following the incubation period, the instrument then imposes a known potential across the electrodes and measures the resulting diffusion limited (i.e., Cottrell) current at specific time points during the Cottrell current decay. Current measurements can be made in the range of 2 to 30 seconds following potential application with measurement times of 10 to 20 seconds preferred. These current values are then used to calculate the analyte concentration which is then displayed. The meter will then wait for either the user to remove the sensor or for a predetermined period before shutting itself down.

Due to the nature of the Cottrell current, it is possible to develop a calibration curve at more than one time point following application of the potential in order to verify that the measurement is being accurately made. Results can then be calculated at the different time points and compared. This is illustrated schematically in FIGS. 11 and 12; which indicate expected, or "normal" Cottrell curves, A, B, C, D, for various glucose concentrations and an abnormal curve E, showing divergence from expected curve D as indicated by the multiple current readings. In a system that is operating correctly, the results should agree within reasonable limits. The exact range of acceptable difference between the expected and measured currents depends on a number of compromises but would generally be in the range of 1–10%. Results outside of the acceptable limits would indicate some problem with the system. For instance, incomplete wetting of the reagent (i.e., too small of a drop of blood) would result in failure to follow the Cottrell curve decay and result in a higher value being calculated at subsequent measurement points than would have been expected for Cottrell current curve delay. FIG. 13 represents a schematic circuit diagram which can be employed in producing a preferred embodiment of the invention for taking multiple current measurements.

The present invention provides for a measurement system that eliminates several of the critical operator dependant

variables that adversely affect the accuracy and reliability and provides for a greater dynamic range than other self-testing systems.

These and other advantages of the present invention will become apparent from a perusal of the following detailed description of one embodiment presently preferred for measuring glucose and other analytes which is to be taken in conjunction with the accompanying drawings in which like numerals indicate like components and in which:

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is an exploded view of a portable testing apparatus according to the present invention;

FIG. 2 is a plan view of the sampling cell of the present invention;

FIG. 3 is an exploded view of the sample cell shown in FIG. 2;

FIG. 4 is an exploded view of another embodiment of a sample cell according to the invention;

FIG. 5 is a plan view of the cell shown in FIG. 4;

FIG. 6 is a graph showing current as a function of glucose concentration;

FIG. 7 is a graphical presentation of Cottrell current as a function of glucose concentration; and

FIG. 8 is a presently preferred circuit diagram of an electrical circuit for use in the apparatus shown in FIG. 1.

FIG. 9 is a preferred embodiment of the electrochemical cell of the invention wherein the reference electrode area is greater than the working electrode area.

FIG. 10 is a preferred embodiment of the invention wherein the two electrodes are co-planar, equal size, and preferably the same noble metal.

FIG. 11 is a graph showing multiple current measurements of the present invention.

FIG. 12 is a graph correlating measured current to glucose concentration for the curves of FIG. 11.

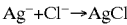
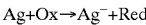
FIG. 13 is a schematic circuit diagram depicting a preferred embodiment of the invention.

With specific reference to FIG. 1, a portable electrochemical testing apparatus 10 is shown for use in patient self-testing, such as, for example, for blood glucose levels. Apparatus 10 comprises a front and back housing 11 and 12, respectively, a front panel 13 and a circuit board 15. Front panel 13 includes graphic display panels 16 for providing information and instructions to the patient, and direct read-out of the test results. While a start button 18 is provided to initiate an analysis, it is preferred that the system begin operation when a sample cell 20 (FIG. 2) is inserted into the window 19 of the apparatus.

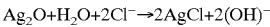
With reference to FIGS. 2 and 3, sample cell 20 is a metallized plastic substrate having a specifically-sized opening 21 which defines a volumetric well 21, when the cell is assembled, for containing a reagent pad and the blood to be analyzed. Cell 20 comprises a first substrate 22 and a second substrate 23 which may be preferably made from styrene or other substantially non-conducting plastic, such as polyimide, polyethylene, etc. Polyimide has proven particularly preferred because the metal adheres well to it, and the electrodes may be more readily slitted to the desired width. Polyimide sold under the trademark KAPTON, and available from DuPont, has proven particularly advantageous.

Positioned on second substrate 23 is reference electrode 24. Reference electrode 24 may be preferably manufactured, for example, by vapor depositing or "sputtering" the elec-

trode onto a substrate made from a material such as the polyimide Kapton. In one preferred embodiment, reference electrode 24 is a silver—silver chloride electrode. This electrode can be produced by first depositing a silver chloride layer on a silver layer by either chemical or electrochemical means before the substrate is used to construct the cells. The silver chloride layer may even be generated in-situ on a silver electrode when the reagent layer contains certain of the oxidants, such as ferricyanide, and chloride as shown in the following reactions:



Alternatively the silver—silver chloride electrode can be produced by depositing a layer of silver oxide (by reactive sputtering) onto the silver film. The silver oxide layer is then converted in-situ at the time of testing to silver chloride according to the reaction:



when the sensor is wetted by the sample fluid and reconstitutes the chloride containing reagent layer. The silver electrode is thus coated with a layer containing silver chloride.

The reference electrode may also be of the type generally known as a "pseudo" reference electrode which relies upon the large excess of the oxidizing species to establish a known potential at a noble metal electrode. In a preferred embodiment, two electrodes of the same noble metal or carbon are used, however one is generally of greater surface area and is used as the reference electrode. The large excess of the oxidized species and the larger surface area of the reference resists a shift of the potential of the reference electrode.

The primary requirement for the pseudo-reference (as is also the case with traditional reference electrodes) is that it should be able to supply the necessary current (in opposition to the current flow at the working electrode) without significant shift in its potential. Providing a larger surface area for the reference electrode than for the working electrode is one way to accomplish this. When high concentration of the oxidant are utilized and/or the range of currents is kept relatively low, e.g., less than about 20 to 40 milliamps/cm², with 0.1M ferricyanide as the oxidant, it is possible to reduce the ratio of the reference to working electrode to 1:1 or even less. The use of equal size electrodes offers some advantage in terms of manufacture but with potentially a limitation to the upper range.

In the case of same size (i.e., same surface area) electrodes, a large excess of oxidized species (i.e., wherein the oxidized form of the redox mediator (i.e., ferricyanide) is present in the reagent layer in sufficient excess to insure that the diffusion limited electrooxidation of the redox mediator at the working electrode surface is the principal limiter of current flow through the cell and resists a shift of the potential of the second electrode (i.e., pseudo-reference) vis-a-vis the first (i.e., working) electrode.

In a highly preferred embodiment of the invention, the two-electrode system uses same size, same metal electrodes. Preferably noble metals, such as palladium are used. In this palladium vs. palladium embodiment, a potential of +0.30 volts applied between the electrodes has proven effective when ferricyanide is the oxidant. Acceptable same-size palladium vs. palladium coplanar-electrodes may be produced from metalized plastic slitted by a high performance slitter such as Metlon Corp., 133 Francis Avenue, Cranston, R.I. 02910.

In the pseudo-reference instance, care must be exercised in the spacing of the electrodes. This is due to the fact that as the second electrode functions to provide the balancing current, it is actually producing the reduced form of the oxidant (redox mediator). If the electrodes are spaced too closely together, the reduced form produced at the second electrode can diffuse toward the working electrode, where it would then be re-oxidized, adding to the current flow due to the oxidation of the reduced form produced by the enzymatic reaction. The net effect would be a departure from the expected Cottrell current decay curve illustrated in FIGS. 7 and 11.

This problem is potentially aggravated when the size of the second electrode, vis-a-vis the first, is reduced, such as in the case of same-size electrodes or when the second electrode is smaller than the working electrode. This is due to the higher effective concentration of reduced oxidant produced over the surface of the second electrode. This can be shown by the following analysis. The current flow at the two electrodes can be represented by the Cottrell equation. For the first electrode, that is:

$$i_t = \frac{nFA_{1st}DC_{1st}}{\sqrt{\pi Dt}}$$

At the second electrode that is:

$$i_t = \frac{nFA_{2nd}DC_{2nd}}{\sqrt{\pi Dt}}$$

Since the two currents must be of the same magnitude (but opposite sign), the following is true at any given time:

$$dC_{1st}A_{1st}=dC_{2nd}A_{2nd}$$

Where A_{1st} is the area of the first (i.e., working) electrode, C_{1st} is the concentration of the reduced form of oxidant in the solution in the reagent layer at time zero (the instant the potential is applied), A_{2nd} is the area of the second (i.e., reference or counter) electrode, and C_{2nd} is the concentration of reduced form of the oxidant generated at or by the second electrode at time zero (the instant the potential is applied).

Since the working electrode is poised to control the current flow, the second electrode acts to balance current flow. Thus, the smaller the second electrode, the higher the concentration of the reduced oxidant produced at the surface of that electrode; the higher the concentration, the greater the diffusion gradient and the greater the potential for the reduced oxidant produced at the second electrode to diffuse towards the working electrode and cause an undesired additional current flow, resulting in a departure from expected Cottrell current flow for the system. First and second electrodes 424, 426 must be spaced apart by a distance d (FIG. 10) sufficient to avoid this departure from expected Cottrell current. A distance d of at least 0.1 mm, preferably greater than 0.1 mm, has proven effective at current flows of 0–50 microamps and about 5 mm² working electrode area. The higher the expected current density, the greater d must be in order to insure true Cottrell measurement. Also, if measurement times exceed about 30 seconds, it is necessary to increase the distance d. In a highly preferred embodiment of the invention, d is about 1–2 mm, A_{1st} and A_{2nd} are both about 5 mm², current flow is about 0–100 microamps, with measurement time of less than 30 seconds.

The maximum spacing for d is dictated by the conductivity of the solution, which effects to the actual voltage at

the electrodes (obviated in 3-electrode systems). Practical considerations, however, dictate that the spacing d be as small as technically feasible to minimize substrate, reagent, and sample size requirements. Ideally, the preferred sample is the size of a drop of blood of less than 20 microliters, which must bridge the electrodes and wet the reagent layer. As a practical matter, the spacing d should not exceed about 1 cm because of the difficulty of bridging such a gap with a drop of blood of this size and substantially instantaneously spreading that drop of blood across the reagent layer. It is important that the sample, i.e., blood, spread across the reagent layer substantially instantaneously following application of the sample to the cell, in order to avoid migration of reagents, or erosion of reagents from the site at which the drop of blood was applied. The wicking layer is helpful in this regard.

EXAMPLE 1

A two mm² palladium indicator electrode was referenced to a two mm² Ag/Ag₂O electrode and the electrodes were spaced apart by a 2 mm gap. Measurements were taken from sample cells including the reagents described herein, including an analyte (i.e., glucose) the oxidized form of a redox mediator (i.e., ferricyanide), an enzyme (i.e., glucose oxidase), and a buffer (i.e., phosphate). Preferably, in the case of same size, same noble metal electrodes, the oxidized form of the redox mediator is present in sufficient quantity to insure that the counter electrode current, i.e., that produced at the reference electrode, is not limiting as a result of conversion to the reduced form of the redox mediator at the working electrode surface. The data for the results, summarized in Table 1, were obtained 10 seconds after current was applied.

For 5 millimolar ferrocyanide corresponding to 4 millimolar glucose (72 mg/dl), an average current of 27.1 microamps was obtained. The relative standard deviation was 5.3%. For 20 millimolar ferrocyanide, an average current of 102.9 was obtained and the relative standard deviation was 3.9%. In this experiment, the volumes tested were 50 microliters, although smaller volumes such as 10 microliters could be employed if the area of the 2 mm² electrode strips were decreased.

A concentration series for ferrocyanide from 0 through 30 millimolar was similarly obtained. A plot of the currents at 10 seconds vs. ferrocyanide concentration indicated a linearly increasing current with concentration through 25 millimolar (approximately 360 mg/dl glucose). Similar results can be obtained when both same-size electrodes are the same noble metal, such as palladium.

The buffer used in the reagent layer is preferably non-reactive with respect to the reduced and oxidized form of the redox mediator (i.e., has a higher oxidation potential). Phosphate buffer has proven effective in this regard, although other suitable buffers would, of course, now be readily apparent to those of ordinary skill in the art.

TABLE 1

Cottrell Current, microamps, at 10 seconds after E is applied	Fe (CN) ₆ ⁴⁻ Concentration, millimolar	Corresponding Glucose Concentration, mg/dl
0	0	0
27	5	72
58	10	144
75	15	216

TABLE 1-continued

Cottrell Current, microamps, at 10 seconds after E is applied	Fe (CN) ₆ ⁴⁻ Concentration, millimolar	Corresponding Glucose Concentration, mg/dl
103	20	288
142	25	360
136	30	432

Indicator or working electrode **26** can be either a strip of platinum, gold, or palladium metallized plastic positioned on reference electrode **24**, or, alternately, as shown in FIG. **10**, the working electrode **426** and reference electrode **424** are laminated between an upper **422** and lower **423** non-conducting (i.e. electrically insulating) material, such as polyethylene or polystyrene. Preferably, sample cell **20** is prepared by sandwiching or laminating the electrodes between the substrate to form a composite unit. Of course, other methods of applying the metal or other electrically conducting material, such as, without limitation, silk screening, vapor deposition, electrolysis, adhesion, etc., may also be employed.

Of course, any combination of suitable electrode pairs may be used. Names other than “working” vs. “reference” electrodes which have been interchangeably used in electrochemical applications include, “working” v.s. “counter” electrodes, “excitation” v. “source” electrodes, or three electrode systems having a “working” electrode, a “reference” electrode, and an “auxiliary” electrode. In the case of a three-electrode system, the auxiliary electrode completes the circuit, allowing current to flow through the cell, while the reference electrode maintains a constant interfacial potential difference regardless of the current. In the two electrode scenario, the second electrode serves both the function of an auxiliary and reference electrode. Regardless of the nomenclature employed, the electrochemistry of interest in the present invention occurs at a first electrode (i.e., working) and a second electrode (i.e., reference or counter) acts to counter balance current flow (i.e., provide opposing current flow to the first electrode) and fix the operating potential of the system.

As shown in FIG. **2**, first substrate **22** is of a slightly shorter length so as to expose an end portion **27** of electrodes **24** and **26** and allow for electrical contact with the testing circuit contained in the apparatus. In this embodiment, after a sample has been positioned within well **21**, cell **20** is pushed into window **19** of the front panel to initiate testing. In this embodiment, a reagent may be applied to well **21**, or, preferably, a pad of dry reagent is positioned therein and a sample (drop) of blood is placed into the well **21** containing the reagent.

Referring to FIGS. **4-5**, alternative embodiments of sample cell **20** are shown. In FIG. **4**, sample cell **120** is shown having first **122** and second **123** substrates. Reference electrode **124** and working electrode **126** are laminated between substrates **122** and **123**. Opening **121** is dimensioned to contain the sample for testing. End **130** (FIG. **5**) is designed to be inserted into the apparatus, and electrical contact is made with the respective electrodes through cut-outs **131** and **132** on the cell. Reference electrode **124** also includes cut out **133** to permit electrical contact with working electrode **126**.

Referring to FIGS. **1** and **2**, the sample cell according to the present invention is positioned through window **19** (FIG. **1**) to initiate the testing procedure. Once inserted, a potential is applied at portion **27** (FIG. **2**) of the sample cell across

electrodes **24** and **26** to detect the presence of the sample. Once the sample’s presence is detected, the potential is removed and the incubation period initiated. Optionally during this period, a vibrator means **31** (FIG. **1**) may be activated to provide agitation of the reagents in order to enhance dissolution (an incubation period of 20 to 45 seconds is conveniently used for the determination of glucose and no vibration is normally required). An electrical potential is next applied at portion **27** of the sample cell to electrodes **24** and **26** and the current through the sample is measured and displayed on display **16**.

To fully take advantage of the above apparatus, the needed chemistry for the self testing systems is incorporated into a dry reagent layer that is positioned onto the disposable cell creating a complete sensor for the intended analyte. The disposable electrochemical cell is constructed by the lamination of metallized plastics and nonconducting materials in such a way that there is a precisely defined working electrode area. The reagent layer is either directly coated onto the cell or preferably incorporated (coated) into a supporting matrix such as filter paper, membrane filter, woven fabric or non-woven fabric, which is then placed into the cell, substantially covering the electrode surfaces exposed by the cutout portion or window of the electrically insulating upper laminate. When a supporting matrix is used, its pore size and void volume can be adjusted to provide the desired precision and mechanical support. In general, membrane filters or nonwoven fabrics provide the best materials for the reagent layer support. Pore sizes of 0.45 to 50 um and void volumes of 50–90% are appropriate. The coating formulation generally includes a binder such as gelatin, carrageenan, methylcellulose, polyvinyl alcohol, polyvinylpyrrolidone, etc., that acts to delay the dissolution of the reagents until the reagent layer has absorbed most of the fluid from the sample. The concentration of the binder is generally on the order of 0.1 to 10% with 1–4% preferred.

The reagent layer imbibes a fixed amount of the sample fluid when it is applied to the surface of the layer thus eliminating any need for premeasurement of sample volume. Furthermore, by virtue of measuring current flow rather than reflected light, there is no need to remove the blood from the surface of the reagent layer prior to measurement as there is with reflectance spectroscopy systems. While the fluid sample could be applied directly to the surface of the reagent layer, to facilitate spread of blood across the entire surface of the reagent layer the sensor preferably includes a dispersing spreading or wicking layer. This layer, generally a nonwoven fabric or adsorbent paper, is positioned over the reagent layer and acts to rapidly distribute the blood over the reagent layer. In some applications this dispersing layer could incorporate additional reagents.

For glucose determination, cells utilizing the coplanar design were constructed having the reagent layer containing the following formulations:

Glucose oxidase	600 units/ml
Potassium Ferricyanide	0.4 M
Phosphate Buffer	0.1 M
Potassium Chloride	0.5 M
Gelatin	2.0 g/dl

This was produced by coating a membrane filter with a solution of the above composition and air drying. The reagent layer was then cut into strips that just fit the window opening of the cells and these strips were placed over the electrodes exposed within the windows. A wicking layer of

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a non-woven rayon fabric was then placed over this reagent layer and held in place with an overlay tape.

As will now be readily appreciated by those of ordinary skill in the art, the enzyme (i.e., glucose oxidase) is sufficient in type and amount to catalyze the reaction (i.e., receive at least one electron from the reaction) involving the enzyme, the analyte (i.e., glucose) and the oxidized form of the redox mediator (i.e., ferricyanide). Optionally, surfactant can also be used in the reagent layer to promote wetting of the reagent by the sample containing the analyte.

In order to prove the application of the technology according to the present invention, a large number of examples were run in aqueous solution at 25° C. The electrolyte consisted of a phosphate buffer of pH 6.8 which was about 0.1 molar total phosphate and 0.5M potassium chloride reagent. The potentials are referenced to a normal hydrogen electrode (NHE). In these tests it was found that any potential between approximately +0.8 and 1.2 volt (vs NHE) is suitable for the quantification of hydroquinone when benzoquinone is used as the oxidant. The limiting currents are proportional to hydroquinone concentrations in the range between 0.0001M and 0.050M.

Determination of glucose by Cottrell current (*i_t*) micro-chronoamperometry with the present method is created in the reaction of hydroquinone to benzoquinone. Cottrell currents decay with time in accordance with the equation:

$$i_t^{1/2} = \text{const}$$

where t denotes time.

The main difference between these two techniques consists of applying the appropriate controlled potential after the glucose-benzoquinone reaction is complete and correlating glucose concentrations with Cottrell currents measured at a fixed time thereafter. The current-time readout is shown in FIG. 7. Proportionality between glucose concentrations and Cottrell currents (recorded at t=30 seconds after the application of potential) is shown in FIG. 6.

It should be noted that Cottrell chronoamperometry of metabolites needs the dual safeguards of enzymatic catalysis and controlled potential electrolysis. Gluconic acid yields of 99.9+ percent were attained in the presence of glucose oxidase. Concomitantly, equivalent amounts of benzoquinone were reduced to hydroquinone, which was conveniently quantitated in quiescent solutions, at stationary palladium thin film anodes or sample cells.

The results of these many tests demonstrates the micro-chronoamperometric methodology of the present invention and its practicality for glucose self-monitoring by diabetics.

In a presently preferred embodiment of the invention utilizing ferricyanide, a number of tests were run showing certain improved operating capabilities.

Referring to FIG. 8, a schematic diagram of a preferred circuit 15 for use in the apparatus 10 is shown. Circuit 15 includes a microprocessor and LCD panel 16. The working and reference electrodes on the sample cell 20 make contact at contacts W (working electrode) and R (reference electrode), respectively. Voltage reference 41 is connected to battery 42 through analogue power switch 43. Current from electrodes W and R is converted to a voltage by op amp 45. That voltage is converted into a digital signal (frequency) by a voltage to frequency converter 46 electrically connected to the microprocessor 48. The microprocessor 48 controls the timing of the signals. Measurement of current flow is converted by microprocessor 48 to equivalent glucose, cholesterol or other substance concentrations. Other circuits within the skills of a practiced engineer can now be utilized to obtain the advantages of the present invention.

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With regard to FIG. 9, cell 400 consists of coplanar first (i.e., working) 426 and second (i.e., reference) 424 electrodes laminated between an upper 422 and lower 423 nonconducting (i.e., electrically insulating) material. Lamination is on an adhesive layer 425. The upper material 422 includes a die cut opening 428 which, along with the width of the working electrode material defines the working electrode area and provides (with an overlapping reagent layer not depicted) the sampling port of the cell. As illustrated, this die-cut opening is rectangular or square, which has proven advantageous from the standpoint of reproducibility, as such openings are more readily centered over the co-planar electrodes than circular openings. At one end of cell 400 is an open area 427 similar to end position 27 of FIG. 2. In the case of same size (i.e., same surface area first and second electrodes 426, 424 as illustrated in FIG. 10), the opening 428 insures that equal surface areas of the first and second electrodes are exposed.

The efficiency of using the apparatus according to the present invention to provide a means for in-home self testing by patients such as diabetics (in the preferred embodiment) can be seen in the following table in which the technology according to the present invention is compared to four commercially available units. As will be seen, the present invention is simpler, and in this instance simplicity breeds consistency in results.

GLUCOSE SYSTEM COMPARISONS					
Steps	1	2	3	4	Present Invention
Turn Instrument On	X	X	X	X	X
Calibrate Instrument	X	X			
Finger Puncture	X	X	X	X	X
Apply Blood	X	X	X	X	X
Initiate Timing Sequence	X	X	X		
Blot	X	X	X		
Insert Strip to Read	X	X	X	X	
Read Results	X	X	X	X	X
Total Steps Per Testing	8	8	7	5	4
Detection System	RS'	RS	RS	RS	Polarographic
Range (mg/dl) CV"	10-400	40-400	25-450	40-400	0-1000
Hypoglycemic	15%	15%			5%
Euglycemic	10%	10%			3%
Hyperglycemic	5%	5%			2%
Correlation	0.921	0.862			0.95

'RS - Reflectance Spectroscopy
"Coefficient of variation

Thus, while we have illustrated and described the preferred embodiment of our invention, it is to be understood that this invention is capable of variation and modification, and we therefore do not wish or intend to be limited to the precise terms set forth, but desire and intend to avail ourselves of such changes and alterations which may be made for adapting the present invention to various usages and conditions. Accordingly, such changes and alterations are properly intended to be within the full range of equivalents, and therefore within the purview, of the following claims. The terms and expressions which have been employed in the foregoing specifications are used therein as terms of description and not of limitation, and thus there is no intention, in the use of such terms and expressions, of excluding equivalents of the features shown and described

or portions thereof, it being recognized that the scope of the invention is defined and limited only by the claims which follow.

Having thus described our invention and the manner and process of making and using it in such full, clear, concise, and exact terms so as to enable any person skilled in the art to which it pertains, or to with which it is most nearly connected, to make and use the same.

We claim:

1. An apparatus for measuring compounds in a sample fluid, comprising:

- a) a housing having an access opening therethrough;
- b) a sample cell receivable into said access opening of said housing, said sample cell being composed of:
 - (i) a first electrode which acts as a working electrode;
 - (ii) a second electrode which acts to fix the system potential and provide opposing current flow with respect to said first electrode, said second electrode being made of the same electrically conducting material as said first electrode, and being operatively associated with said first electrode, the ratio of the surface area of said second electrode to the surface area of said first electrode being 1:1 or less;
 - (iii) at least one non-conducting layer member having an opening therethrough, said at least one non-conducting layer member being disposed in contact with at least one of said first and second electrodes and being sealed against at least one of said first and second electrodes to form a known electrode area within said opening such that said opening forms a well to receive the sample fluid and to allow a user of said apparatus to place the sample fluid in said known electrode area in contact with said first electrode and said second electrode;
- c) means for applying an electrical potential to both said first electrode and said second electrode;
- d) means for creating an electrical circuit between said first electrode and said second electrode through the sample fluid;
- e) means for measuring a first Cottrell current reading through the sample fluid at a first predetermined time after the electrical potential is applied and for obtaining at least one additional Cottrell current reading through the sample fluid, the at least one additional Cottrell current reading occurring at a second predetermined time following the first predetermined time;
- f) microprocessor means for converting the first Cottrell current reading into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement, for converting the at least one additional Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one additional Cottrell current measurement, and for comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other; and
- g) means for visually displaying the results of said analyte concentration measurements.

2. The apparatus of claim 1, further comprising:

means for initiating an electrical potential upon insertion of the sample fluid to detect the presence of the sample fluid, and said initiation means including means for signaling said microprocessor means to commence a reaction timing sequence when the presence of the

sample fluid is detected, and means for removing the potential during the reaction timing sequence.

3. The apparatus of claim 1, wherein said first and second electrodes are spaced apart by a distance of at least about 0.1 mm and at most about 1 cm.

4. A device for obtaining measurements of an analyte contained in a sample in order to determine the concentration of analyte in the sample, said device comprising:

- a) a first electrical insulator;
- b) a pair of electrodes comprising working and counter electrodes, the working and counter electrodes being made of the same electrically conducting materials and being supported on the first electrical insulator;
- c) a second electrical insulator, overlaying said first electrical insulator and said working and counter electrodes and including a cutout portion that exposes surface areas of said working and counter electrodes; and
- d) a reagent, substantially covering the exposed electrode surfaces in the cutout portion and comprising the oxidized form of a redox mediator, and an enzyme, the oxidized form of the redox mediator being capable of receiving at least one electron from a reaction involving enzyme, analyte, and the oxidized form of the redox mediator and being in sufficient amount to insure that current produced by diffusion limited electrooxidation as a result of the reaction is limited by the oxidation of the reduced form of the redox mediator at the working electrode surface,

the enzyme being present in sufficient amount to catalyze the reaction involving the enzyme, analyte, and the oxidized form of the redox mediator, and

said device configured to measure a first Cottrell current attributable to the diffusion limited electrooxidation at a first predetermined time, and to measure at least one second Cottrell current attributable to the diffusion limited electrooxidation at one or more subsequent predetermined times, said device including a microprocessor means for converting the first Cottrell current into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement, and for converting the at least one second Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one second Cottrell current measurement, and for comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other.

5. A system for obtaining measurements of analytes contained in a sample in order to determine the concentration of analyte in the sample, said system comprising reagents incorporated into a sample receiving portion of an electrochemical device that measures the analytes and that has a pair of electrodes comprising working and counter electrodes, the working and counter electrodes being made of the same electrically conducting materials, the ratio of the surface area of said counter electrode to the surface area of said working electrode being 1:1 or less, said reagents comprising:

- a) the oxidized form of a redox mediator, an enzyme, and a buffer,
- (i) the oxidized form of the redox mediator being capable of receiving at least one electron from a reaction involving enzyme, analyte, and the oxidized

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form of the redox mediator and being present in sufficient amount to insure that current produced by diffusion limited electrooxidation as a result of the reaction following application of a potential to the working and counter electrodes is limited by the oxidation of the reduced form of the redox mediator at the working electrode surface,

(ii) the enzyme being present in sufficient amount to catalyze the reaction involving the enzyme, analyte, and the oxidized form of the redox mediator, and

(iii) the buffer having a higher oxidation potential than the reduced form of the redox mediator and being present in sufficient amount to provide and maintain a pH at which the enzyme catalyzes the reaction involving the enzyme, analyte, and the oxidized form of the redox mediator, said system configured to measure a first Cottrell current attributable to the diffusion limited electrooxidation at a first predetermined time, and to measure at least one second Cottrell current attributable to the diffusion limited electrooxidation at one or more subsequent predetermined times, said system including a microprocessor means for converting the first Cottrell current into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement, and for converting the at least one second Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one second Cottrell current measurement, and for comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other.

6. A system for obtaining measurements of analytes contained in a sample in order to determine the concentration of analyte in the sample, said system comprising reagents incorporated into a sample receiving portion of an electrochemical device that measures an analyte and that has a pair of electrodes comprising working and counter electrodes, the working and counter electrodes being made of the same electrically conducting materials, the ratio of the surface area of said counter electrode to the surface area of said working electrode being 1:1 or less, said reagents comprising:

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a) the reduced form of a redox mediator, an enzyme, and a buffer,

(i) the reduced form of the redox mediator being capable of donating at least one electron from a reaction involving enzyme, analyte, and the reduced form of the redox mediator and being present in sufficient amount to insure that current produced by diffusion limited electroreduction as a result of the reaction following application of a potential to the working and counter electrodes is limited by the reduction of the oxidized form of the redox mediator at the working electrode surface,

(ii) the enzyme being present in sufficient amount to catalyze the reaction involving the enzyme, analyte, and the reduced form of the redox mediator, and

(iii) the buffer having a lower reduction potential than the oxidized form of the redox mediator and being present in sufficient amount to provide and maintain a pH at which the enzyme catalyzes the reaction involving the enzyme, analyte, and the reduced form of the redox mediator, said system configured to measure a first Cottrell current attributable to the diffusion limited electroreduction at a first predetermined time, and to measure at least one second Cottrell current attributable to the diffusion limited electroreduction at one or more subsequent predetermined times, and including microprocessor means for converting the first Cottrell current into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement, and for converting the at least one second Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one second Cottrell current measurement, and for comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other.

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EXHIBIT “B”



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(12) **United States Patent**
Pottgen et al.

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(45) **Date of Patent:** **Jul. 2, 2002**

(54) **METHOD AND APPARATUS FOR
AMPEROMETRIC DIAGNOSTIC ANALYSIS**

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(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

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Related U.S. Application Data

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(51) Int. Cl.⁷ **G01N 27/26**
(52) U.S. Cl. **205/777.5; 205/775**

(58) **Field of Search** 205/775, 777.5

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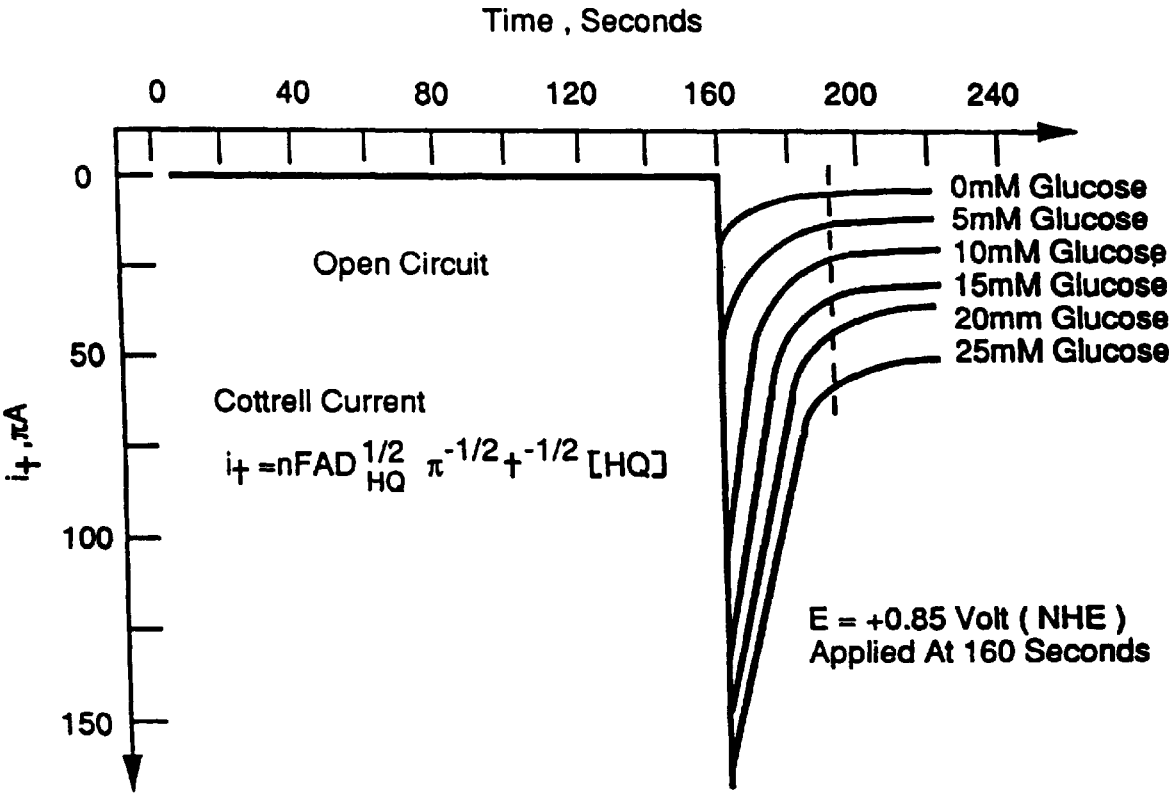
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(57) **ABSTRACT**

The present invention relates to a novel method and apparatus for the amperometric determination of an analyte, and in particular, to an apparatus for amperometric analysis utilizing a novel disposable electroanalytical cell for the quantitative determination of biologically important compounds from body fluids.

10 Claims, 10 Drawing Sheets



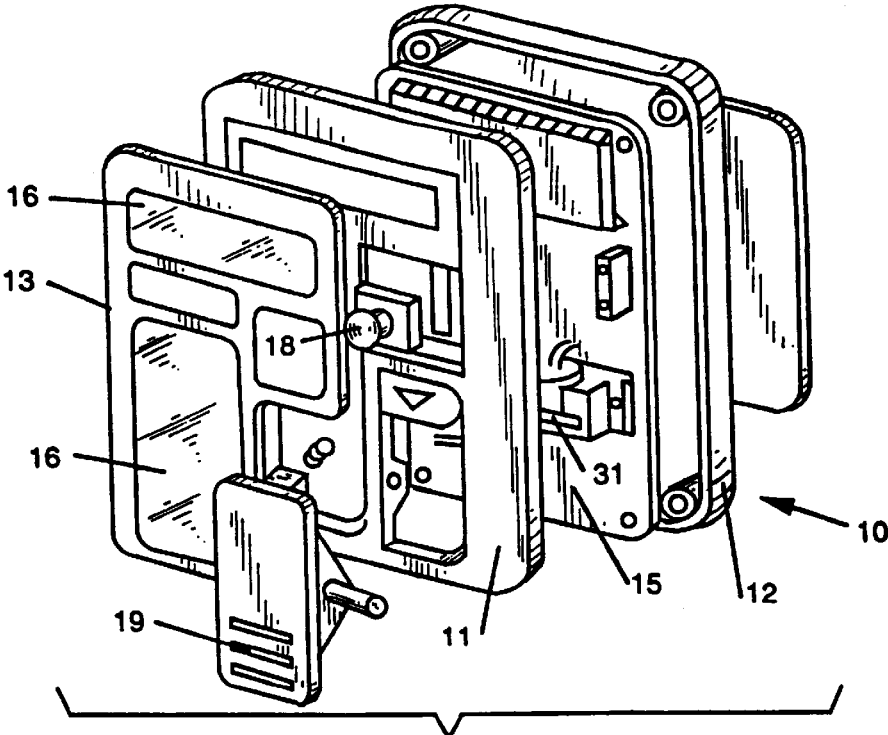


FIG. 1

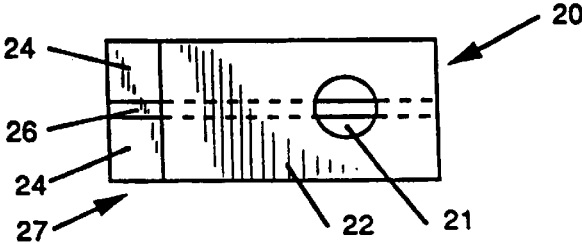


FIG. 2

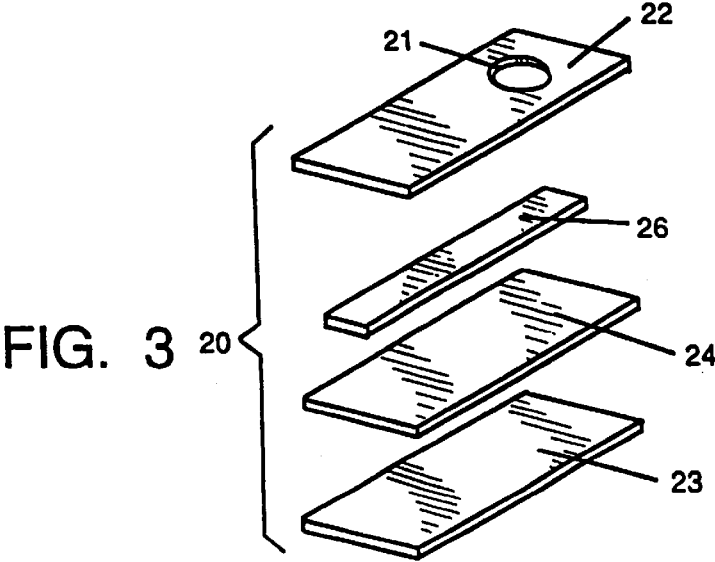


FIG. 3

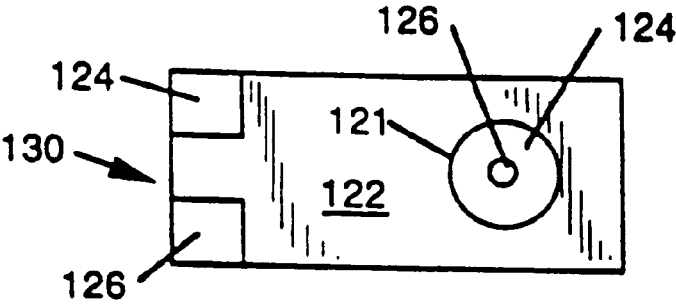
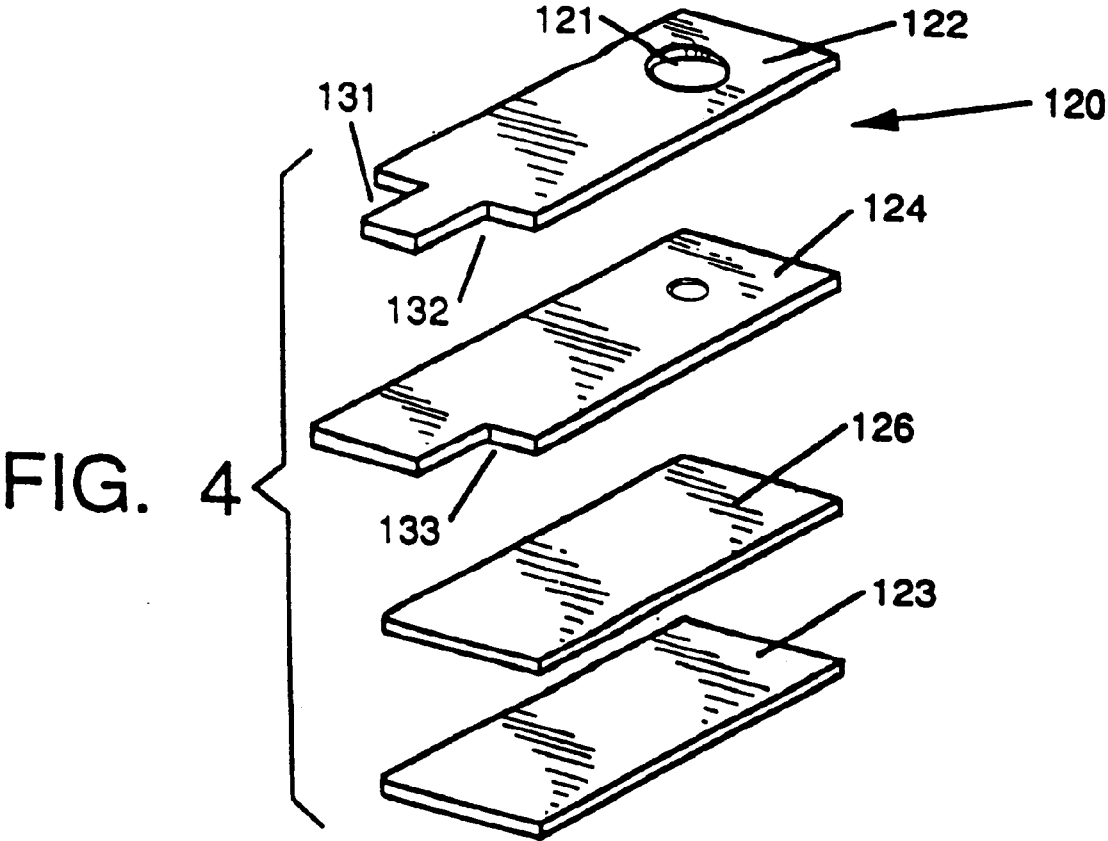


FIG. 5

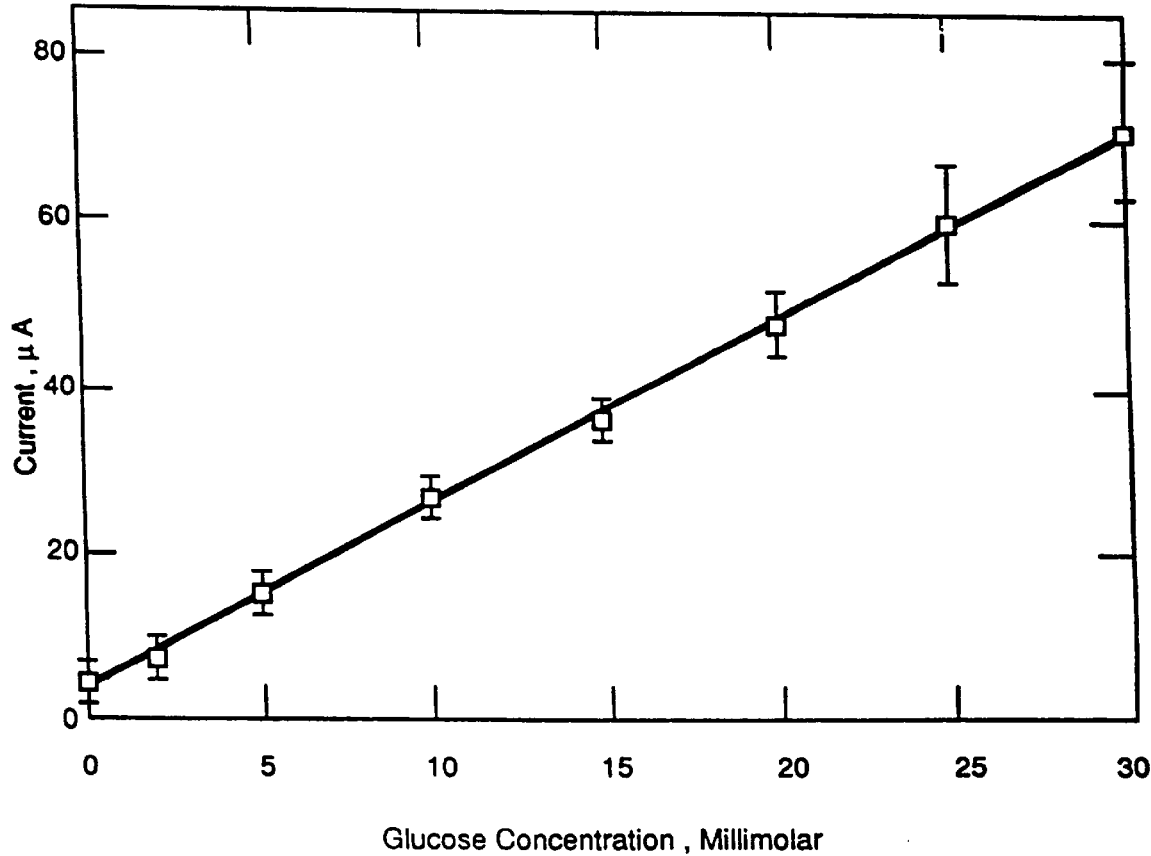


FIG. 6

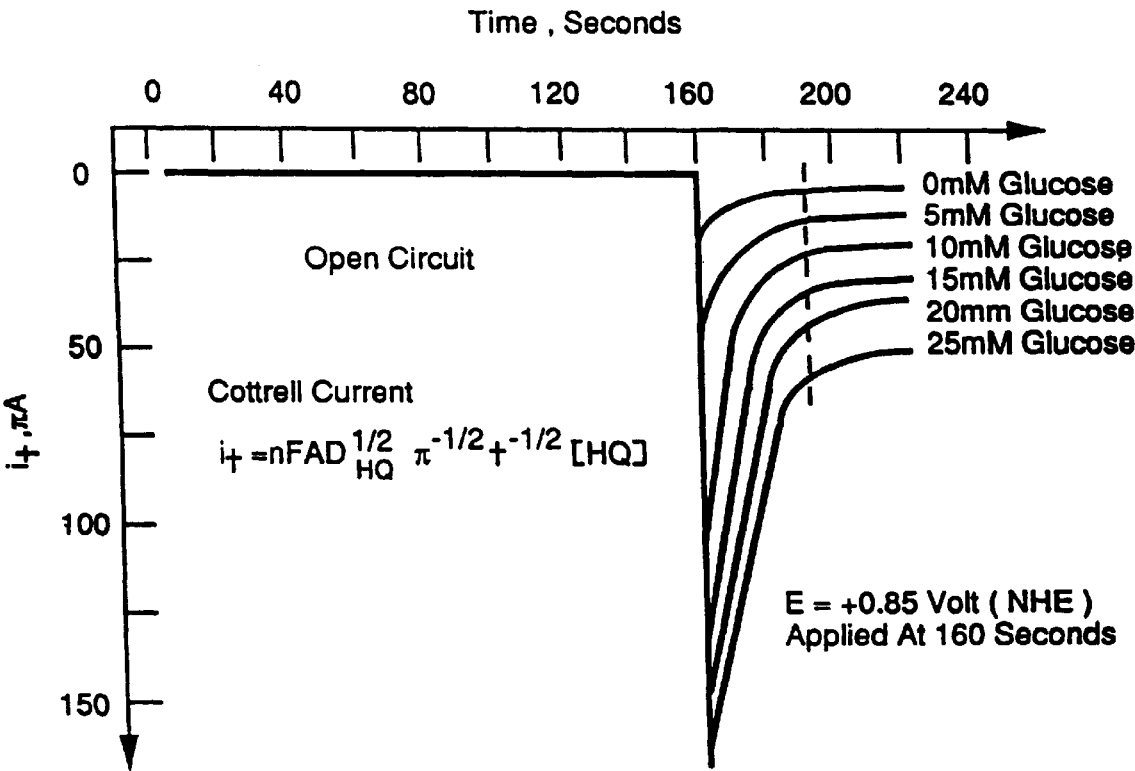


FIG. 7

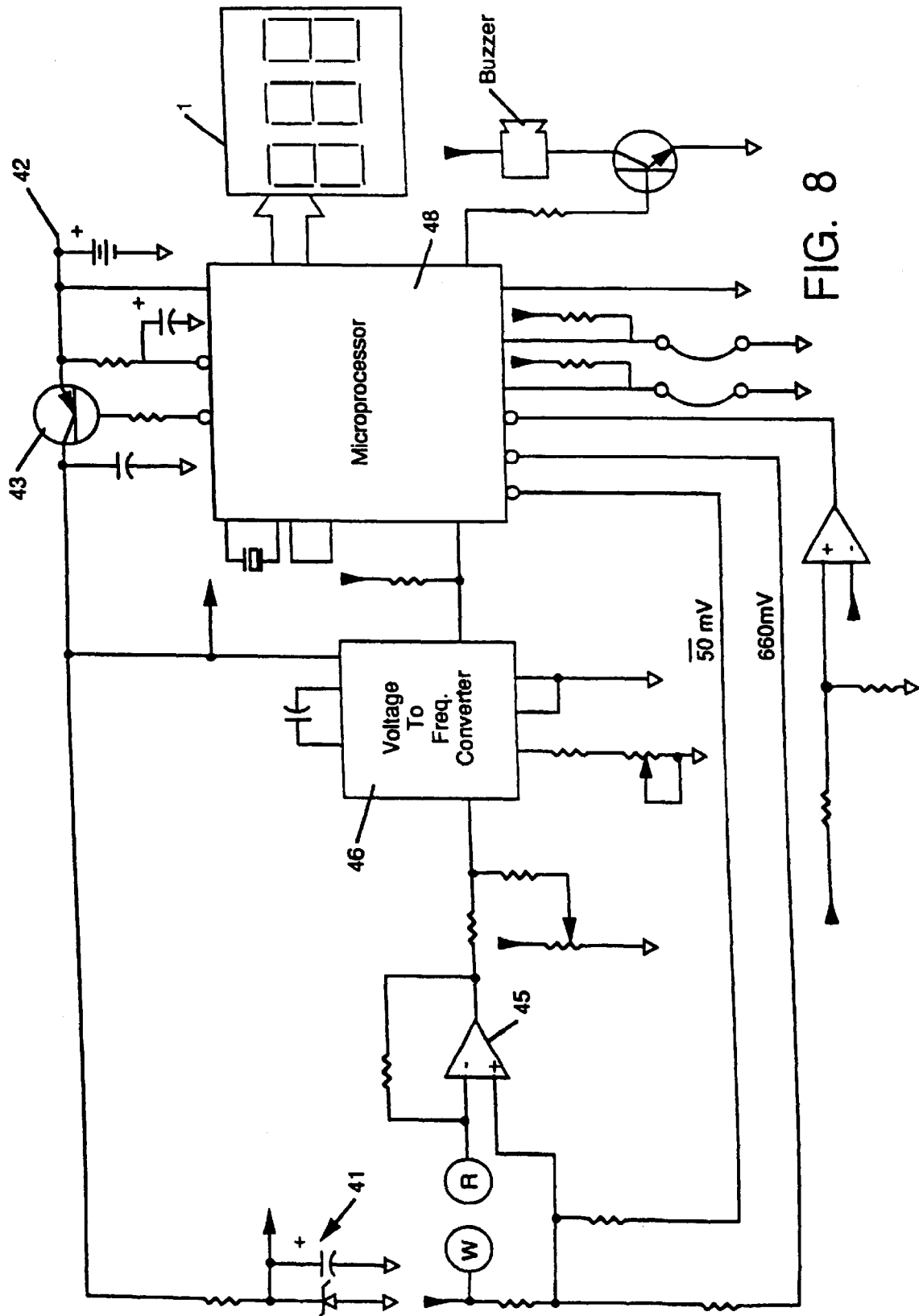
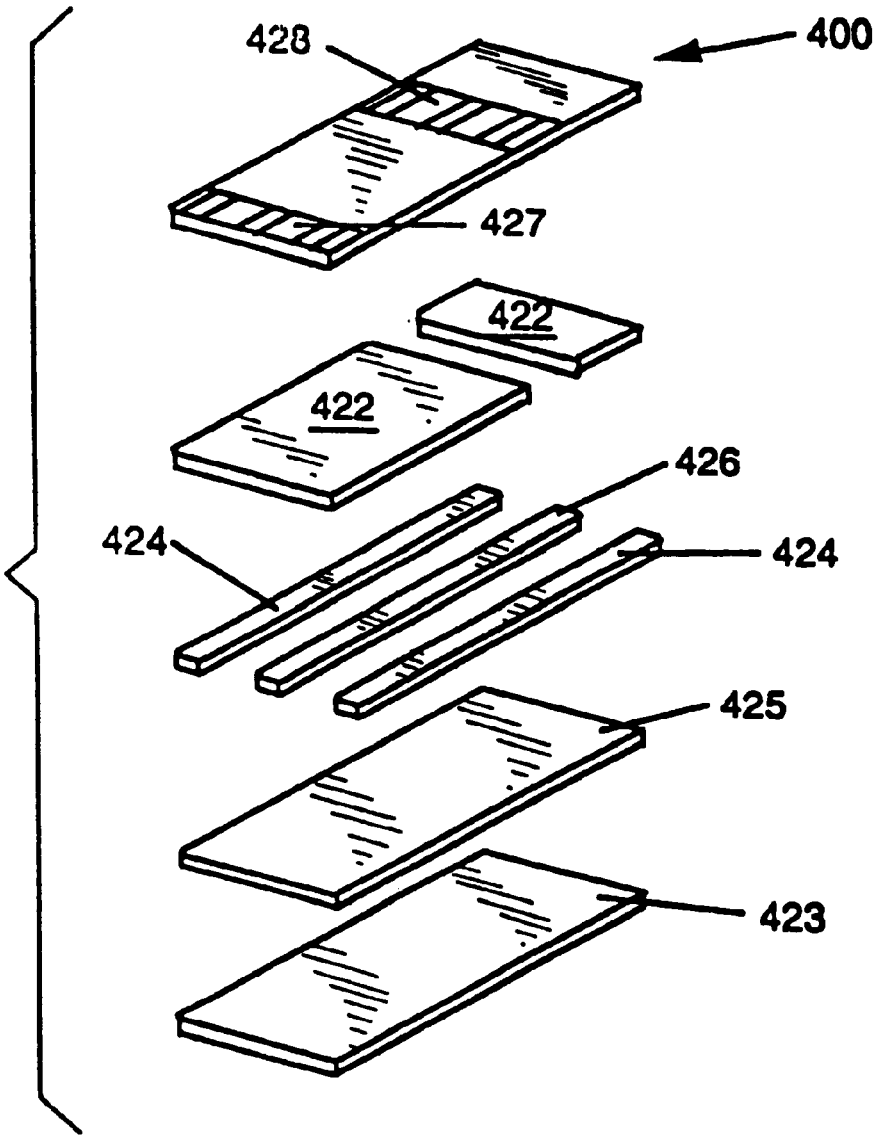


FIG. 9



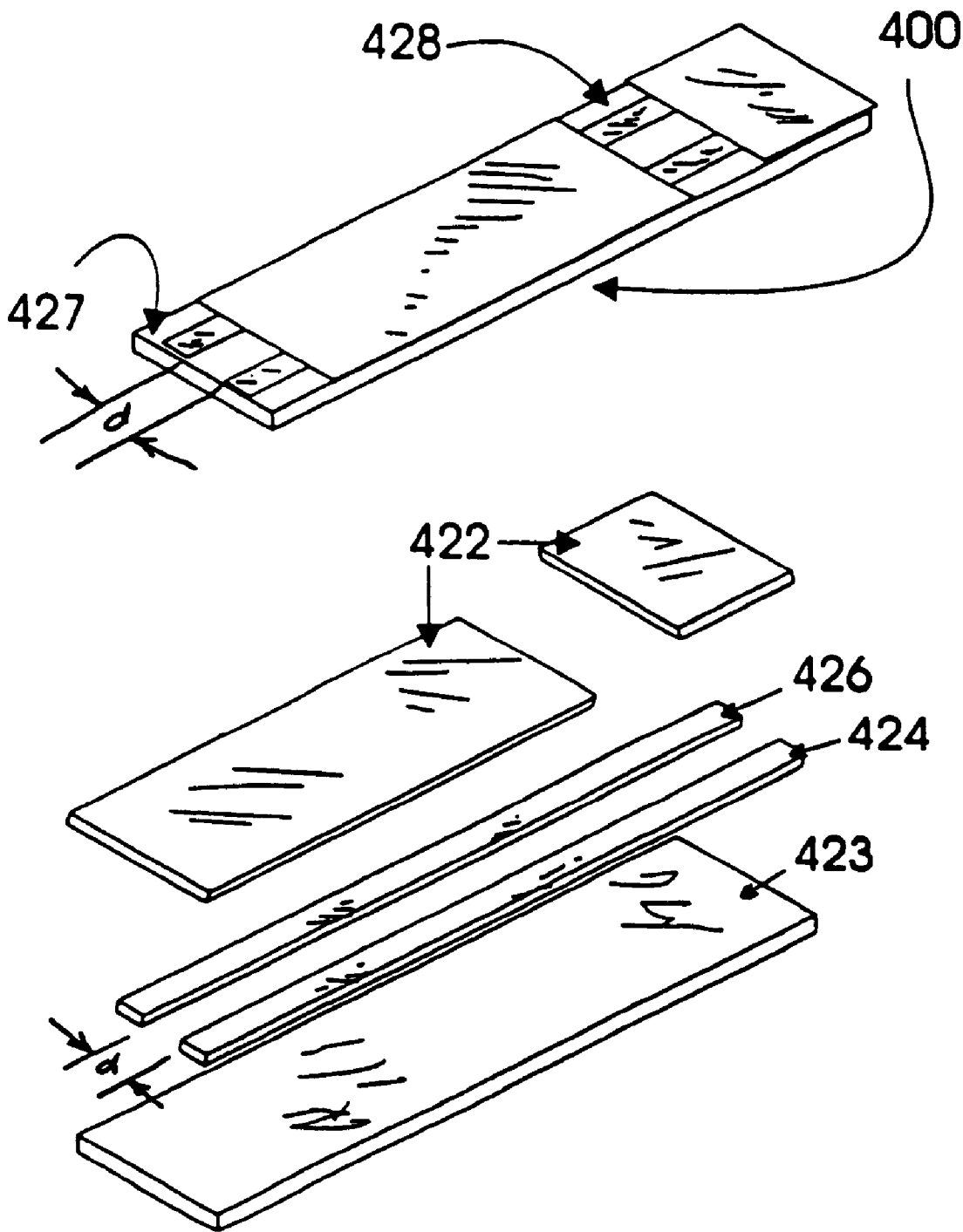
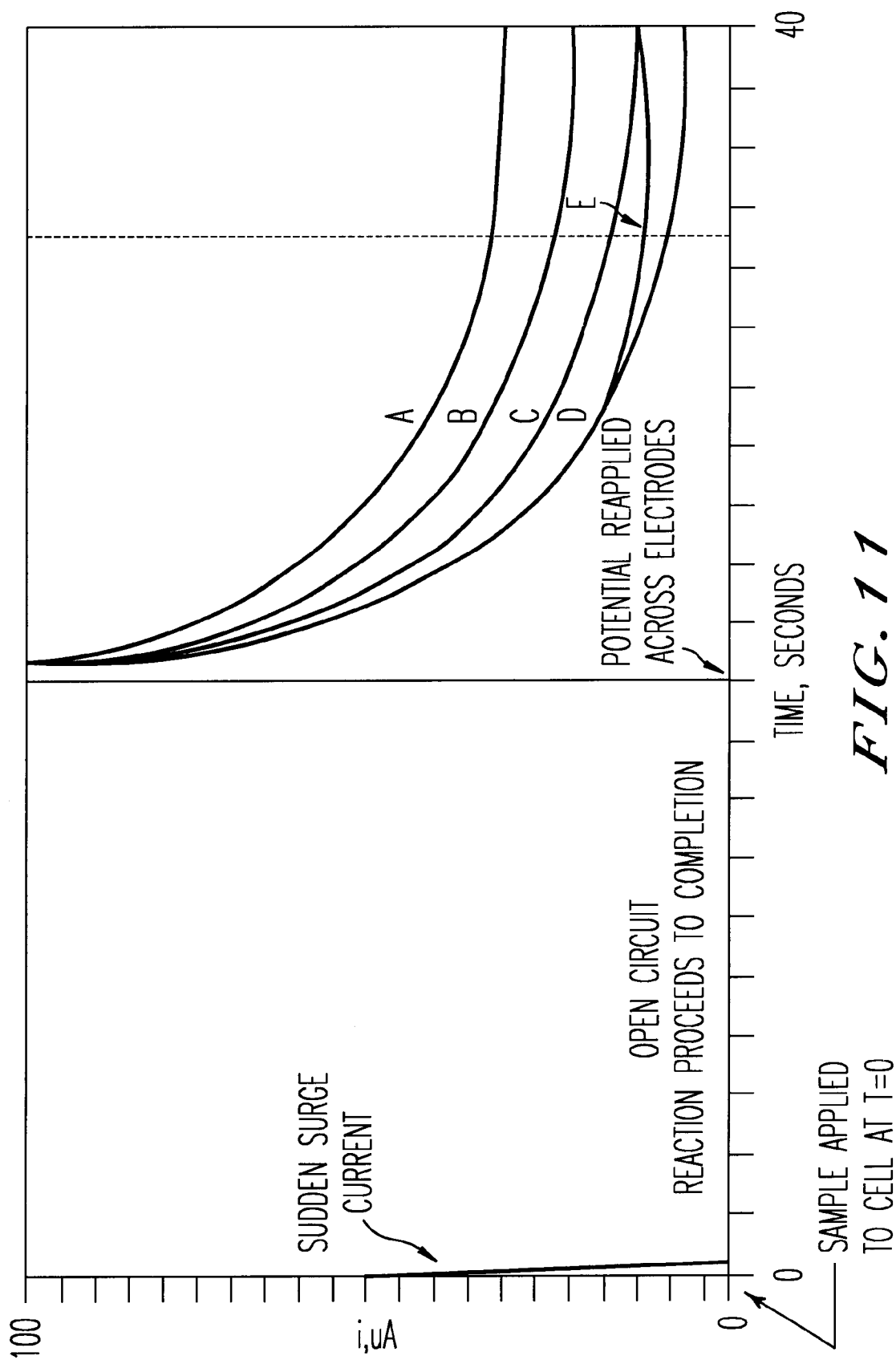


FIG. 10



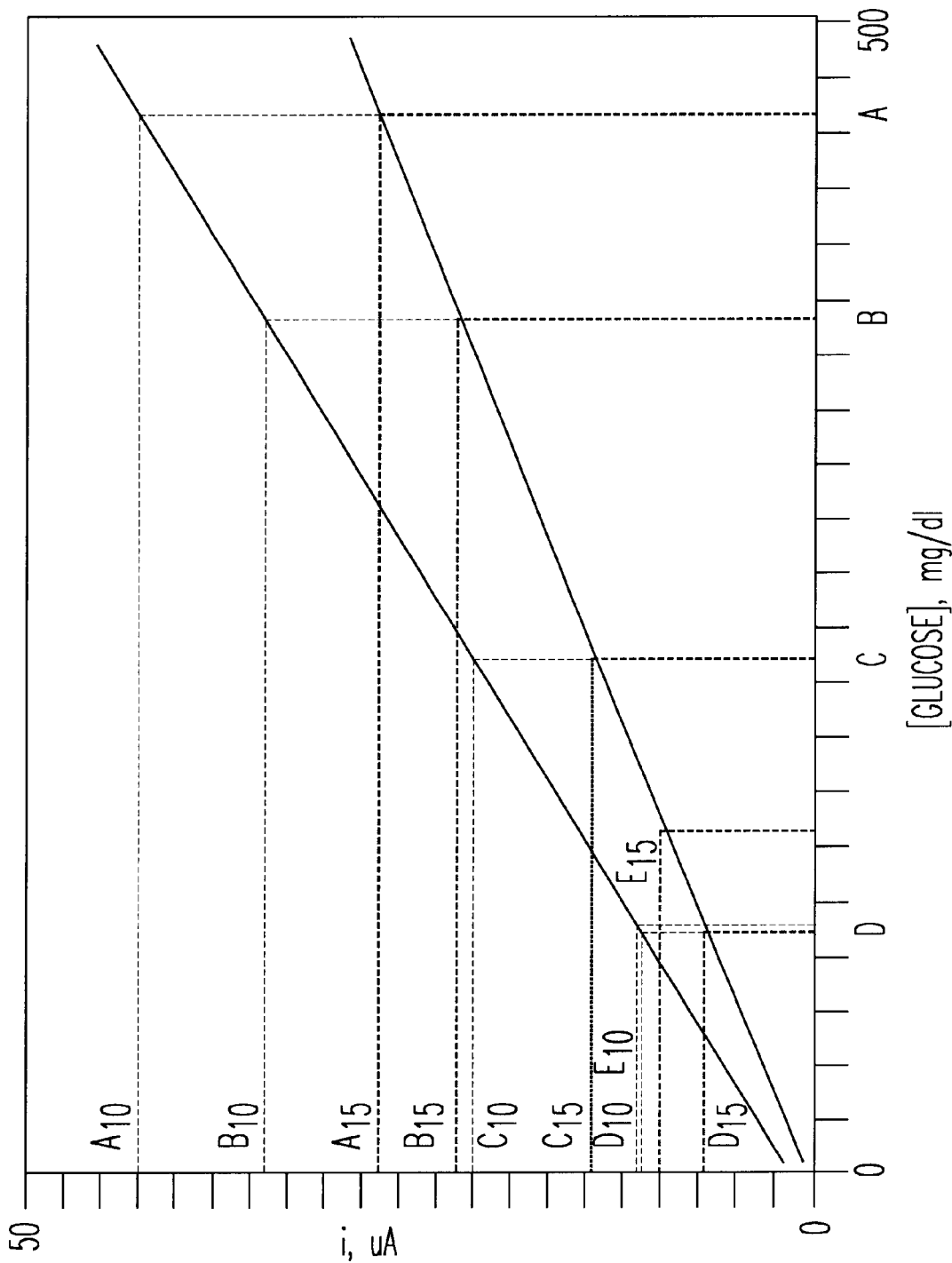


FIG. 12

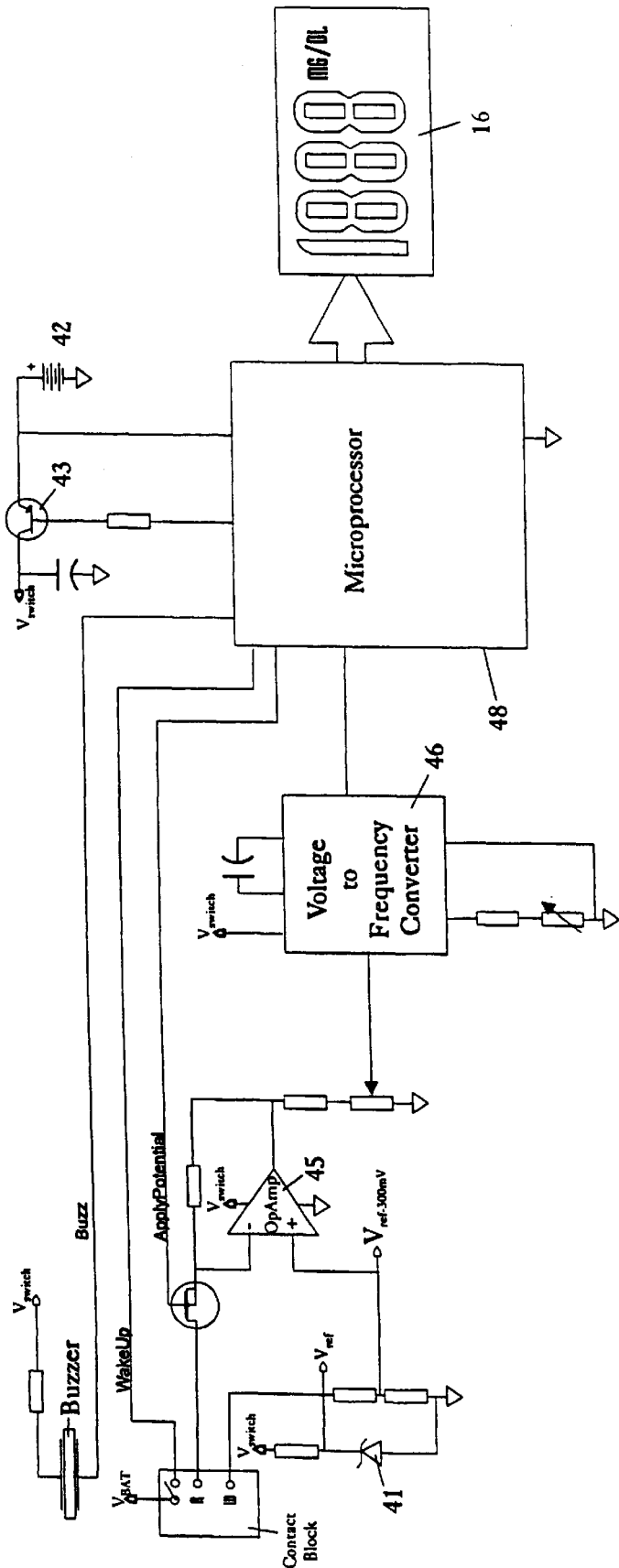


Fig. 13

**METHOD AND APPARATUS FOR
AMPEROMETRIC DIAGNOSTIC ANALYSIS**

This application is a of continuation Ser. No. 08/386,919
filed Feb. 9, 1995 now U.S. Pat. No. 6,153,069.

FIELD OF THE INVENTION

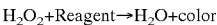
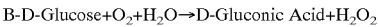
The present invention relates to a disposable electro-
analytical cell and a method and apparatus for quantitatively
determining the presence of biologically important com-
pounds such as glucose; hormones, therapeutic drugs and
the like from body fluids.

Although the present invention has broad applications, for
purposes of illustration of the invention specific emphasis
will be placed upon its application in quantitatively deter-
mining the presence of a biologically important
compound—glucose.

With Respect to Glucose

Diabetes, and specifically diabetes mellitus, is a metabolic
disease characterized by deficient insulin production by the
pancreas which results in abnormal levels of blood glucose.
Although this disease afflicts only approximately 4% of the
population in the United States, it is the third leading cause
of death following heart disease and cancer. With proper
maintenance of the patient's blood sugar through daily
injection of insulin, and strict control of dietary intake, the
prognosis for diabetics is excellent. The blood glucose levels
must, however, be closely followed in the patient either by
clinical laboratory analysis or by daily analyses which the
patient can conduct using relatively simple, non-technical,
methods.

At present, current technology for monitoring blood glu-
cose is based upon visual or instrumental determination of
color change produced by enzymatic reactions on a dry
reagent pad on a small plastic strip. These colorimetric
methods, which utilize the natural oxidant of glucose to
gluconic acid, specifically oxygen, are based upon the
reactions:



Wherein glucose oxidase catalyzes the conversion of B-D
Glucose to D-Gluconic Acid. The hydrogen peroxide pro-
duced is measured by reflectance spectroscopic methods by
its reaction with various dyes, in the presence of the enzyme
peroxidase, to produce a color that is monitored.

While relatively easy to use, these tests require consistent
user technique in order to yield reproducible results. For
example, these tests require the removal of blood from a
reagent pad at specified and critical time intervals. After the
time interval, excess blood must be removed by washing and
blotting, or by blotting alone, since the color measurement
is taken at the top surface of the reagent pad. Color devel-
opment is either read immediately or after a specified time
interval.

These steps are dependent upon good and consistent
operating technique requiring strict attention to timing.
Moreover, even utilizing good operating technique, calori-
metric methods for determining glucose, for example, have
been shown to have poor precision and accuracy, particu-
larly in the hypoglycemic range. Furthermore, instruments
used for the quantitative calorimetric measurement vary
widely in their calibration methods: some provide no user
calibration while others provide secondary standards.

Because of the general lack of precision and standardiza-
tion of the various methods and apparatus presently avail-
able to test for biologically important compounds in body
fluids, some physicians are hesitant to use such equipment
for monitoring levels or dosage. They are particularly hesi-
tant in recommending such methods for use by the patients
themselves. Accordingly, it is desirable to have a method and
apparatus which will permit not only physician but patient
self-testing of such compounds with greater reliability.

The present invention addresses the concerns of the
physician by providing enzymatic amperometry methods
and apparatus for monitoring compounds within whole
blood, serum, and other body fluids. Enzymatic amperom-
etry provides several advantages for controlling or eliminat-
ing operator dependant techniques as well as providing a
greater linear dynamic range. A system based on this type of
method could address the concerns of the physician hesitant
to recommend self-testing for his patients.

Enzymatic amperometry methods have been applied to
the laboratory based measurement of a number of analytes
including glucose, blood urea nitrogen, and lactate. Traditi-
onally the electrodes in these systems consist of bulk metal
wires, cylinders or disks imbedded in an insulating material.
The fabrication process results in individualistic character-
istics for each electrode necessitating calibration of each
sensor. These electrodes are also too costly for disposable
use, necessitating meticulous attention to electrode mainte-
nance for continued reliable use. This maintenance is not
likely to be performed properly by untrained personnel (such
as patients); therefore, to be successful, an enzyme amper-
ometry method intended for self-testing (or non-traditional
site testing) must be based on a disposable sensor that can be
produced in a manner that allows it to give reproducible
output from sensor to sensor and at a cost well below that of
traditional electrodes.

The present invention addresses these requirements by
providing miniaturized disposable electroanalytic sample
cells for precise micro-aliquot sampling, a self-contained,
automatic means for measuring the electrochemical reduc-
tion of the sample, and a method for using the cell and
apparatus according to the present invention.

The disposable cells according to the present invention
are preferably laminated layers of metallized plastic and
nonconducting material. The metallized layers provide the
working and reference electrodes, the areas of which are
reproducibly defined by the lamination process. An opening
through these layers is designed to provide the sample-
containing area or cell for the precise measurement of the
sample. The insertion of the cell into the apparatus according
to the present invention, automatically initiates the measure-
ment cycle.

To better understand the process of measurement, a pres-
ently preferred embodiment of the invention is described
which involves a two-step reaction sequence utilizing a
chemical oxidation step using other oxidants than oxygen,
and an electro-chemical reduction step suitable for quanti-
fying the reaction product of the first step. One advantage to
utilizing an oxidant other than dioxygen for the direct
determination of an analyte is that such other oxidants may
be prepositioned in the sensor in a large excess of the analyte
and thus ensure that the oxidant is not the limiting reagent
(with dioxygen, there is normally insufficient oxidant ini-
tially present in the sensor solution for a quantitative con-
version of the analyte).

In the oxidation reaction, a sample containing glucose, for
example, is converted to gluconic acid and a reduction
product of the oxidant. This chemical oxidation reaction has

been found to precede to completion in the presence of an enzyme, glucose oxidase, which is highly specific for the substrate B-D-glucose, and catalyzes oxidations with single and double electron acceptors. It has been found, however, that the oxidation process does not proceed beyond the formation of gluconic acid, thus making this reaction particularly suited for the electrochemical measurement of glucose.

In a presently preferred embodiment, oxidations with one electron acceptor using ferrocyanide, ferricinium, cobalt (III) orthophenanthroline, and cobalt (III) dipyriddy are preferred. Benzoquinone is a two electron acceptor which also provides excellent electro-oxidation characteristics for amperometric quantitation.

Amperometric determination of glucose, for example, in accordance with the present invention utilizes Cottrell current micro-chronoamperometry in which glucose plus an oxidized electron acceptor produces gluconic acid and a reduced acceptor. This determination involves a preceding chemical oxidation step catalyzed by a bi-substrate bi-product enzymatic mechanism as will become apparent throughout this specification.

In this method of quantification, the measurement of a diffusion controlled current at one or more accurately specified times (e.g., 5, 10, or 15 seconds) after the instant of application of a potential has the applicable equation for amperometry at a controlled potential (E=constant) of:

$$i_{COTTRELL} = (nFA(Dt))^{-0.5} \cdot C_{analyte} \text{ at } t=0$$

which may also be expressed as:

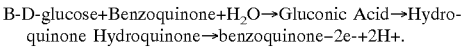
$$i(t) = nFAC_{metabolite}(D)^{0.5}(\pi t)^{-0.5}$$

where i denotes current, nF is the number of coulombs per mole, A is the area of the electrode, D is the diffusion coefficient of the reduced form of the reagent, t is the preset time at which the current is measured, and C is the concentration of the metabolite. Measurements by the method according to the present invention of the current due to the reoxidation of the acceptors were found to be proportional to the glucose concentration in the sample.

The method and apparatus of the present invention permit, in preferred embodiments, direct measurements of blood glucose, cholesterol and the like. Furthermore, the sample cell according to the present invention, provides the testing of controlled volumes of blood without premeasuring. Insertion of the sample cell into the apparatus thus permits automatic functioning and timing of the reaction allowing for patient self-testing with a very high degree of precision and accuracy.

One of many of the presently preferred embodiments of the invention for use in measuring B-D glucose is described in detail to better understand the nature and scope of the invention. In particular, the method and apparatus according to this embodiment are designed to provide clinical self-monitoring of blood glucose levels by a diabetic patient. The sample cell of the invention is used to control the sampling volume and reaction media and acts as the electrochemical sensor. In this described embodiment, benzoquinone is used as the electron acceptor.

The basic chemical binary reaction utilized by the method according to one preferred embodiment of the present invention is:



The first reaction is an oxidation reaction which proceeds to completion in the presence of the enzyme glucose oxidase. Electrochemical oxidation takes place in the second part of the reaction and provides the means for quantifying the amount of hydroquinone produced in the oxidation reaction. This holds true whether catalytic oxidation is conducted with two-electron acceptors or one electron acceptor such as ferrocyanide [wherein the redox couple would be Fe(CN)₆⁻³/Fe (CN)₆⁻⁴], ferricinium, cobalt III orthophenanthroline and cobalt (III) dipyriddy.

Catalytic oxidation by glucose oxidase is highly specific for B-D-glucose, but is nonselective as to the oxidant. It has now been discovered that the preferred oxidants described above have sufficiently positive potentials to convert substantially all of the B-D-glucose to gluconic acid. Furthermore, this system provides a means by which amounts as small as 1 mg of glucose (in the preferred embodiment) to 1000 mg of glucose can be measured per deciliter of sample—results which have not previously been obtained using other glucose self-testing systems.

The sensors containing the chemistry to perform the desired determination, constructed in accordance with the present invention, are used with a portable meter for self-testing systems. In use, the sensor is inserted into the meter, which turns the meter on and initiates a wait for the application of the sample. The meter recognizes sample application by the sudden charging current flow that occurs when the electrodes and the overlaying reagent layer are initially wetted by the sample fluid. Once the sample application is detected, the meter begins the reaction incubation step (the length of which is chemistry dependent) to allow the enzymatic reaction to reach completion. This period is on the order of 15 to 90 seconds for glucose, with incubation times of 20 to 45 seconds preferred. Following the incubation period, the instrument then imposes a known potential across the electrodes and measures the resulting diffusion limited (i.e., Cottrell) current at specific time points during the Cottrell current decay. Current measurements can be made in the range of 2 to 30 seconds following potential application with measurement times of 10 to 20 seconds preferred. These current values are then used to calculate the analyte concentration which is then displayed. The meter will then wait for either the user to remove the sensor or for a predetermined period before shutting itself down.

Due to the nature of the Cottrell current, it is possible to develop a calibration curve at more than one time point following application of the potential in order to verify that the measurement is being accurately made. Results can then be calculated at the different time points and compared. This is illustrated schematically in FIGS. 11 and 12; which indicate expected, or “normal” Cottrell curves, A, B, C, D, for various glucose concentrations and an abnormal curve E, showing divergence from expected curve D as indicated by the multiple current readings. In a system that is operating correctly, the results should agree within reasonable limits. The exact range of acceptable difference between the expected and measured currents depends on a number of compromises but would generally be in the range of 1–10%. Results outside of the acceptable limits would indicate some problem with the system. For instance, incomplete wetting of the reagent (i.e., too small of a drop of blood) would result

in failure to follow the Cottrell curve decay and result in a higher value being calculated at subsequent measurement points than would have been expected for Cottrell current curve delay. FIG. 13 represents a schematic circuit diagram which can be employed in producing a preferred embodiment of the invention for taking multiple current measurements.

The present invention provides for a measurement system that eliminates several of the critical operator dependant variables that adversely affect the accuracy and reliability and provides for a greater dynamic range than other self-testing systems.

These and other advantages of the present invention will become apparent from a perusal of the following detailed description of one embodiment presently preferred for measuring glucose and other analytes which is to be taken in conjunction with the accompanying drawings in which like numerals indicate like components and in which:

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is an exploded view of a portable testing apparatus according to the present invention;

FIG. 2 is a plan view of the sampling cell of the present invention;

FIG. 3 is an exploded view of the sample cell shown in FIG. 2;

FIG. 4 is an exploded view of another embodiment of a sample cell according to the invention;

FIG. 5 is a plan view of the cell shown in FIG. 4;

FIG. 6 is a graph showing current as a function of glucose concentration;

FIG. 7 is a graphical presentation of Cottrell current as a function of glucose concentration; and

FIG. 8 is a presently preferred circuit diagram of an electrical circuit for use in the apparatus shown in FIG. 1.

FIG. 9 is a preferred embodiment of the electrochemical cell of the invention wherein the reference electrode area is greater than the working electrode area.

FIG. 10 is a preferred embodiment of the invention wherein the two electrodes are co-planar, equal size, and preferably the same noble metal.

FIG. 11 is a graph showing multiple current measurements of the present invention.

FIG. 12 is a graph correlating measured current to glucose concentration for the curves of FIG. 11.

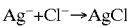
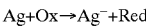
FIG. 13 is a schematic circuit diagram depicting a preferred embodiment of the invention.

With specific reference to FIG. 1, a portable electrochemical testing apparatus 10 is shown for use in patient self-testing, such as, for example, for blood glucose levels. Apparatus 10 comprises a front and back housing 11 and 12, respectively, a front panel 13 and a circuit board 15. Front panel 13 includes graphic display panels 16 for providing information and instructions to the patient, and direct read-out of the test results. While a start button 18 is provided to initiate an analysis, it is preferred that the system begin operation when a sample cell 20 (FIG. 2) is inserted into the window 19 of the apparatus.

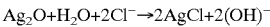
With reference to FIGS. 2 and 3, sample cell 20 is a metallized plastic substrate having a specifically-sized opening 21 which defines a volumetric well 21, when the cell is assembled, for containing a reagent pad and the blood to be analyzed. Cell 20 comprises a first substrate 22 and a second substrate 23 which may be preferably made from styrene or

other substantially non-conducting plastic, such as polyimide, polyethylene, etc. Polyimide has proven particularly preferred because the metal adheres well to it, and the electrodes may be more readily slitted to the desired width. Polyimide sold under the trademark KAPTON, and available from DuPont, has proven particularly advantageous.

Positioned on second substrate 23 is reference electrode 24. Reference electrode 24 may be preferably manufactured, for example, by vapor depositing or "sputtering" the electrode onto a substrate made from a material such as the polyimide Kapton. In one preferred embodiment, reference electrode 24 is a silver—silver chloride electrode. This electrode can be produced by first depositing a silver chloride layer on a silver layer by either chemical or electrochemical means before the substrate is used to construct the cells. The silver chloride layer may even be generated in-situ on a silver electrode when the reagent layer contains certain of the oxidants, such as ferricyanide, and chloride as shown in the following reactions:



Alternatively the silver—silver chloride electrode can be produced by depositing a layer of silver oxide (by reactive sputtering) onto the silver film. The silver oxide layer is then converted in-situ at the time of testing to silver chloride according to the reaction:



when the sensor is wetted by the sample fluid and reconstitutes the chloride containing reagent layer. The silver electrode is thus coated with a layer containing silver chloride.

The reference electrode may also be of the type generally known as a "pseudo" reference electrode which relies upon the large excess of the oxidizing species to establish a known potential at a noble metal electrode. In a preferred embodiment, two electrodes of the same noble metal or carbon are used, however one is generally of greater surface area and is used as the reference electrode. The large excess of the oxidized species and the larger surface area of the reference resists a shift of the potential of the reference electrode.

The primary requirement for the pseudo-reference (as is also the case with traditional reference electrodes) is that it should be able to supply the necessary current (in opposition to the current flow at the working electrode) without significant shift in its potential. Providing a larger surface area for the reference electrode than for the working electrode is one way to accomplish this. When high concentration of the oxidant are utilized and/or the range of currents is kept relatively low, e.g., less than about 20 to 40 milliamps/cm², with 0.1M ferricyanide as the oxidant, it is possible to reduce the ratio of the reference to working electrode to 1:1 or even less. The use of equal size electrodes offers some advantage in terms of manufacture but with potentially a limitation to the upper range.

In the case of same size (i.e., same surface area) electrodes, a large excess of oxidized species (i.e., wherein the oxidized form of the redox mediator (i.e., ferricyanide) is present in the reagent layer in sufficient excess to insure that the diffusion limited electrooxidation of the redox mediator at the working electrode surface is the principal limiter of current flow through the cell and resists a shift of the potential of the second electrode (i.e., pseudo-reference) vis-a-vis the first (i.e., working) electrode.

In a highly preferred embodiment of the invention, the two-electrode system uses same size, same metal electrodes. Preferably noble metals, such as palladium are used. In this palladium vs. palladium embodiment, a potential of +0.30 volts applied between the electrodes has proven effective when ferricyanide is the oxidant. Acceptable same-size palladium vs. palladium coplanar-electrodes may be produced from metalized plastic slitted by a high performance

slitter such as Metlon Corp., 133 Francis Avenue, Cranston, R.I. 02910.

In the pseudo-reference instance, care must be exercised in the spacing of the electrodes. This is due to the fact that as the second electrode functions to provide the balancing current, it is actually producing the reduced form of the oxidant (redox mediator). If the electrodes are spaced too closely together, the reduced form produced at the second electrode can diffuse toward the working electrode, where it would then be re-oxidized, adding to the current flow due to the oxidation of the reduced form produced by the enzymatic reaction. The net effect would be a departure from the expected Cottrell current decay curve illustrated in FIGS. 7 and 11.

This problem is potentially aggravated when the size of the second electrode, vis-a-vis the first, is reduced, such as in the case of same-size electrodes or when the second electrode is smaller than the working electrode. This is due to the higher effective concentration of reduced oxidant produced over the surface of the second electrode. This can be shown by the following analysis. The current flow at the two electrodes can be represented by the Cottrell equation. For the first electrode, that is:

$$i_t = \frac{nFA_{1st}DC_{1st}}{\sqrt{\pi Dt}}$$

At the second electrode that is:

$$i_t = \frac{nFA_{2nd}DC_{2nd}}{\sqrt{\pi Dt}}$$

Since the two currents must be of the same magnitude (but opposite sign), the following is true at any given time:

$$dC_{1st}A_{1st} = dC_{2nd}A_{2nd}$$

Where A_{1st} is the area of the first (i.e., working) electrode, C_{1st} is the concentration of the reduced form of oxidant in the solution in the reagent layer at time zero (the instant the potential is applied), A_{2nd} is the area of the second (i.e., reference or counter) electrode, and C_{2nd} is the concentration of reduced form of the oxidant generated at or by the second electrode at time zero (the instant the potential is applied).

Since the working electrode is poised to control the current flow, the second electrode acts to balance current flow. Thus, the smaller the second electrode, the higher the concentration of the reduced oxidant produced at the surface of that electrode; the higher the concentration, the greater the diffusion gradient and the greater the potential for the reduced oxidant produced at the second electrode to diffuse towards the working electrode and cause an undesired additional current flow, resulting in a departure from expected Cottrell current flow for the system. First and second electrodes 424, 426 must be spaced apart by a distance d (FIG. 10) sufficient to avoid this departure from expected Cottrell current. A distance d of at least 0.1 mm, preferably greater than 0.1 mm, has proven effective at current flows of 0–50 microamps and about 5 mm² working

electrode area. The higher the expected current density, the greater d must be in order to insure true Cottrell measurement. Also, if measurement times exceed about 30 seconds, it is necessary to increase the distance d. In a highly preferred embodiment of the invention, d is about 1–2 mm, A_{1st} and A_{2nd} are both about 5 mm², current flow is about 0–100 microamps, with measurement time of less than 30 seconds.

The maximum spacing for d is dictated by the conductivity of the solution, which effects to the actual voltage at the electrodes (obviated in 3-electrode systems). Practical considerations, however, dictate that the spacing d be as small as technically feasible to minimize substrate, reagent, and sample size requirements. Ideally, the preferred sample is the size of a drop of blood of less than 20 microliters, which must bridge the electrodes and wet the reagent layer. As a practical matter, the spacing d should not exceed about 1 cm because of the difficulty of bridging such a gap with a drop of blood of this size and substantially instantaneously spreading that drop of blood across the reagent layer. It is important that the sample, i.e., blood, spread across the reagent layer substantially instantaneously following application of the sample to the cell, in order to avoid migration of reagents, or erosion of reagents from the site at which the drop of blood was applied. The wicking layer is helpful in this regard.

EXAMPLE 1

A two mm² palladium indicator electrode was referenced to a two mm² Ag/Ag₂O electrode and the electrodes were spaced apart by a 2 mm gap. Measurements were taken from sample cells including the reagents described herein, including an analyte (i.e., glucose) the oxidized form of a redox mediator (i.e., ferricyanide), an enzyme (i.e., glucose oxidase), and a buffer (i.e., phosphate). Preferably, in the case of same size, same noble metal electrodes, the oxidized form of the redox mediator is present in sufficient quantity to insure that the counter electrode current, i.e., that produced at the reference electrode, is not limiting as a result of conversion to the reduced form of the redox mediator at the working electrode surface. The data for the results, summarized in Table 1, were obtained 10 seconds after current was applied.

For 5 millimolar ferrocyanide corresponding to 4 millimolar glucose (72 mg/dl), an average current of 27.1 microamps was obtained. The relative standard deviation was 5.3%. For 20 millimolar ferrocyanide, an average current of 102.9 was obtained and the relative standard deviation was 3.9%. In this experiment, the volumes tested were 50 microliters, although smaller volumes such as 10 microliters could be employed if the area of the 2 mm² electrode strips were decreased.

A concentration series for ferrocyanide from 0 through 30 millimolar was similarly obtained. A plot of the currents at 10 seconds vs. ferrocyanide concentration indicated a linearly increasing current with concentration through 25 millimolar (approximately 360 mg/dl glucose). Similar results can be obtained when both same-size electrodes are the same noble metal, such as palladium.

The buffer used in the reagent layer is preferably non-reactive with respect to the reduced and oxidized form of the redox mediator (i.e., has a higher oxidation potential). Phosphate buffer has proven effective in this regard, although other suitable buffers would, of course, now be readily apparent to those of ordinary skill in the art.

Cottrell Current, microamps, at 10 seconds after E is applied	Fe (CN) ₆ ⁴⁻ Concentration millimolar	Corresponding Glucose Concentration, mg/dl
0	0	0
27	5	72
58	10	144
75	15	216
103	20	288
142	25	360
136	30	432

Indicator or working electrode 26 can be either a strip of platinum, gold, or palladium positioned on reference electrode 24, or, alternately, as shown in FIG. 10, the working electrodes 426 and reference electrode 424 are laminated between an upper 422 and lower 423 non-conducting (i.e. electrically insulating) material, such as polyethylene or polystyrene. Preferably, sample cell 20 is prepared by sandwiching or laminating the electrodes between the substrate to form a composite unit. Of course, other methods of applying the metal or other electrically conducting material, such as, without limitation, silk screening, vapor deposition, electrolysis, adhesion, etc., may also be employed.

Of course, any combination of suitable electrode pairs may be used. Names other than “working” vs. “reference” electrodes which have been interchangeably used in electrochemical applications include, “working” v.s. “counter” electrodes, “excitation” v. “source” electrodes, or three electrode systems having a “working” electrode, a “reference” electrode, and an “auxiliary” electrode. In the case of a three-electrode system, the auxiliary electrode completes the circuit, allowing current to flow through the cell, while the reference electrode maintains a constant interfacial potential difference regardless of the current. In the two electrode scenario, the second electrode serves both the function of an auxiliary and reference electrode. Regardless of the nomenclature employed, the electrochemistry of interest in the present invention occurs at a first electrode (i.e., working) and a second electrode (i.e., reference or counter) acts to counter balance current flow (i.e., provide opposing current flow to the first electrode) and fix the operating potential of the system.

As shown in FIG. 2, first substrate 22 is of a slightly shorter length so as to expose an end portion 27 of electrodes 24 and 26 and allow for electrical contact with the testing circuit contained in the apparatus. In this embodiment, after a sample has been positioned within well 21, cell 20 is pushed into window 19 of the front panel to initiate testing. In this embodiment, a reagent may be applied to well 21, or, preferably, a pad of dry reagent is positioned therein and a sample (drop) of blood is placed into the well 21 containing the reagent.

Referring to FIGS. 4–5, alternative embodiments of sample cell 20 are shown. In FIG. 4, sample cell 120 is shown having first 122 and second 123 substrates. Reference electrode 124 and working electrode 126 are laminated between substrates 122 and 123. Opening 121 is dimensioned to contain the sample for testing. End 130 (FIG. 5) is designed to be inserted into the apparatus, and electrical contact is made with the respective electrodes through cut-outs 131 and 132 on the cell. Reference electrode 124 also includes cut out 133 to permit electrical contact with working electrode 126.

Referring to FIGS. 1 and 2, the sample cell according to the present invention is positioned through window 19 (FIG.

1) to initiate the testing procedure. Once inserted, a potential is applied at portion 27 (FIG. 2) of the sample cell across electrodes 24 and 26 to detect the presence of the sample. Once the sample’s presence is detected, the potential is removed and the incubation period initiated. Optionally during this period, a vibrator means 31 (FIG. 1) may be activated to provide agitation of the reagents in order to enhance dissolution (an incubation period of 20 to 45 seconds is conveniently used for the determination of glucose and no vibration is normally required). An electrical potential is next applied at portion 27 of the sample cell to electrodes 24 and 26 and the current through the sample is measured and displayed on display 16.

To fully take advantage of the above apparatus, the needed chemistry for the self testing systems is incorporated into a dry reagent layer that is positioned onto the disposable cell creating a complete sensor for the intended analyte. The disposable electrochemical cell is constructed by the lamination of metallized plastics and nonconducting materials in such a way that there is a precisely defined working electrode area. The reagent layer is either directly coated onto the cell or preferably incorporated (coated) into a supporting matrix such as filter paper, membrane filter, woven fabric or non-woven fabric, which is then placed into the cell, substantially covering the electrode surfaces exposed by the cutout portion or window of the electrically insulating upper laminate. When a supporting matrix is used, its pore size and void volume can be adjusted to provide the desired precision and mechanical support. In general, membrane filters or nonwoven fabrics provide the best materials for the reagent layer support. Pore sizes of 0.45 to 50 um and void volumes of 50–90% are appropriate. The coating formulation generally includes a binder such as gelatin, carrageenan, methylcellulose, polyvinyl alcohol, polyvinylpyrrolidone, etc., that acts to delay the dissolution of the reagents until the reagent layer has absorbed most of the fluid from the sample. The concentration of the binder is generally on the order of 0.1 to 10% with 1–4% preferred.

The reagent layer imbibes a fixed amount of the sample fluid when it is applied to the surface of the layer thus eliminating any need for premeasurement of sample volume. Furthermore, by virtue of measuring current flow rather than reflected light, there is no need to remove the blood from the surface of the reagent layer prior to measurement as there is with reflectance spectroscopy systems. While the fluid sample could be applied directly to the surface of the reagent layer, to facilitate spread of blood across the entire surface of the reagent layer the sensor preferably includes a dispersing spreading or wicking layer. This layer, generally a nonwoven fabric or adsorbent paper, is positioned over the reagent layer and acts to rapidly distribute the blood over the reagent layer. In some applications this dispersing layer could incorporate additional reagents.

For glucose determination, cells utilizing the coplanar design were constructed having the reagent layer containing the following formulations: I

Glucose oxidase	600 units/ml
Potassium Ferricyanide	0.4M
Phosphate Buffer	0.1M
Potassium Chloride	0.5M
Gelatin	2.0 g/dl

This was produced by coating a membrane filter with a solution of the above composition and air drying. The reagent layer was then cut into strips that just fit the window

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opening of the cells and these strips were placed over the electrodes exposed within the windows. A wicking layer of a non-woven rayon fabric was then placed over this reagent layer and held in place with an overlay tape.

As will now be readily appreciated by those of ordinary skill in the art, the enzyme (i.e., glucose oxidase) is sufficient in type and amount to catalyze the reaction (i.e., receive at least one electron from the reaction) involving the enzyme, the analyte (i.e., glucose) and the oxidized form of the redox mediator (i.e., ferricyanide). Optionally, surfactant can also be used in the reagent layer to promote wetting of the reagent by the sample containing the analyte.

In order to prove the application of the technology according to the present invention, a large number of examples were run in aqueous solution at 25° C. The electrolyte consisted of a phosphate buffer of pH 6.8 which was about 0.1 molar total phosphate and 0.5M potassium chloride reagent. The potentials are referenced to a normal hydrogen electrode (NHE). In these tests it was found that any potential between approximately +0.8 and 1.2 volt (vs NHE) is suitable for the quantification of hydroquinone when benzoquinone is used as the oxidant. The limiting currents are proportional to hydroquinone concentrations in the range between 0.0001M and 0.050M.

Determination of glucose by Cottrell current (*i_c*) micro-chronoamperometry with the present method is created in the reaction of hydroquinone to benzoquinone. Cottrell currents decay with time in accordance with the equation:

$$i_c^{1/2} = \text{const}$$

where t denotes time.

The main difference between these two techniques consists of applying the appropriate controlled potential after the glucose-benzoquinone reaction is complete and correlating glucose concentrations with Cottrell currents measured at a fixed time thereafter. The current-time readout is shown in FIG. 7. Proportionality between glucose concentrations and Cottrell currents (recorded at t=30 seconds after the application of potential) is shown in FIG. 6.

It should be noted that Cottrell chronoamperometry of metabolites needs the dual safeguards of enzymatic catalysis and controlled potential electrolysis. Gluconic acid yields of 99.9+ percent were attained in the presence of glucose oxidase. Concomitantly, equivalent amounts of benzoquinone were reduced to hydroquinone, which was conveniently quantitated in quiescent solutions, at stationary palladium thin film anodes or sample cells.

The results of these many tests demonstrates the micro-chronoamperometric methodology of the present invention and its practicality for glucose self-monitoring by diabetics.

In a presently preferred embodiment of the invention utilizing ferricyanide, a number of tests were run showing certain improved operating capabilities.

Referring to FIG. 8, a schematic diagram of a preferred circuit 15 for use in the apparatus 10 is shown. Circuit 15 includes a microprocessor and LCD panel 16. The working and reference electrodes on the sample cell 20 make contact at contacts W (working electrode) and R (reference electrode), respectively. Voltage reference 41 is connected to battery 42 through analogue power switch 43. Current from electrodes W and R is converted to a voltage by op amp 45. That voltage is converted into a digital signal (frequency) by a voltage to frequency converter 46 electrically connected to the microprocessor 48. The microprocessor 48 controls the timing of the signals. Measurement of current flow is converted by microprocessor 48 to equivalent glucose, cholesterol or other substance concentrations. Other circuits

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within the skills of a practiced engineer can now be utilized to obtain the advantages of the present invention.

With regard to FIG. 9, cell 400 consists of coplanar first (i.e., working) 426 and second (i.e., reference) 424 electrodes laminated between an upper 422 and lower 423 nonconducting (i.e., electrically insulating) material. Lamination is on an adhesive layer 425. The upper material 422 includes a die cut opening 428 which, along with the width of the working electrode material defines the working electrode area and provides (with an overlapping reagent layer not depicted) the sampling port of the cell. As illustrated, this die-cut opening is rectangular or square, which has proven advantageous from the standpoint of reproducibility, as such openings are more readily centered over the co-planar electrodes than circular openings. At one end of cell 400 is an open area 427 similar to end position 27 of FIG. 2. In the case of same size (i.e., same surface area first and second electrodes 426, 424 as illustrated in FIG. 10), the opening 428 insures that equal surface areas of the first and second electrodes are exposed.

The efficiency of using the apparatus according to the present invention to provide a means for in home self testing by patients such as diabetics (in the preferred embodiment) can be seen in the following table in which the technology according to the present invention is compared to four commercially available units. As will be seen, the present invention is simpler, and in this instance simplicity breeds consistency in results.

GLUCOSE SYSTEM COMPARISONS

Steps	1	2	3	4	Present Invention
Turn Instrument On	X	X	X	X	X
Calibrate Instrument	X	X			
Finger Puncture	X	X	X	X	X
Apply Blood	X	X	X	X	X
Initiate Timing	X	X	X		
Sequence Blot	X	X	X		
Insert Strip to Read	X	X	X	X	
Read Results	X	X	X	X	X
Total Steps Per Testing	8	8	7	5	4
Detection System	RS'	RS	RS	RS	Polarographic
Range (mg/dl) CV"	10-400	40-400	25-450	40-400	0-1000
Hypoglycemic	15%	15%			5%
Euglycemic	10%	10%			3%
Hyperglycemic	5%	5%			2%
Correlation	0.921	0.862			0.95

'RS - Reflectance Spectroscopy

"Coefficient of variation

Thus, while we have illustrated and described the preferred embodiment of our invention, it is to be understood that this invention is capable of variation and modification, and we therefore do not wish or intend to be limited to the precise terms set forth, but desire and intend to avail ourselves of such changes and alterations which may be made for adapting the present invention to various usages and conditions. Accordingly, such changes and alterations are properly intended to be within the full range of equivalents, and therefore within the purview, of the following claims. The terms and expressions which have been employed in the foregoing specifications are used therein as terms of description and not of limitation, and thus there is no intention, in the use of such terms and expressions, of excluding equivalents of the features shown and described or portions thereof, it being recognized that the scope of the invention is defined and limited only by the claims which follow.

Having thus described our invention and the manner and process of making and using it in such full, clear, concise, and exact terms so as to enable any person skilled in the art to which it pertains, or to with which it is most nearly connected, to make and use the same.

We claim:

1. A method for measuring compounds in a sample fluid in a device including a housing having an access opening therethrough, a sample cell receivable into the access opening of the housing, the sample cell being composed of a first electrode which acts as a working electrode, a second electrode which acts to fix the system potential and provide opposing current flow with respect to the first electrode, the second electrode being made of the same electrically conducting material as the first electrode, and being operatively associated with the first electrode, the ratio of the surface area of the second electrode to the surface area of the first electrode being 1:1 or less, and at least one non-conducting layer member having an opening therethrough, the at least one non-conducting layer member being disposed in contact with at least one of the first and second electrodes and being sealed against at least one of the first and second electrodes to form a known electrode area within the opening such that the opening forms a well to receive the sample fluid and to allow a user of the apparatus to place the sample fluid in the known electrode area in contact with the first electrode and the second electrode, comprising:

- a) applying an electrical potential to both the first electrode and said second electrode;
- b) creating an electrical circuit between the first electrode and the second electrode through the sample fluid;
- c) measuring a first Cottrell current reading through the sample fluid at a first predetermined time after the electrical potential is applied and obtaining at least one additional Cottrell current reading through the sample fluid, the at least one additional Cottrell current reading occurring at a second predetermined time following the first predetermined time;
- d) converting the first Cottrell current reading into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement, converting the at least one additional Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one additional Cottrell current measurement, and comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other; and
- e) visually displaying the results of the analyte concentration measurements.

2. The method of claim 1, further comprising:

initiating an electrical potential upon insertion of the sample fluid to detect the presence of the sample fluid, signaling to commence a reaction timing sequence when the presence of the sample fluid is detected, and removing the potential during the reaction timing sequence.

3. The method of claim 1, wherein the first and second electrodes are spaced apart by a distance of at least about 0.1 mm and at most about 1 cm.

4. A method for obtaining measurements of an analyte contained in a sample in order to determine the concentration of analyte in the sample, in a device including a first electrical insulator, a pair of electrodes including working and counter electrodes, the working and counter electrodes

being made of the same electrically conducting materials and being supported on the first electrical insulator, a second electrical insulator, overlaying the first electrical insulator and the working and counter electrodes and including a cutout portion that exposes surface areas of the working and counter electrodes, a reagent, substantially covering the exposed electrode surfaces in the cutout portion and including the oxidized form of a redox mediator, and an enzyme, the oxidized form of the redox mediator being capable of receiving at least one electron from a reaction involving enzyme, analyte, and the oxidized form of the redox mediator and being in sufficient amount to insure that current produced by diffusion limited electrooxidation as a result of the reaction is limited by the oxidation of the reduced form of the redox mediator at the working electrode surface, the enzyme being present in sufficient amount to catalyze the reaction involving the enzyme, analyte, and the oxidized form of the redox mediator, comprising:

- (a) measuring a first Cottrell current attributable to the diffusion limited electrooxidation at a first predetermined time;
- (b) measuring at least one second Cottrell current attributable to the diffusion limited electrooxidation at one or more subsequent predetermined times;
- (c) converting the first Cottrell current into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement;
- (d) converting the at least one second Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one second Cottrell current measurement; and
- (e) comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other.

5. The method of claim 4, further comprising an initial step of incubating the reaction in an open circuit for a predetermined time.

6. The method of claim 4, wherein the initial step of incubating is initiated by adding the sample to the electrochemical cell.

7. A method for obtaining measurements of analytes contained in a sample in order to determine the concentration of analyte in the sample, in a system including reagents incorporated into a sample receiving portion of an electrochemical device that measures the analytes and that has a pair of electrodes including working and counter electrodes, the working and counter electrodes being made of the same electrically conducting materials, the ratio of the surface area of the counter electrode to the surface area of the working electrode being 1:1 or less, the reagents including the oxidized form of a redox mediator, an enzyme, and a buffer, the oxidized form of the redox mediator being capable of receiving at least one electron from a reaction involving enzyme, analyte, and the oxidized form of the redox mediator and being present in sufficient amount to insure that current produced by diffusion limited electrooxidation as a result of the reaction following application of a potential to the working and counter electrodes is limited by the oxidation of the reduced form of the redox mediator at the working electrode surface, the enzyme being present in sufficient amount to catalyze the reaction involving the enzyme, analyte, and the oxidized form of the redox mediator, and the buffer having a higher oxidation potential than the reduced form of the redox mediator and being

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present in sufficient amount to provide and maintain a pH at which the enzyme catalyzes the reaction involving the enzyme, analyte, and the oxidized form of the redox mediator, comprising:

- (a) measuring a first Cottrell current attributable to the diffusion limited electrooxidation at a first predetermined time;
- (b) measuring at least one second Cottrell current attributable to the diffusion limited electrooxidation at one or more subsequent predetermined times;
- (c) converting the first Cottrell current into a first analyte concentration measurement using a calibration slope and an intercept specific for the at least one second Cottrell current measurement; and
- (d) comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other.

8. A method for obtaining measurements of analytes contained in a sample in order to determine the concentration of analyte in the sample, in a system including reagents incorporated into a sample receiving portion of an electrochemical device that measures an analyte and that has a pair of electrodes including working and counter electrodes, the working and counter electrodes being made of the same electrically conducting materials, the ratio of the surface area of the counter electrode to the surface area of the working electrode being 1:1 or less, the reagents including the reduced form of a redox mediator, an enzyme, and a buffer, the reduced form of the redox mediator being capable of donating at least one electron from a reaction involving enzyme, analyte, and the reduced form of the redox mediator and being present in sufficient amount to insure that current produced by diffusion limited electroreduction as a result of the reaction following application of a potential to the working and counter electrodes is limited by the reduction of

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the oxidized form of the redox mediator at the working electrode surface, the enzyme being present in sufficient amount to catalyze the reaction involving the enzyme, analyte, and the reduced form of the redox mediator, and the buffer having a lower reduction potential than the oxidized form of the redox mediator and being present in sufficient amount to provide and maintain a pH at which the enzyme catalyzes the reaction involving the enzyme, analyte, and the reduced form of the redox mediator, comprising:

- (a) measuring a first Cottrell current attributable to the diffusion limited electroreduction at a first predetermined time;
- (b) measuring at least one second Cottrell current attributable to the diffusion limited electroreduction at one or more subsequent predetermined times;
- (c) converting the first Cottrell current into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement;
- (d) converting the at least one second Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one second Cottrell current measurement; and
- (e) comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other.

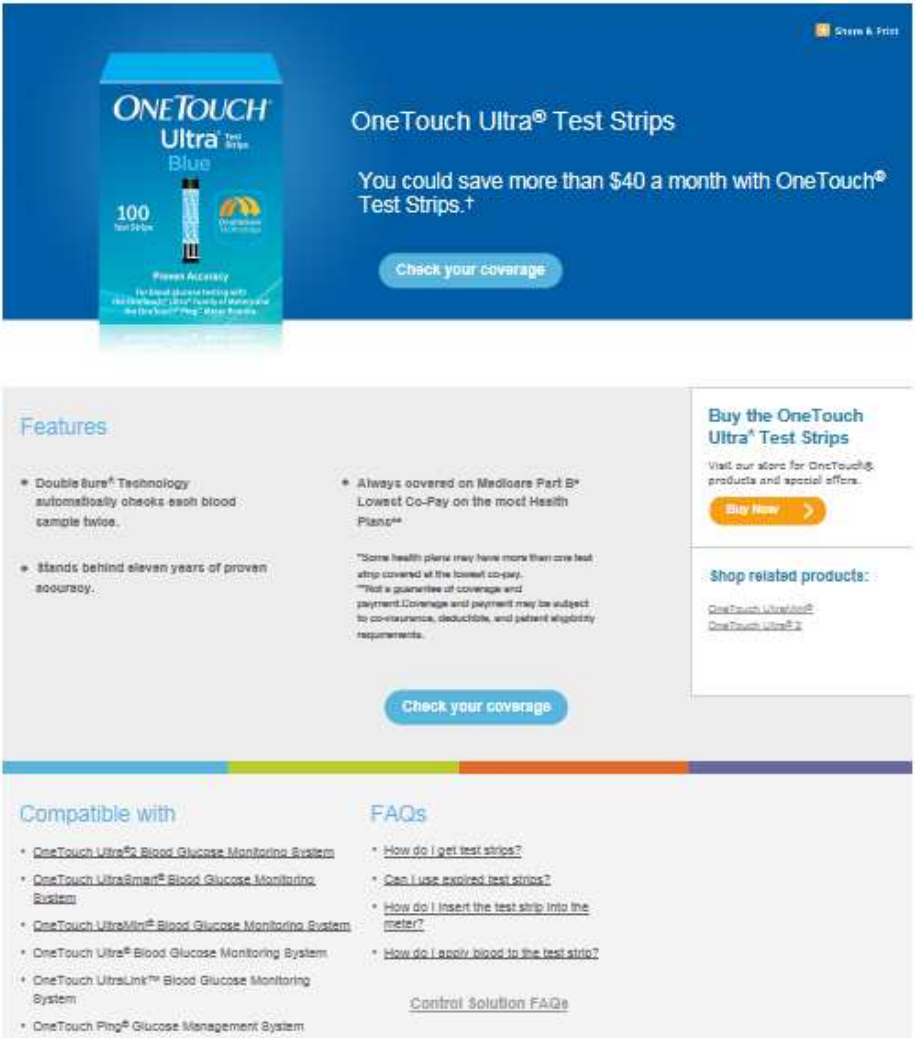
9. The method of claim 8, further comprising an initial step of incubating the reaction in an open circuit for a predetermined time.

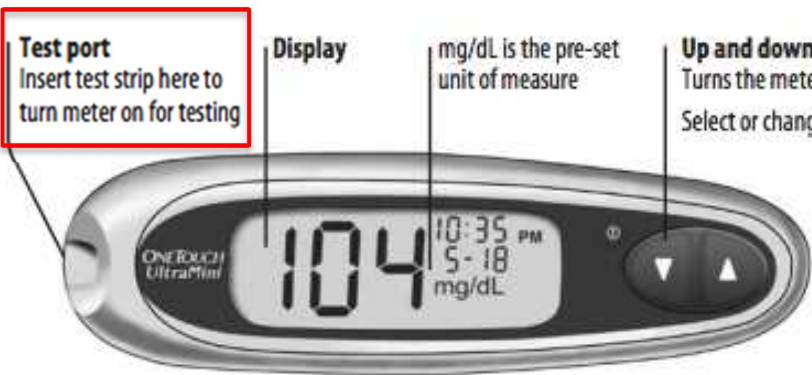
10. The method of claim 9, wherein the initial step of incubating is initiated by adding the sample to the electrochemical cell.

* * * * *

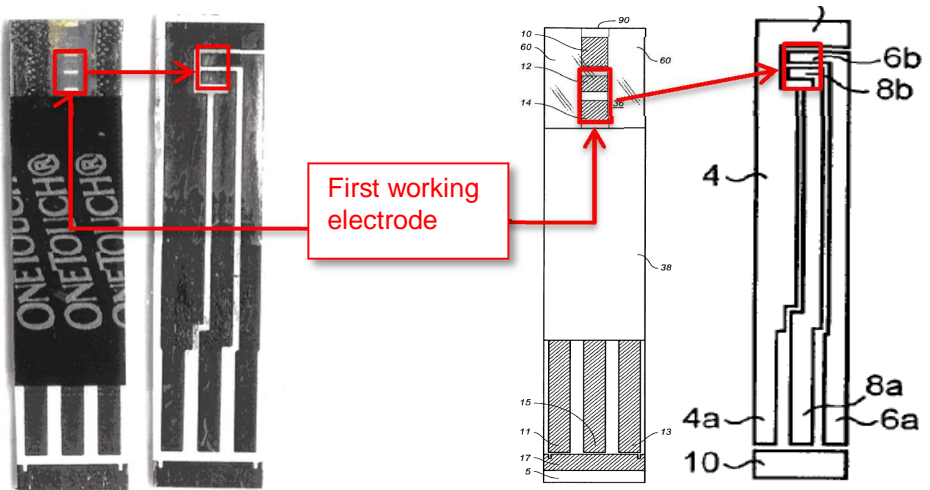
EXHIBIT “C”

U.S. Patent No 6,153,069: Johnson & Johnson OneTouch Ultra Glucose Meters

Claim 1	Select Evidence
<p>An apparatus for measuring compounds in a sample fluid, comprising:</p>	 <p>Source: http://www.onetouch.com/onetouch-ultra-blue-test-strips</p>

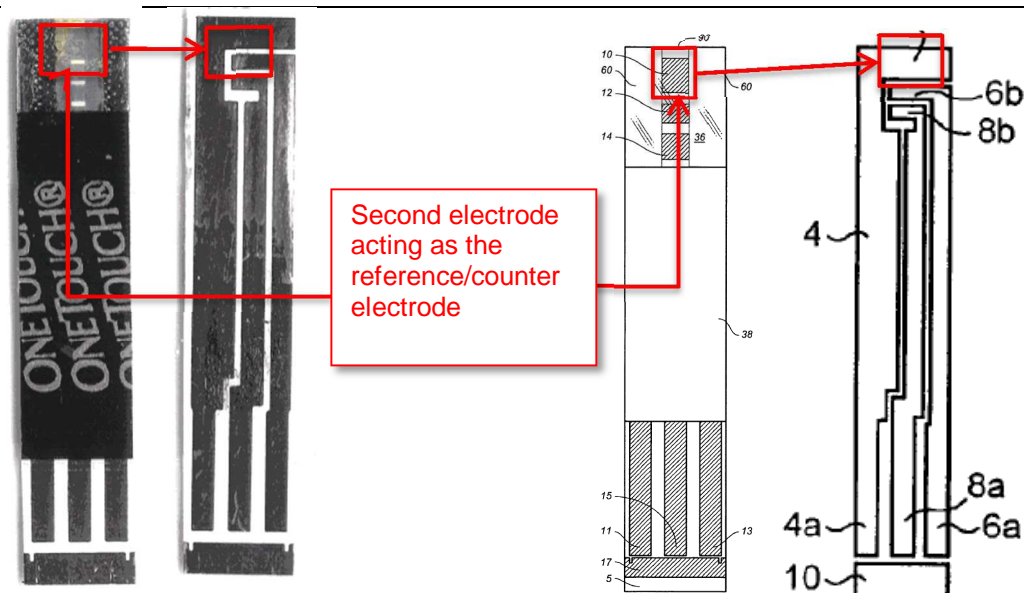
Claim 1	Select Evidence
a) a housing having an access opening therethrough;	<div data-bbox="512 245 1575 617"><p>The diagram shows a OneTouch UltraMini blood glucose meter. A red box highlights the 'Test port' on the left side, with a callout stating 'Insert test strip here to turn meter on for testing'. The 'Display' is in the center, showing '104', '10:35 PM', '5-18', and 'mg/dL'. A callout for the unit states 'mg/dL is the pre-set unit of measure'. On the right, 'Up and down buttons' are shown with a callout stating 'Turns the meter on or off' and 'Select or change information'. The word 'METER' is printed on the left side of the device.</p></div> <p data-bbox="485 673 1875 764">Source: OneTouch UltraMini User Manual, available at http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf</p>

Claim 1	Select Evidence				
<p>b) a sample cell receivable into said access opening of said housing, said sample cell being composed of;</p>	<div data-bbox="480 227 1354 812">  </div> <div data-bbox="1367 456 1593 586"> <p>Sample cell inserted into access opening</p> </div> <p>Source: http://video.drugstore.com/v/7848/onetouch-ultra-blue-test-strips-blood-management-diabetes/</p> <div data-bbox="480 873 1577 1172"> <table border="1"> <thead> <tr> <th data-bbox="485 875 1031 901">APPLYING A BLOOD SAMPLE</th><th data-bbox="1031 875 1572 901">APPLYING A BLOOD SAMPLE</th></tr> </thead> <tbody> <tr> <td data-bbox="485 901 1031 1170"> <p>Touch and hold the drop of blood to the narrow channel in the top edge of the test strip until the confirmation window is full.</p>  </td><td data-bbox="1031 901 1572 1170"> <p>View the confirmation window on the test strip. Touch and hold the drop of blood to the narrow channel in the top edge of the test strip until the confirmation window is full.</p>  </td></tr> </tbody> </table> </div> <p>Source: http://www.onetouch.com/ultramini/tour</p>	APPLYING A BLOOD SAMPLE	APPLYING A BLOOD SAMPLE	<p>Touch and hold the drop of blood to the narrow channel in the top edge of the test strip until the confirmation window is full.</p> 	<p>View the confirmation window on the test strip. Touch and hold the drop of blood to the narrow channel in the top edge of the test strip until the confirmation window is full.</p> 
APPLYING A BLOOD SAMPLE	APPLYING A BLOOD SAMPLE				
<p>Touch and hold the drop of blood to the narrow channel in the top edge of the test strip until the confirmation window is full.</p> 	<p>View the confirmation window on the test strip. Touch and hold the drop of blood to the narrow channel in the top edge of the test strip until the confirmation window is full.</p> 				

Claim 1	Select Evidence
(i) a first electrode which acts as a working electrode;	 <p>Source. (FAR LEFT, LEFT) Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini/; (RIGHT) U.S. Patent 7,468,125 Fig. 2; (FAR RIGHT) U.S. Patent 7,250,105 Fig. 2.</p> <p>Patent information The system described herein is covered by one or more of the following U.S. patents: 5,708,247, 5,951,836, 6,241,862, 6,284,125, 7,112,265, and D546,216. Use of the monitoring device included herein is protected under one or more of the following U.S. patents: 6,413,410, 6,733,655, 7,250,105, 7,468,125. Purchase of this device does not act to grant a use license under these patents. Such</p> <p>Source: OneTouch UltraMini User Manual, available at http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf</p> <p>Per U.S. Patent 7,250,105: "The second and third tracks 6, 8 form electrodes 6b, 8b at their distal ends for two working sensor parts and respective connecting terminals 6a, 8a at their proximal ends." Col. 4, Ins. 46-50.</p> <p>Per '105: "Thus it is most preferred that the two working sensor parts are substantially identical." Col. 4, Ins. 28-29.</p> <p>Per U.S. Patent 7,468,125: "In an exemplary embodiment, a final current for first working electrode and a final current for second working electrode may be summed together to give a grand summation. . . to generate a glucose concentration." Col. 10, Ins. 16-25.</p>

Claim 1

(ii) a second electrode which acts to fix the system potential and provide opposing current flow with respect to said first electrode,

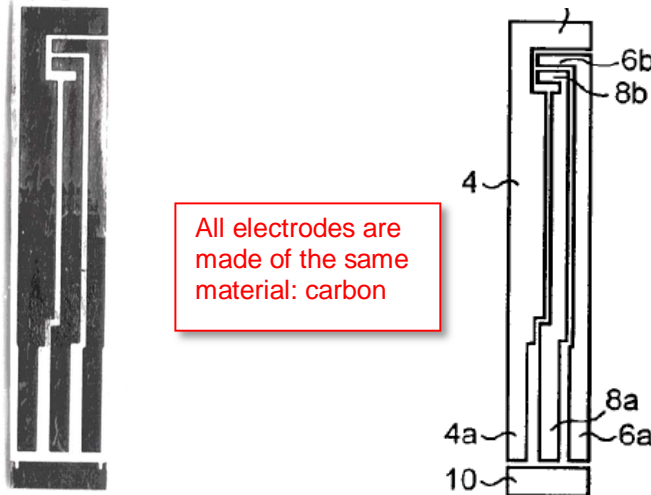
Select Evidence

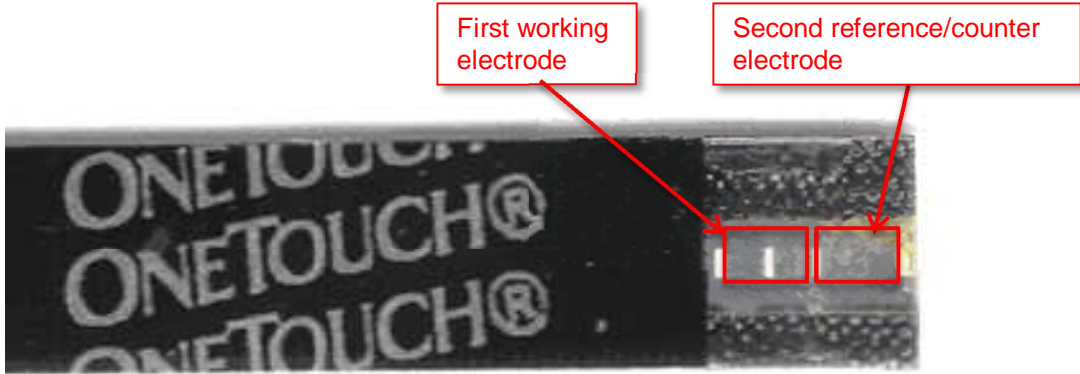
Source: (FAR LEFT, LEFT) Satre Electronics UltraMini AFE Electrical Tests, available at <http://www.satre-electronics.com/home/one-touch-ultra-mini>; (RIGHT) U.S. Patent 7,468,125 Fig. 2; (FAR RIGHT) U.S. Patent 7,250,105 Fig. 2.

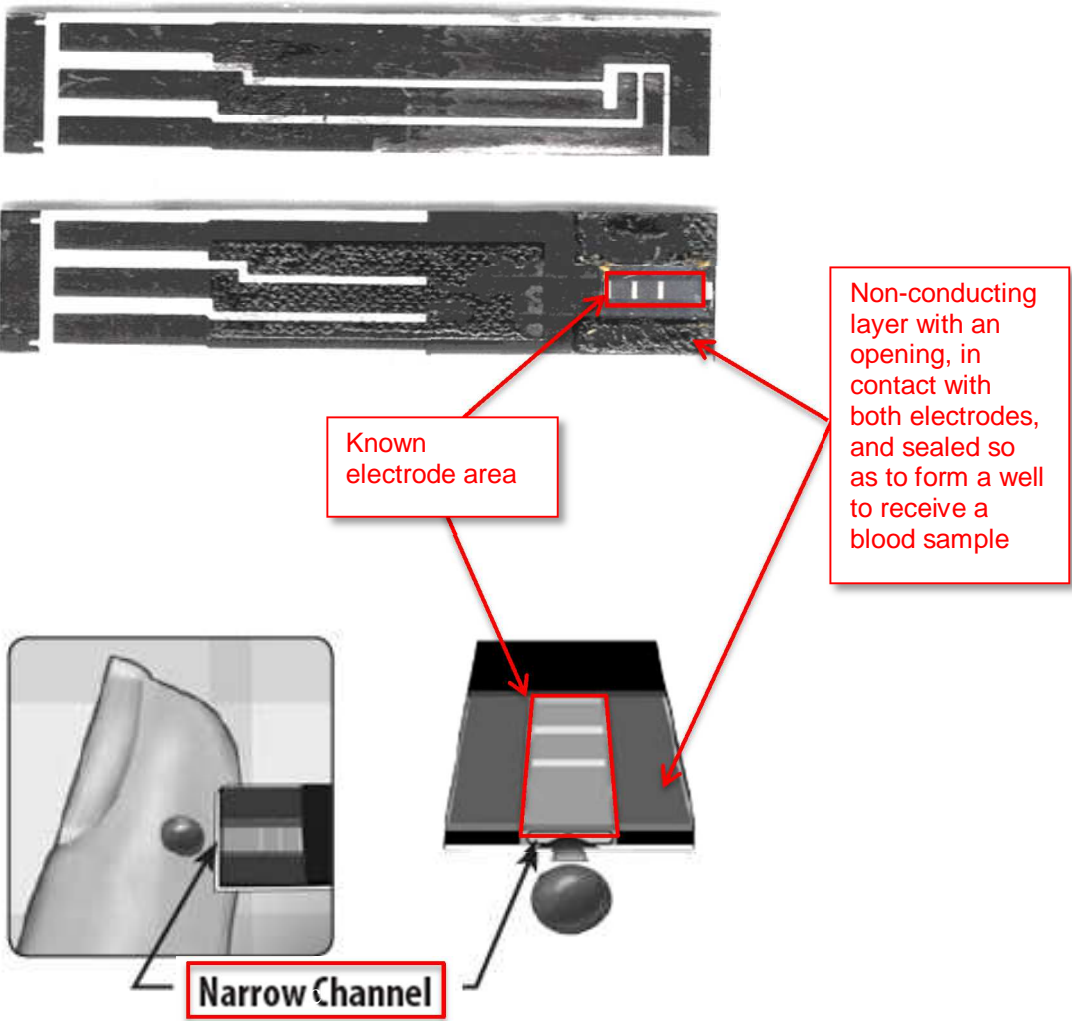
Per U.S. Patent 7,250,105: "The first track **4** forms, at the distal end thereof, an electrode **4b** for a reference/counter sensor part." Col. 4, Ins. 44-45.


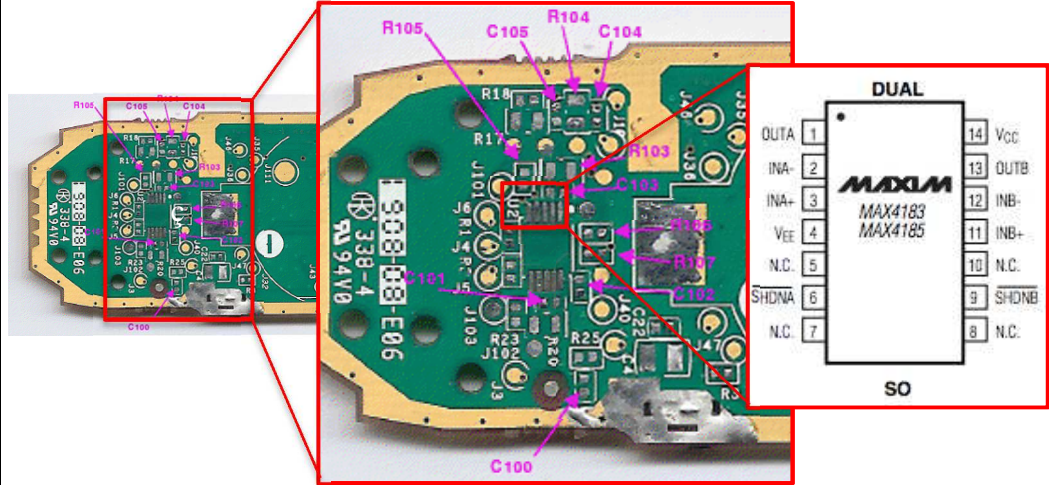
A fixed potential difference is applied between the **working** electrode and the **reference** electrode. This potential drives an electrochemical reaction at the working electrode's surface. The current produced from the electrochemical reaction at the working electrode is balanced by a current flowing in the opposite direction at the counter electrode. The current resulting from the electrochemical reaction at the working electrode is amplified and, when plotted as a function of time, appears as a peak on the recording device. The potential applied to the working electrode is measured within the context of a known potential, which is in turn obtained from the reference electrode.

Source: http://www.esainc.com/resources/Detector_tech/How_EC_works/

Claim 1	Select Evidence																					
said second electrode being made of the same electrically conducting material as said first electrode, and being operatively associated with said first electrode,	<table><tr><th colspan="3">Similarities</th></tr><tr><th>Item</th><th>Device</th><th>Predicate</th></tr><tr><td></td><td>EASYGLUCO™</td><td>ONE TOUCH® Ultra®</td></tr><tr><td>Detection method</td><td>Amperometry: current is generated by oxidation of reduced mediator.</td><td>Amperometry</td></tr><tr><td>Enzyme</td><td>Glucose Oxidase (Aspergillus niger)</td><td>Glucose Oxidase (Aspergillus niger)</td></tr><tr><td>Mediator</td><td>Potassium ferricyanide</td><td>Potassium ferricyanide</td></tr><tr><td>Electrode</td><td>Carbon electrode</td><td>Carbon electrode</td></tr></table>	Similarities			Item	Device	Predicate		EASYGLUCO™	ONE TOUCH® Ultra®	Detection method	Amperometry: current is generated by oxidation of reduced mediator.	Amperometry	Enzyme	Glucose Oxidase (Aspergillus niger)	Glucose Oxidase (Aspergillus niger)	Mediator	Potassium ferricyanide	Potassium ferricyanide	Electrode	Carbon electrode	Carbon electrode
	Similarities																					
	Item	Device	Predicate																			
		EASYGLUCO™	ONE TOUCH® Ultra®																			
	Detection method	Amperometry: current is generated by oxidation of reduced mediator.	Amperometry																			
Enzyme	Glucose Oxidase (Aspergillus niger)	Glucose Oxidase (Aspergillus niger)																				
Mediator	Potassium ferricyanide	Potassium ferricyanide																				
Electrode	Carbon electrode	Carbon electrode																				
	Source: http://www.accessdata.fda.gov/cdrh_docs/reviews/K031501.pdf																					
	<div></div>																					
	Per the '105 patent: "Preferably [] the working layer of both sensor parts is of the same material." Col. 3, Ins. 25-26. "FIG. 2 shows the layout of carbon tracks applied to the substrate." Col. 4, Ins. 23-24.																					
	Source: (LEFT) Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini ; (RIGHT) U.S. Patent 7,250,105 Fig. 2.																					

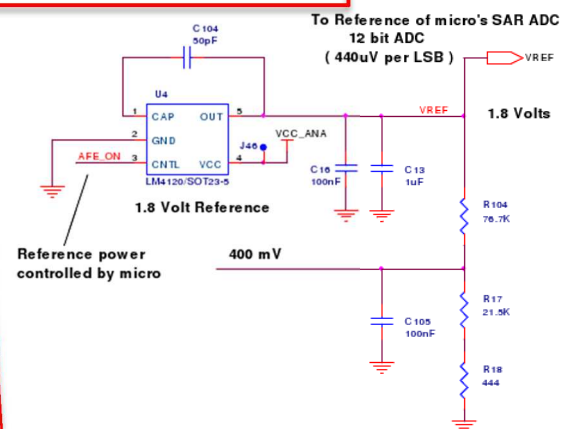
Claim 1	Select Evidence
<p>the ratio of the surface area of said second electrode to the surface area of said first electrode being 1:1 or less;</p>	<div data-bbox="510 215 1583 586">  </div> <p>Source: Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p> <p>Per U.S. Patent 7,250,105: "Thus it is most preferred that the two working sensor parts are substantially identical." Col. 4, Ins. 28-29.</p> <p>Per '105: "In the second half of the test the two currents were first compared. Only if they differed by less than 10% were they then added together and put forward as valid results." Col. 5, Ins. 46-48.</p> <p>Per U.S. Patent 7,468,125: "In an exemplary embodiment, a final current for first working electrode and a final current for second working electrode may be summed together to give a grand summation. . . to generate a glucose concentration." Col. 10, Ins. 16-25.</p>

Claim 1	Select Evidence
<p>(iii) at least one non-conducting layer member having an opening therethrough, said at least one non-conducting layer member being disposed in contact with at least one of said first and second electrodes and being sealed against at least one of said first and second electrodes to form a known electrode area within said opening such that said opening forms a well to receive the sample fluid and to allow a user of said apparatus to place the sample fluid in said known electrode area in contact with said first electrode and said second electrode;</p>	 <p>Known electrode area</p> <p>Non-conducting layer with an opening, in contact with both electrodes, and sealed so as to form a well to receive a blood sample</p> <p>Narrow Channel</p> <p>Source: (TOP) Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini; (BOTTOM) OneTouch UltraMini User Manual, available at http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf</p>

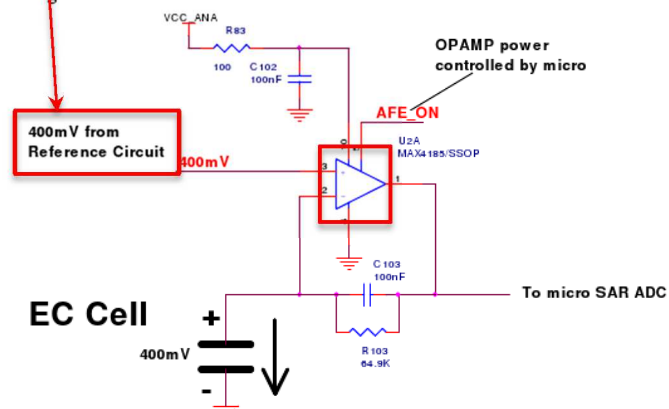
Claim 1	Select Evidence																								
c) means for applying an electrical potential to both said first electrode and said second electrode;	<div><div></div><div><p>Replacing the battery</p><p>❶ Remove the old battery</p><p>Start with the meter off. Open the battery door and pull up on the battery ribbon.</p></div></div> <p>Source: OneTouch UltraMini User Manual, available at http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf</p> <div></div> <p>10. Bill of Materials</p> <table><tr><th>Item</th><th>Quantity</th><th>Reference</th><th>Part</th></tr><tr><td>28</td><td>1</td><td>SW1</td><td>DOWN PUSHBUTTON</td></tr><tr><td>29</td><td>1</td><td>SW2</td><td>UP PUSHBUTTON</td></tr><tr><td>30</td><td>1</td><td>U2</td><td>MAX4185/SSOP</td></tr><tr><td>31</td><td>1</td><td>U4</td><td>LM4120/SOT23-5</td></tr><tr><td>32</td><td>1</td><td>U5</td><td>MSP430FG43xIPN/QFP</td></tr></table> <p>Source: Satre Electronics UltraMini Teardown Report, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p>	Item	Quantity	Reference	Part	28	1	SW1	DOWN PUSHBUTTON	29	1	SW2	UP PUSHBUTTON	30	1	U2	MAX4185/SSOP	31	1	U4	LM4120/SOT23-5	32	1	U5	MSP430FG43xIPN/QFP
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Claim 1

Select Evidence

2. Analog Front End REFERENCE Circuit

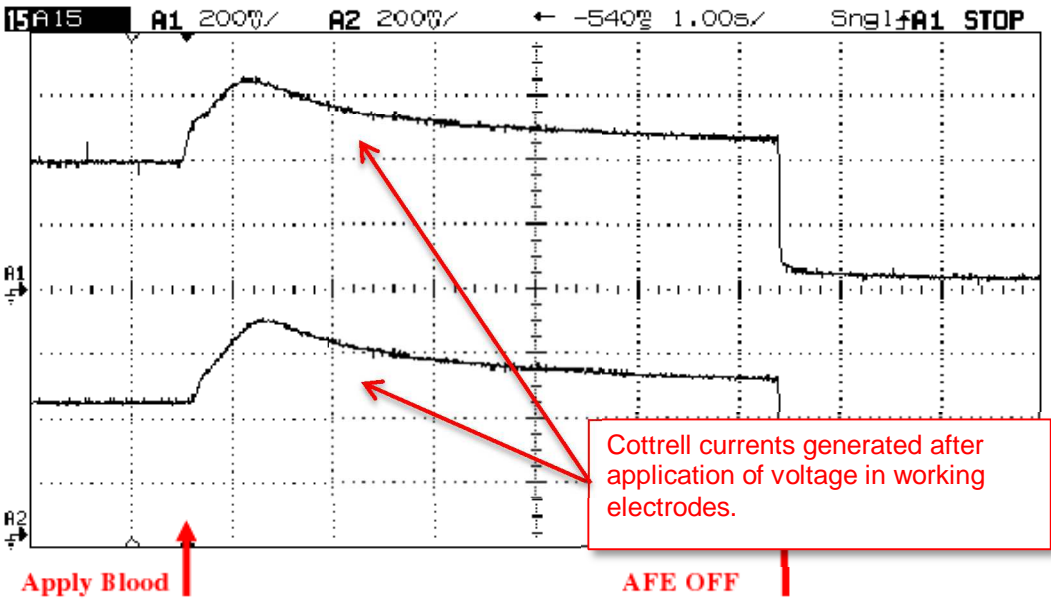
The reference circuit for the analog front end is shown above.

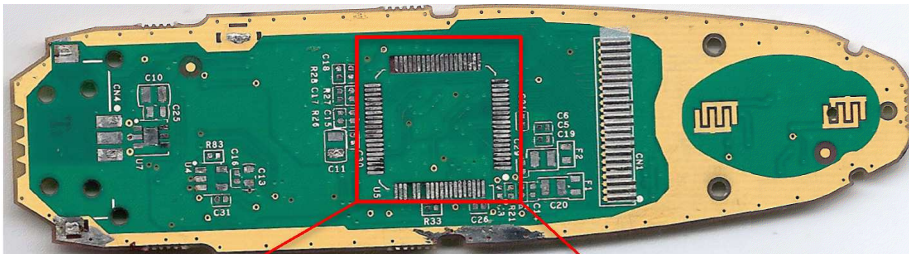
1. Analog Front End OPAMP Circuit

The non-inverting input of the opamp is driven by 400mV reference signal. This DC signal is generated by the reference circuit shown on the next page. The 400mV establishes the electro-chemical cell voltage. 400mV and is always maintained across the cell when the opamp is on.

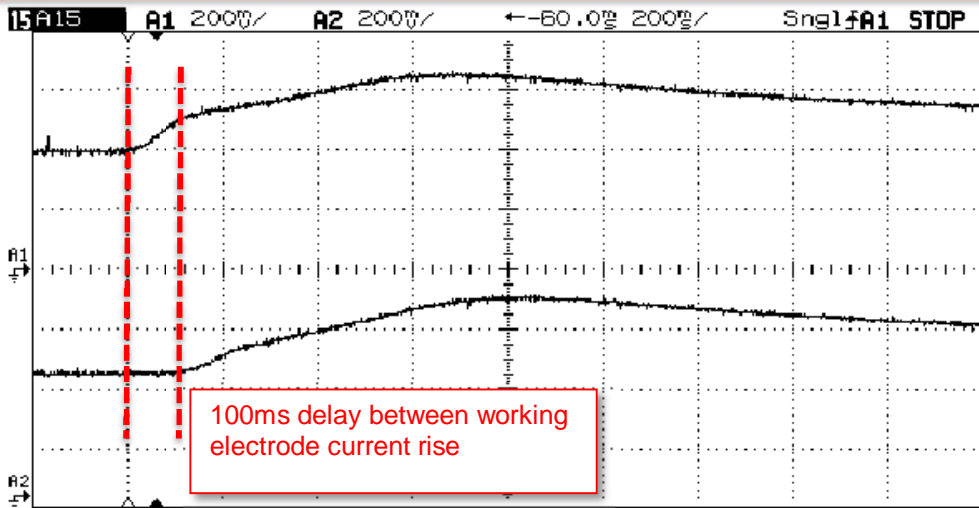
Source: Satre Electronics UltraMini Teardown Report, available at <http://www.satre-electronics.com/home/one-touch-ultra-mini>

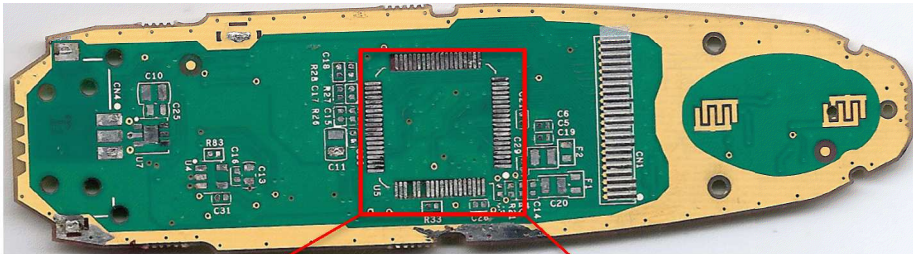


Claim 1	Select Evidence
<p>d) means for creating an electrical circuit between said first electrode and said second electrode through the sample fluid;</p>	<div data-bbox="489 240 758 285" data-label="Section-Header"> <h3>Test Principle</h3> </div> <p data-bbox="489 302 1398 508">The OneTouch® Ultra® Family of Meters and OneTouch® Ping™ Meter Remote are plasma-calibrated to allow easy comparison of results with laboratory methods. <u>Glucose in the blood sample mixes with special chemicals on the test strip and a small electrical current is produced.</u> This current is measured by the OneTouch® Ultra® Family of Meters and OneTouch® Ping™ Meter Remote and displayed as your blood glucose result. The strength of this current changes with the amount of glucose in the blood sample.</p> <div data-bbox="489 524 871 570" data-label="Section-Header"> <h3>Reagent Composition</h3> </div> <p data-bbox="489 586 1325 670">Each test strip contains: <u>Glucose oxidase (<i>Aspergillus niger</i>)</u> ≥ 0.08 IU; <u>ferricyanide</u> ≥ 22 µg; other ingredients (buffer, etc.). The test strip vial contains a drying agent.</p> <p data-bbox="489 711 1871 797">Source: http://www.onetouch.com/professional/sites/www.onetouch.com.professional/files/docfile/1321229448131711488606626601C_UBlueTestStrip_Instructions_for_use.pdf</p> <div data-bbox="489 854 1226 902" data-label="Chemical-Block"> $\text{glucose} + \text{GOx}_{(\text{ox})} \rightarrow \text{gluconic acid} + \text{GOx}_{(\text{red})} \quad (4)$ </div> <div data-bbox="489 935 1226 984" data-label="Chemical-Block"> $\text{GOx}_{(\text{red})} + 2\text{M}_{(\text{ox})} \rightarrow \text{GOx}_{(\text{ox})} + 2\text{M}_{(\text{red})} + 2\text{H}^+ \quad (5)$ </div> <div data-bbox="642 1016 1226 1065" data-label="Chemical-Block"> $2\text{M}_{(\text{red})} \rightarrow 2\text{M}_{(\text{ox})} + 2\text{e}^- \quad (6)$ </div> <div data-bbox="1325 854 1562 1114" data-label="Text"> <p>In this general glucose redox reaction, Gox is glucose oxidase, and M is the redox mediator, ferricyanide.</p> </div> <p data-bbox="489 1133 1642 1162">Source: http://xa.yimg.com/kq/groups/23953197/1916221746/name/Glucose%252BBiosensors.pdf</p>

Claim 1	Select Evidence
<p>e) means for measuring a first Cottrell current reading through the sample fluid at a first predetermined time after the electrical potential is applied</p>	<p>1. Nominal Glucose: 90mg/dL</p>  <p>The scope capture of the AFE output for a nominal glucose measurement is shown above. Prior to applying the blood, the AFE channels sit at the “zero-signal” level of 400mV. When blood is applied the outputs begin to rise. The outputs peak at about 250mV above zero-signal. 6 seconds after blood is applied, the test is over and the AFE channels turn off.</p> <p>Source: Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p> <p>Per U.S. Patent 7,468,125: “In an exemplary embodiment, a final current for first working electrode and a final current for second working electrode may be summed together to give a grand summation. . . to generate a glucose concentration.” Col. 10, Ins. 16-25.</p>

Claim 1	Select Evidence																																																																
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Claim 1	Select Evidence
<p>and for obtaining at least one additional Cottrell current reading through the sample fluid,</p>	<div data-bbox="480 227 1346 540" data-label="Image"> </div> <p>Source: http://www.onetouch.com/ultrateststrips</p> <p>Dear LifeScan Customer:</p> <p><i>Thank you for taking the time to write to us. In regard to your inquiry, the OneTouch Ultra Test Strip uses</i></p> <div data-bbox="485 688 1568 873" data-label="Text" style="border: 1px solid red; padding: 5px;"> <p>patented DoubleSure technology that automatically conducts two separate glucose measurements on each blood sample for accuracy (their emphasis). When glucose in a blood sample reacts with the glucose oxidase enzyme in the OneTouch Ultra Test Strip, electrons are released and carried by a mediator to two electrodes. The electrodes each measure the flow of electrons separately. This allows two measurements to be compared and checked, leading to accurate glucose results. If a significant difference between the two measurements is detected, the OneTouch Meter recognizes there is a problem and an error message is generated.</p> </div> <p>This is not a new feature of the OneTouch Ultra Test Strip. This feature has always contributed to the proven accuracy and performance of the OneTouch Ultra blood glucose monitoring systems. We are choosing to emphasize this feature to consumers and HCPs now to better explain how the OneTouch Ultra Test Strip delivers the proven accuracy and performance consumers have enjoyed over the past 7 years.</p> <p>LifeScan is committed to providing customers with quality products and caring service. As of January 4, 2010, our new hours are Mon-Sun, 5 a.m. to 7 p.m. Pacific Time. Please make a note of this change. Our OneTouch Customer Advocates can be reached at 1 800 227-8862. You may also send e-mail to CustomerService@LifeScan.com or visit us on our Web site at www.OneTouchDiabetes.com.</p> <p>Sincerely,</p> <p>Jennifer Mays Customer Correspondence Web Specialist</p> <p>LifeScan, Inc. 1000 Gibraltar Drive Milpitas, CA 95035 http://www.LifeScan.com CustomerService@LifeScan.com 408 263-9789 Main Phone 408 946-6070 Main Fax</p>

Claim 1	Select Evidence
<p>the at least one additional Cottrell current reading occurring at a second predetermined time following the first predetermined time;</p>	<p>Channel 1 (A1) always begins to rise first, before Channel 2. It's not evident in the above scope picture, but a zoom-in of the portion when blood is first applied shows that Channel 1 begins to rise 100ms before Channel 2. See zoom-in picture below.</p>  <p>Source: Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p> <p>Per the '125 patent: "There may be a measurement delay time interval T_{MD} of about 300 milliseconds between final current value for the first working electrode . . . and for second working electrode." Col. 8, Ins. 6-10.</p>

Claim 1	Select Evidence																
f) microprocessor means for converting the first Cottrell current reading into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement, for converting the at least one additional Cottrell current measurement, for converting the at least one additional Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one additional Cottrell current measurement,	<div></div> <div>10. Bill of Materials</div> <table><tr><th>Item</th><th>Quantity</th><th>Reference</th><th>Part</th></tr><tr><td>31</td><td>1</td><td>U4</td><td>LM4120/SOT23-5</td></tr><tr><td>32</td><td>1</td><td>U5</td><td>MSP430FG43xIPN/QFP</td></tr><tr><td>33</td><td>1</td><td>U6</td><td>24AA64/MSOP</td></tr></table> <div><p>Source: Satre Electronics UltraMini Teardown Report, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p><p>MSP430FG439 (ACTIVE) 16-Bit Ultra-Low-Power MCU, 60KB Flash, 2KB RAM, 12-Bit ADC, Dual DAC, DMA, 3 OPAMP, 128 Seg LCD</p><p>☆☆☆☆☆ No reviews yet. Add your review and give us feedback</p><div><div>Description & Features</div><div>Sample & Buy</div><div>Technical Documents</div><div>Software & Tools</div><div>Support & Community</div></div><p>Datasheet</p><div><div></div><div>> MSP430FG43x Mixed Signal Microcontroller (Rev. C) (PDF , 1121 KB) 02 Mar 2011</div><div>> MSP430FG43x Device Erratasheet (Rev. C) (PDF , 112 KB) 18 Jan 2010</div><div>> MSP430x4xx Family User's Guide (Rev. J) (PDF , 2424 KB) 18 Jan 2010</div><div>> View All Technical Documents</div></div><div></div><p>Source: http://www.ti.com/product/msp430fg439#description</p></div>	Item	Quantity	Reference	Part	31	1	U4	LM4120/SOT23-5	32	1	U5	MSP430FG43xIPN/QFP	33	1	U6	24AA64/MSOP
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Claim 1	Select Evidence
	<p style="text-align: center;">(Electrochemical Blood Glucose Test Strip)</p> <p>Calibration of EBGTS</p> <p>Once a signal, whether <u>charge</u> or <u>current</u>, is extracted from the EBGTS, it must be converted to blood glucose <u>concentration</u>. This is generally done in a process called calibration.</p> <ol style="list-style-type: none"> 1. A series of blood samples are prepared with glucose concentrations spanning the range of interest, typically 200-5000 <u>mg/l</u>. 2. The blood samples are tested in a highly accurate laboratory glucose analyzer, called the reference method, to determine the reference glucose value for each sample. 3. The samples are then analyzed in EBGTS, to obtain the characteristic signal, which may be current (<u>μA</u>) or charge (<u>μC</u>). 4. The signal (current or charge) is plotted against the reference glucose value. 5. The slope and intercept of the resulting line are used to assign a calibration code to the EBGTS. 6. The user inputs this code into the meter, before self testing, which allows the meter to accurately convert the electrochemical signal to a blood glucose value. <p>Source: http://electrochem.cwru.edu/encycl/art-g01-glucose.htm</p> <p>① Check the code on the test strip vial before inserting the test strip</p> <p>Code numbers are used to calibrate your meter with the test strips you are using to obtain accurate test results. You must code the meter before using it for the first time and then every time you change to another vial of test strips.</p> <p>Source: OneTouch UltraMini User Guide, available at http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf</p>

Claim 1	Select Evidence
<p>and for comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other; and</p>	<p>Dear LifeScan Customer:</p> <p>Thank you for taking the time to write to us. In regard to your inquiry, the OneTouch Ultra Test Strip uses patented DoubleSure technology that automatically conducts two separate glucose measurements on each blood sample for accuracy (<i>their emphasis</i>). When glucose in a blood sample reacts with the glucose oxidase enzyme in the OneTouch Ultra Test Strip, electrons are released and carried by a mediator to two electrodes. The electrodes each measure the flow of electrons separately. This allows two measurements to be compared and checked, leading to accurate glucose results. <u>If a significant difference between the two measurements is detected, the OneTouch Meter recognizes there is a problem and an error message is generated.</u></p> <p>This is not a new feature of the OneTouch Ultra Test Strip. This feature has always contributed to the proven accuracy and performance of the OneTouch Ultra blood glucose monitoring systems. We are choosing to emphasize this feature to consumers and HCPs now to better explain how the OneTouch Ultra Test Strip delivers the proven accuracy and performance consumers have enjoyed over the past 7 years.</p> <p>LifeScan is committed to providing customers with quality products and caring service. As of January 4, 2010, our new hours are Mon-Sun, 5 a.m. to 7 p.m. Pacific Time. Please make a note of this change. Our OneTouch Customer Advocates can be reached at 1 800 227-8862. You may also send e-mail to CustomerService@LifeScan.com or visit us on our Web site at www.OneTouchDiabetes.com.</p> <p>Sincerely,</p> <p>Jennifer Mays Customer Correspondence Web Specialist</p> <p>LifeScan, Inc. 1000 Gibraltar Drive Milpitas, CA 95035 http://www.LifeScan.com CustomerService@LifeScan.com 408 263-9789 Main Phone 408 946-6070 Main Fax</p>

Claim 1

Select Evidence

TABLE 1

Volume μL	Working 1: μA	Working 2: μA	% Difference	Error checked	No error check
1	7.07	0.00	-706800		7.07
1	6.94	5.98	-16.2175732		12.92
1	5.53	0.01	-92050		5.54
1	6.99	7.09	1.42393909	14.09	14.09
1	7.34	7.02	-4.59016393	14.35	14.35
1	7.16	6.79	-5.49742078	13.94	13.94
1	7.01	3.47	-102.13441		10.48
1	7.07	5.69	-24.2578605		12.77
1.2	7.18	4.54	-58.2286847		11.72
1.2	7.00	6.78	-3.35055351	13.78	13.78
1.2	7.09	1.79	-297.032475		8.88
1.2	6.31	0.00	-157550		6.31
1.2	6.78	6.79	0.11788977	13.56	13.56
1.2	6.95	6.59	-5.4029443	13.53	13.53
1.2	6.62	6.28	-5.36795158	12.89	12.89
1.2	7.23	3.78	-91.2721502		11.01
1.4	7.16	6.90	-3.76811594	14.06	14.06
1.4	7.14	6.94	-2.88184438	14.08	14.08
1.4	7.17	7.02	-2.13675214	14.19	14.19
1.4	7.02	6.01	-1.5918958	13.93	13.93
1.4	6.95	6.91	-0.5788712	13.86	13.86
1.4	6.93	6.88	-0.72674419	13.81	13.81
1.4	7.09	6.92	-2.4566474	14.01	14.01
1.4	7.25	7.40	2.02702703	14.65	14.65

Sum of current
readings used for
conversion to
analyte
concentrations

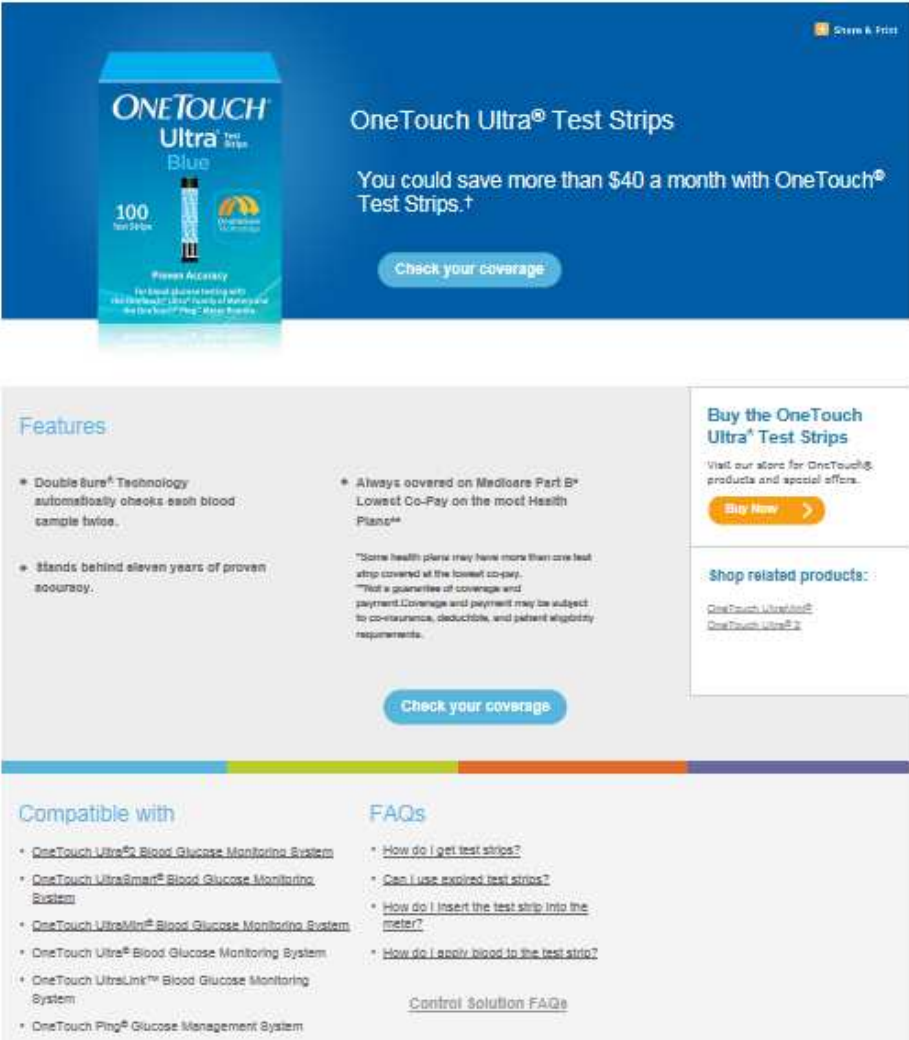
Source: U.S. Patent 7,250,105.

Per the '105 patent: "In the second half of the test the two currents were first compared. Only if they differed by less than 10% were they then added together and put forward as valid results." Col. 5, Ins. 46-48.

Claim 1	Select Evidence
<p>g) means for visually displaying the results of said analyte concentration measurements.</p>	<div data-bbox="510 256 1575 618" data-label="Image"> <p>The diagram shows a OneTouch UltraMini blood glucose meter. It has a test port on the left, a central LCD display, and two buttons on the right. Labels with leader lines point to these features:</p> <ul style="list-style-type: none"> Test port: Insert test strip here to turn meter on for testing Display: (highlighted with a red box) mg/dL is the pre-set unit of measure: points to the unit on the display Up and down buttons: Turns the meter on or off; Select or change information <p>The display itself shows the number '104', the time '10:35 PM', the date '5-18', and the unit 'mg/dL'. The brand name 'ONE TOUCH UltraMini' is visible on the left side of the device.</p> </div> <p>Source: OneTouch UltraMini User Manual, available at http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf</p>

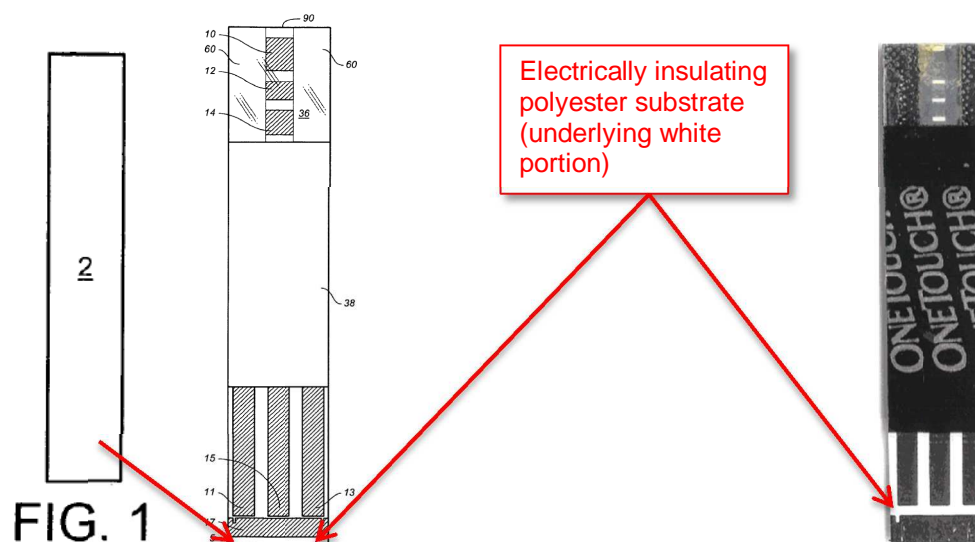
EXHIBIT “D”

U.S. Patent No. 6,413,411 cl. 4: Johnson & Johnson OneTouch Ultra

Claim 4	Select Evidence
<p>A method for obtaining measurements of an analyte contained in a sample in order to determine the concentration of analyte in the sample,</p>	 <p>Source: http://www.onetouch.com/onetouch-ultra-blue-test-strips</p>

Claim 4

in a device including a first electrical insulator,

Select Evidence

Source: (L) U.S. Patent 7,250,105 Fig. 1; (M) U.S. Patent 7,468,125 Fig. 2; (R) Satre Electronics UltraMini AFE Electrical Tests, available at <http://www.satre-electronics.com/home/one-touch-ultra-mini>

Patent information

The system described herein is covered by one or more of the following U.S. patents: 5,708,247, 5,951,836, 6,241,862, 6,284,125, 7,112,265, and D546,216. Use of the monitoring device included herein is protected under one or more of the following U.S. patents: 6,413,410, 6,733,655, **7,250,105, 7,468,125**. Purchase of this device does not act to grant a use license under these patents. Such

Source: OneTouch UltraMini User Manual, available at

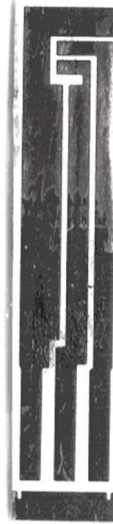
http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf

Per the '105 patent: "Turning to FIG. 1, there is shown an oblong polyester strip 2 which forms the substrate for a test strip for measuring the concentration of glucose in a sample of blood." Col. 4, Ins. 35-37.

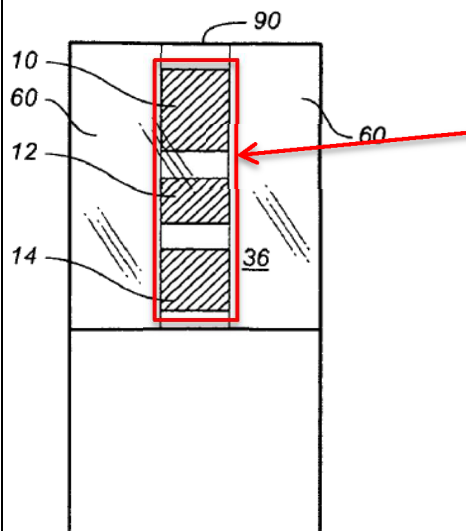
Per the '125 patent: "Substrate 5 may be formed from a variety of materials including, but not limited to polymeric materials or other insulating materials. In an exemplary embodiment, the material substrate may be formed from a polyester material" Col. 5, Ins. 25-29.

Per the '125 patent: "An example of test strip 100 is OneTouch Ultra which is available from LifeScan, Inc. (Milpitas, Calif., USA)." Col. 5, Ins. 41-43.

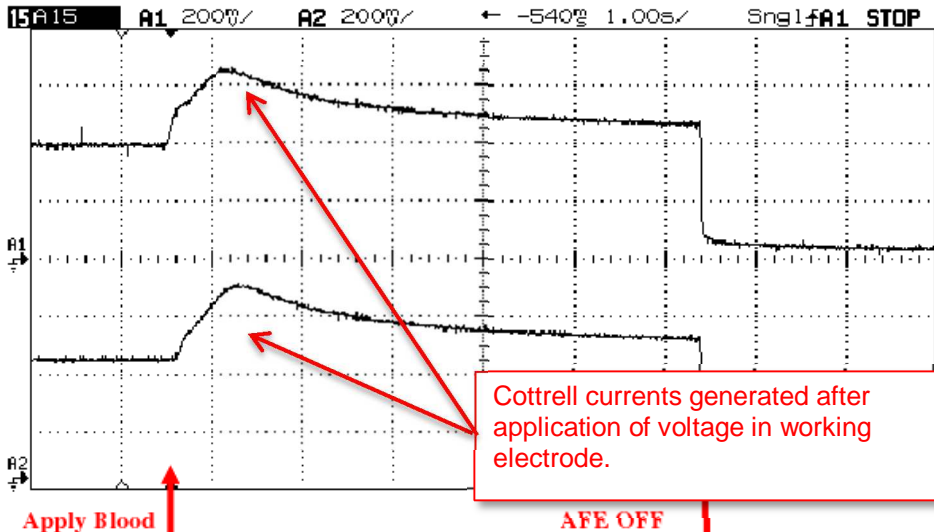
Claim 4	Select Evidence
<p>a pair of electrodes including working and counter electrodes,</p>	<div data-bbox="483 186 1281 941"> </div> <p>Source: (L) U.S. Patent 7,250,105 Fig. 2; (M) Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini; (R) U.S. Patent 7,468,125, Fig. 2.</p> <p>Per 7,250,105: "The first track 4 forms, at the distal end thereof, an electrode 4b for a reference/counter sensor part. . . .The second and third tracks 6, 8 form electrodes 6b, 8b at their distal ends for two working sensor parts and respective connecting terminals 6a, 8a at their proximal ends." Col. 4, Ins. 44-50.</p> <p>Per 7,468,125: "The conductive layer further includes a first working electrode 12, a second working electrode 14, and a reference electrode 10" Col. 5, Ins. 11-13.</p>

Claim 4	Select Evidence		
the working and counter electrodes being made of the same electrically conducting materials and being supported on the first electrical insulator,	Similarities		
	Item	Device	Predicate
		EASYGLUCO™	ONE TOUCH® Ultra®
	Detection method	Amperometry: current is generated by oxidation of reduced mediator.	Amperometry
	Enzyme	Glucose Oxidase (Aspergillus niger)	Glucose Oxidase (Aspergillus niger)
	Mediator	Potassium ferricyanide	Potassium ferricyanide
	Electrode	Carbon electrode	Carbon electrode
Source: http://www.accessdata.fda.gov/cdrh_docs/reviews/K031501.pdf			
<div></div> <div>Carbon electrodes supported on the electrically insulating polyester substrate</div>			
Source: (LEFT) Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini .			
Per the '105 patent: "Preferably [] the working layer of both sensor parts is of the same material." Col. 3, Ins. 25-26. "FIG. 2 shows the layout of carbon tracks applied to the substrate." Col. 4, Ins. 23-24.			

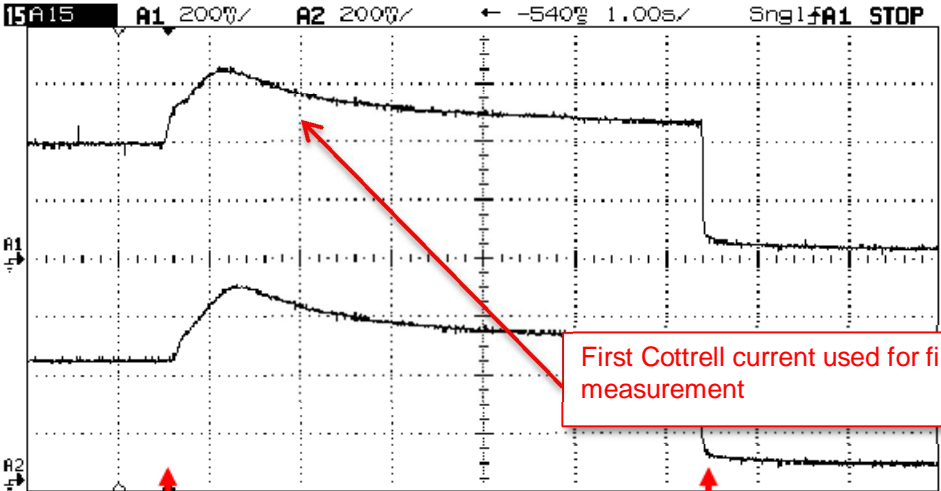
Claim 4	Select Evidence
<p>a second electrical insulator, overlaying the first electrical insulator and the working and counter electrodes and including a cutout portion that exposes surface areas of the working and counter electrodes,</p>	<p>Source: (FAR LEFT, LEFT) Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini; (MIDDLE) U.S. Patent 7,250,105 Fig. 2; (RIGHT, FAR RIGHT) U.S. Patent 7,468,125 Fig. 2.</p> <p>'105: "FIG. 3 shows the next layer to be applied also by screen printing. This is a water insoluble insulating mask 12 which defines a window over the electrodes 6b, 8b and which therefore controls the size of the exposed carbon and hence where the enzyme layer 14 (FIG. 4) will come into contact with the carbon electrodes." Col. 4, Ins. 54-59 (emphasis added).</p>

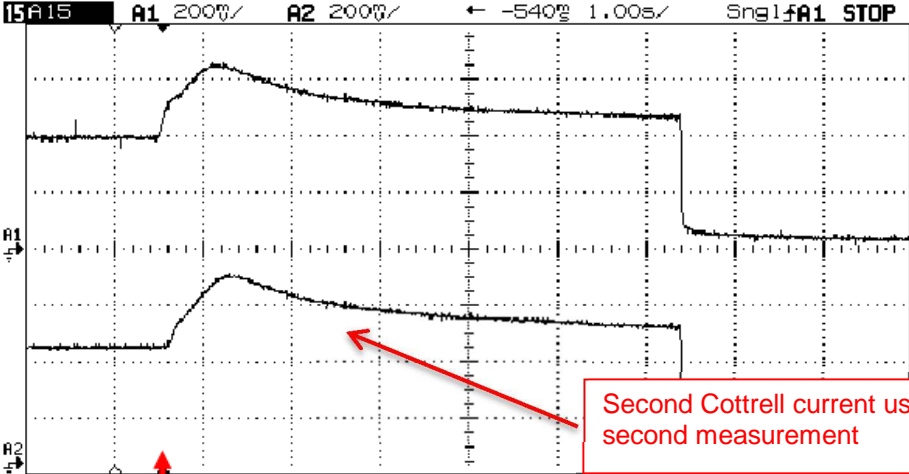
Claim 4	Select Evidence
<p>a reagent, substantially covering the exposed electrode surfaces in the cutout portion and including the oxidized form of a redox mediator, and an enzyme,</p>	<div data-bbox="472 194 1344 722">  <p>Reagent layer containing glucose oxidase and ferricyanide is disposed on the exposed surface of the electrodes.</p> </div> <p>Source: U.S. Patent 7,468,125: "A reagent layer (not shown) may be disposed on first working electrode 12, second working electrode 14, and reference electrode 10 within the sample chamber or cavity. Reagent layer may include chemicals such as a redox enzyme [glucose oxidase] and mediator [ferricyanide] which selectively reacts with glucose." Col. 5, Ins. 52-56.</p> <div data-bbox="499 917 966 974"> <h3>Reagent Composition</h3> </div> <p>Each test strip contains: Glucose oxidase (<i>Aspergillus niger</i>) ≥ 0.08 IU; ferricyanide ≥ 22 μg; other ingredients (buffer, etc.). The test strip vial contains a drying agent.</p> <p>Source: http://www.onetouch.com/professional/sites/www.onetouch.com/professional/files/docfile/1321229448131711488606626601C_UBlueTestStrip_Instructions_for_use.pdf </p>

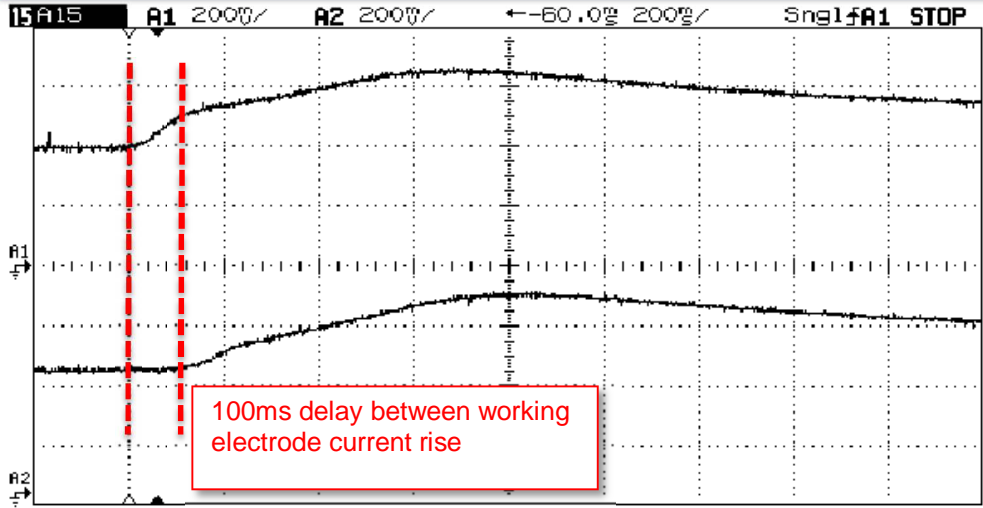
Claim 4	Select Evidence
<p>the oxidized form of the redox mediator being capable of receiving at least one electron from a reaction involving enzyme, analyte, and the oxidized form of the redox mediator</p>	<div data-bbox="499 212 961 261" data-label="Section-Header"> <h3>Reagent Composition</h3> </div> <div data-bbox="499 285 1520 391" data-label="Text"> <p>Each test strip contains: Glucose oxidase (<i>Aspergillus niger</i>) ≥ 0.08 IU; ferricyanide $\geq 22 \mu\text{g}$; other ingredients (buffer, etc.). The test strip vial contains a drying agent.</p> </div> <div data-bbox="499 407 1894 496" data-label="Text"> <p>Source: http://www.onetouch.com/professional/sites/www.onetouch.com/professional/files/docfile/1321229448131711488606626601C_UBlueTestStrip_Instructions_for_use.pdf</p> </div> <div data-bbox="499 561 1283 1114" data-label="Chemical-Block"> <p>glucose + GOD(ox) \rightarrow GOD (red)</p> <p>GOD (red) + $[\text{Fe}(\text{CN})_6]^{-3}$ \rightarrow GOD (ox) + $[\text{Fe}(\text{CN})_6]^{-4}$</p> <p>Ferricyanide (-3) Ferrocyanide (-4)</p> </div> <div data-bbox="499 1138 1894 1227" data-label="Caption"> <p>Figure 2. Ferricyanide reduction. Source: (Top) D.D. Cunningham, "Blood Glucose Monitoring," from <i>Medical Devices and Systems</i> (CRC Press); (Bottom) James M. May, <i>FASEB J.</i> 13(9): 995-1006 (1999), available at http://www.fasebj.org/content/13/9/995.full</p> </div>

Claim 4	Select Evidence
<p>and being in sufficient amount to insure that current produced by diffusion limited electrooxidation as a result of the reaction is limited by the oxidation of the reduced form of the redox mediator at the working electrode surface,</p>	<p>1. Nominal Glucose: 90mg/dL</p>  <p>Source: Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p> <p>Cottrell equation</p> <p>A relation between <u>diffusion limited current density</u> and time in a <u>chronoamperometric</u> experiment, assuming that the <u>potential</u> excursion is sufficiently large to immediately result in limiting current. The equation is valid only for planar <u>electrodes</u> in unstirred solution.</p> <p>The diffusion current density is inversely related to the square root of time, or expressing it differently: the product of $i(t) \times t^{0.5}$ is a constant. The constant is proportional to the <u>concentration</u> of the <u>reactant</u> and to the square root of the <u>diffusion coefficient</u> of the reactant. Because the equation was derived for an unstirred solution, it ceases to be valid once <u>natural convection</u> starts.</p> <p>Source: http://electrochem.cwru.edu/ed/dict.htm</p>

Claim 4	Select Evidence
<p>the enzyme being present in sufficient amount to catalyze the reaction involving the enzyme, analyte, and the oxidized form of the redox mediator, comprising:</p>	<div data-bbox="499 212 961 264" data-label="Section-Header"> <h3>Reagent Composition</h3> </div> <p data-bbox="499 282 1528 394">Each test strip contains: <u>Glucose oxidase (<i>Aspergillus niger</i>) ≥ 0.08 IU; ferricyanide ≥ 22 μg; other ingredients (buffer, etc.). The test strip vial contains a drying agent.</u></p> <p data-bbox="483 406 1894 493">Source: http://www.onetouch.com/professional/sites/www.onetouch.com/professional/files/docfile/1321229448131711488606626601C_UBlueTestStrip_Instructions_for_use.pdf</p> <p data-bbox="489 535 737 558">Dear LifeScan Customer:</p> <p data-bbox="489 586 1566 790">Thank you for taking the time to write to us. In regard to your inquiry, the OneTouch Ultra Test Strip uses patented DoubleSure technology that automatically conducts two separate glucose measurements on each blood sample for accuracy (<i>their emphasis</i>). <u>When glucose in a blood sample reacts with the glucose oxidase enzyme in the OneTouch Ultra Test Strip, electrons are released and carried by a mediator to two electrodes. The electrodes each measure the flow of electrons separately. This allows two measurements to be compared and checked, leading to accurate glucose results. If a significant difference between the two measurements is detected, the OneTouch Meter recognizes there is a problem and an error message is generated.</u></p> <p data-bbox="489 818 1566 919">This is not a new feature of the OneTouch Ultra Test Strip. This feature has always contributed to the proven accuracy and performance of the OneTouch Ultra blood glucose monitoring systems. We are choosing to emphasize this feature to consumers and HCPs now to better explain how the OneTouch Ultra Test Strip delivers the proven accuracy and performance consumers have enjoyed over the past 7 years.</p> <p data-bbox="489 946 1549 1047">LifeScan is committed to providing customers with quality products and caring service. As of <u>January 4, 2010</u>, our new hours are <u>Mon-Sun, 5 a.m. to 7 p.m. Pacific Time</u>. Please make a note of this change. Our OneTouch Customer Advocates can be reached at <u>1 800 227-8862</u>. You may also send e-mail to <u>CustomerService@LifeScan.com</u> or visit us on our Web site at <u>www.OneTouchDiabetes.com</u>.</p> <p data-bbox="489 1075 588 1097">Sincerely,</p> <p data-bbox="489 1125 909 1174">Jennifer Mays Customer Correspondence Web Specialist</p> <p data-bbox="489 1201 821 1377">LifeScan, Inc. 1000 Gibraltar Drive Milpitas, CA 95035 http://www.LifeScan.com CustomerService@LifeScan.com 408 263-9789 Main Phone 408 946-6070 Main Fax</p>

Claim 4	Select Evidence
<p>(a) measuring a first Cottrell current attributable to the diffusion limited electrooxidation at a first predetermined time;</p>	<p>1. Nominal Glucose: 90mg/dL</p>  <p>Source: Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p>

Claim 4	Select Evidence
(b) measuring at least one second Cottrell current attributable to the diffusion limited electrooxidation	<p>1. Nominal Glucose: 90mg/dL</p>  <p>Source: Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p>

Claim 4	Select Evidence
<p>at one or more subsequent predetermined times;</p>	<p>Channel 1 (A1) always begins to rise first, before Channel 2. It's not evident in the above scope picture, but a zoom-in of the portion when blood is first applied shows that Channel 1 begins to rise 100ms before Channel 2. See zoom-in picture below.</p>  <p>Source: Satre Electronics UltraMini AFE Electrical Tests, available at http://www.satre-electronics.com/home/one-touch-ultra-mini</p> <p>Per the '105 patent: "In the second half of the test the two currents were first compared. Only if they differed by less than 10% were they then added together and put forward as valid results." Col. 5, Ins. 46-48.</p> <p>Per the '125 patent: "There may be a measurement delay time interval T_{MD} of about 300 milliseconds between final current value for the first working electrode . . . and for second working electrode." Col. 8, Ins. 6-10.</p>

Claim 4	Select Evidence
<p>(c) converting the first Cottrell current into a first analyte concentration measurement using a calibration slope and an intercept specific for the first Cottrell current measurement;</p>	<div data-bbox="485 207 730 245" data-label="Section-Header"> <p>Test Principle</p> </div> <div data-bbox="478 258 1325 451" data-label="Text"> <p>The OneTouch® Ultra® Family of Meters and OneTouch® Ping™ Meter Remote are plasma-calibrated to allow easy comparison of results with laboratory methods. Glucose in the blood sample mixes with special chemicals on the test strip and a small electrical current is produced. This current is measured by the OneTouch® Ultra® Family of Meters and OneTouch® Ping™ Meter Remote and displayed as your blood glucose result. The strength of this current changes with the amount of glucose in the blood sample.</p> </div> <div data-bbox="478 464 573 488" data-label="Text"> <p>Source:</p> </div> <div data-bbox="478 493 1894 550" data-label="Text"> <p>http://www.onetouch.com/professional/sites/www.onetouch.com/professional/files/docfile/1321229448131711488606626601C_UBlueTestStrip_Instructions_for_use.pdf</p> </div> <div data-bbox="478 618 730 646" data-label="Section-Header"> <p>Calibration of EBGTS</p> </div> <div data-bbox="478 673 1419 727" data-label="Text"> <p>Once a signal, whether <u>charge</u> or <u>current</u>, is extracted from the EBGTS, it must be converted to blood glucose <u>concentration</u>. This is generally done in a process called calibration.</p> </div> <div data-bbox="499 748 1430 1011" data-label="List-Group"> <ol style="list-style-type: none"> 1. A series of blood samples are prepared with glucose concentrations spanning the range of interest, typically 200-5000 <u>mg/l</u>. 2. The blood samples are tested in a highly accurate laboratory glucose analyzer, called the reference method, to determine the reference glucose value for each sample. 3. The samples are then analyzed in EBGTS, to obtain the characteristic signal, which may be <u>current (μA) or charge (μC)</u>. 4. The signal (current or charge) is plotted against the reference glucose value. 5. The slope and intercept of the resulting line are used to assign a calibration code to the EBGTS. 6. The user inputs this code into the meter, before self testing, which allows the meter to accurately convert the electrochemical signal to a blood glucose value. </div> <div data-bbox="1484 781 1778 844" data-label="Text"> <p>(Electrochemical Blood Glucose Test Strip)</p> </div> <div data-bbox="478 1052 1232 1083" data-label="Text"> <p>Source: http://electrochem.cwru.edu/encycl/art-g01-glucose.htm</p> </div> <div data-bbox="485 1089 1226 1123" data-label="Section-Header"> <p>① Check the code on the test strip vial before inserting the test strip</p> </div> <div data-bbox="485 1128 1535 1161" data-label="Text"> <p>Code numbers are used to calibrate your meter with the test strips you are using to obtain accurate test results.</p> </div> <div data-bbox="485 1166 1505 1235" data-label="Text"> <p>You must code the meter before using it for the first time and then every time you change to another vial of test strips.</p> </div> <div data-bbox="478 1271 1108 1302" data-label="Text"> <p>Source: OneTouch UltraMini User Guide, available at</p> </div> <div data-bbox="478 1302 1881 1333" data-label="Text"> <p>http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf</p> </div>

Claim 4	Select Evidence
<p>(d) converting the at least one second Cottrell current reading into an additional analyte concentration using a calibration slope and an intercept specific for the at least one second Cottrell current measurement; and</p>	<div data-bbox="485 207 730 245" data-label="Section-Header"> <h3>Test Principle</h3> </div> <div data-bbox="478 258 1325 451" data-label="Text"> <p>The OneTouch® Ultra® Family of Meters and OneTouch® Ping™ Meter Remote are plasma-calibrated to allow easy comparison of results with laboratory methods. Glucose in the blood sample mixes with special chemicals on the test strip and a small electrical current is produced. This current is measured by the OneTouch® Ultra® Family of Meters and OneTouch® Ping™ Meter Remote and displayed as your blood glucose result. The strength of this current changes with the amount of glucose in the blood sample.</p> </div> <div data-bbox="478 462 573 487" data-label="Text"> <p>Source:</p> </div> <div data-bbox="478 492 1894 548" data-label="Text"> <p>http://www.onetouch.com/professional/sites/www.onetouch.com/professional/files/docfile/1321229448131711488606626601C_UBlueTestStrip_Instructions_for_use.pdf</p> </div> <div data-bbox="478 586 730 613" data-label="Section-Header"> <h3>Calibration of EBGTS</h3> </div> <div data-bbox="478 643 1419 696" data-label="Text"> <p>Once a signal, whether <u>charge</u> or <u>current</u>, is extracted from the EBGTS, it must be converted to blood glucose <u>concentration</u>. This is generally done in a process called calibration.</p> </div> <div data-bbox="499 716 1430 979" data-label="List-Group"> <ol style="list-style-type: none"> 1. A series of blood samples are prepared with glucose concentrations spanning the range of interest, typically 200-5000 <u>mg/l</u>. 2. The blood samples are tested in a highly accurate laboratory glucose analyzer, called the reference method, to determine the reference glucose value for each sample. 3. The samples are then analyzed in EBCTS, to obtain the characteristic signal, which may be current (<u>μA</u>) or charge (<u>μC</u>). 4. The signal (<u>current or charge</u>) is plotted against the reference glucose value. 5. The slope and intercept of the resulting line are used to assign a calibration code to the EBGTS. 6. The user inputs this code into the meter, before self testing, which allows the meter to accurately convert the electrochemical signal to a blood glucose value. </div> <div data-bbox="1503 719 1793 784" data-label="Text"> <p>(Electrochemical Blood Glucose Test Strip)</p> </div> <div data-bbox="478 989 1232 1019" data-label="Text"> <p>Source: http://electrochem.cwru.edu/encycl/art-g01-glucose.htm</p> </div> <div data-bbox="485 1057 1226 1092" data-label="Section-Header"> <h3>① Check the code on the test strip vial before inserting the test strip</h3> </div> <div data-bbox="485 1097 1535 1128" data-label="Text"> <p>Code numbers are used to calibrate your meter with the test strips you are using to obtain accurate test results.</p> </div> <div data-bbox="485 1133 1505 1205" data-label="Text"> <p>You must code the meter before using it for the first time and then every time you change to another vial of test strips.</p> </div> <div data-bbox="478 1240 1108 1271" data-label="Text"> <p>Source: OneTouch UltraMini User Guide, available at</p> </div> <div data-bbox="478 1271 1881 1302" data-label="Text"> <p>http://www.onetouch.com/sites/default/files/file/UltraMini%20English%2006629001B_OTUM_UG_US_en_R1_web1.pdf</p> </div>

Claim 4	Select Evidence
(e) comparing the first analyte concentration measurement with the at least one additional concentration measurement to confirm that they are within a prescribed percentage of each other.	<p>Dear LifeScan Customer:</p> <p>Thank you for taking the time to write to us. In regard to your inquiry, the OneTouch Ultra Test Strip uses patented DoubleSure technology that automatically conducts two separate glucose measurements on each blood sample for accuracy (<i>their emphasis</i>). When glucose in a blood sample reacts with the glucose oxidase enzyme in the OneTouch Ultra Test Strip, electrons are released and carried by a mediator to two electrodes. The electrodes each measure the flow of electrons separately. This allows two measurements to be compared and checked, leading to accurate glucose results. <u>If a significant difference between the two measurements is detected, the OneTouch Meter recognizes there is a problem and an error message is generated.</u></p> <p>This is not a new feature of the OneTouch Ultra Test Strip. This feature has always contributed to the proven accuracy and performance of the OneTouch Ultra blood glucose monitoring systems. We are choosing to emphasize this feature to consumers and HCPs now to better explain how the OneTouch Ultra Test Strip delivers the proven accuracy and performance consumers have enjoyed over the past 7 years.</p> <p>LifeScan is committed to providing customers with quality products and caring service. As of January 4, 2010, our new hours are Mon-Sun, 5 a.m. to 7 p.m. Pacific Time. Please make a note of this change. Our OneTouch Customer Advocates can be reached at 1 800 227-8862. You may also send e-mail to CustomerService@LifeScan.com or visit us on our Web site at www.OneTouchDiabetes.com.</p> <p>Sincerely,</p> <p>Jennifer Mays Customer Correspondence Web Specialist</p> <p>LifeScan, Inc. 1000 Gibraltar Drive Milpitas, CA 95035 http://www.LifeScan.com CustomerService@LifeScan.com 408 263-9789 Main Phone 408 946-6070 Main Fax</p>

Claim 4	Select Evidence					
	TABLE 1					
	Volume μL	Working 1: μA	Working 2: μA	% Difference	Error checked	No error check
	1	7.07	0.00	-706800		7.07
	1	6.94	5.98	-16.2175732		12.92
	1	5.53	0.01	-92050		5.54
	1	6.99	7.09	1.42393909	14.09	14.09
	1	7.34	7.02	-4.59016393	14.35	14.35
	1	7.16	6.79	-5.49742078	13.94	13.94
	1	7.01	3.47	-102.13441		10.48
	1	7.07	5.69	-24.2578605		12.77
	1.2	7.18	4.54	-58.2286847		11.72
	1.2	7.00	6.78	-3.35055351	13.78	13.78
	1.2	7.09	1.79	-297.032475		8.88
	1.2	6.31	0.00	-157550		6.31
	1.2	6.78	6.79	0.11788977	13.56	13.56
	1.2	6.95	6.59	-5.4029443	13.53	13.53
	1.2	6.62	6.28	-5.36795158	12.89	12.89
	1.2	7.23	3.78	-91.2721502		11.01
	1.4	7.16	6.90	-3.76811594	14.06	14.06
	1.4	7.14	6.94	-2.88184438	14.08	14.08
	1.4	7.17	7.02	-2.13675214	14.19	14.19
	1.4	7.02	6.01	-1.5918958	13.93	13.93
	1.4	6.95	6.91	-0.5788712	13.86	13.86
	1.4	6.93	6.88	-0.72674419	13.81	13.81
	1.4	7.09	6.92	-2.4566474	14.01	14.01
	1.4	7.25	7.40	2.02702703	14.65	14.65
	Source: U.S. Patent 7,250,105.					
	Per the '105 patent: "In the second half of the test the two currents were first compared. Only if they differed by less than 10% were they then added together and put forward as valid results." Col. 5, Ins. 46-48.					

Sum of current
readings used for
conversion to
analyte
concentrations